

**ILLINOIS SCHOOL BASED MEDICAID PROGRAM TIME  
STUDY IMPLEMENTATION GUIDE FOR DIRECT SERVICES  
AND MEDICAID ADMINISTRATIVE CLAIMING EFFECTIVE:**

**July 1, 2021**

**Revised July 1, 2023<sup>1</sup>**

**Vision**

The State of Illinois Department of Healthcare and Family Services (IDHFS) is committed to providing an efficient and effective Illinois School Based Health Services (SBHS) Program. The SBHS Program is comprised of Direct Services and Medicaid Administrative Claiming (MAC) components designed to ensure the optimum delivery of services to our children. In keeping with this vision, IDHFS implemented a statewide Random Moment Time Study (RMTS) methodology to support proper Medicaid reimbursement of delivered services under the Individuals with Disabilities Education Act (IDEA).

**Introduction**

Illinois state law allows reimbursement for the provision of Medicaid-covered health services for Medicaid enrolled children in public schools. Any public school district, Special Education Cooperative, charter, or a K-12 educational institution (herein after referred to as "district") may participate in the SBHS Program.

The IDHFS partners with districts to implement a reimbursement program for Direct Service and MAC in Illinois, according to the specifications and approval from the federal Centers for Medicare and Medicaid Services (CMS). The MAC component allows districts to be reimbursed for some of their costs associated with school-based administrative and outreach activities which are not claimable under the Direct Service program. The school-based administrative and outreach activities reimbursable under MAC includes referrals of students/families for Medicaid eligibility determinations; providing healthcare information; coordination and monitoring of health services for children; and interagency coordination of services.

The Direct Service program provides reimbursement for Medicaid eligible students with direct medical services referenced in a medical plan of care i.e. an Individualized Education Program (IEP) or Individualized Family Services Plan (IFSP), 504s, Individual Health Plans or other ways in which medical necessity has been established. MAC activities and reimbursement are not limited to students with plans of care or direct medical services.

The time study is designed to capture data for the Direct Service and MAC programs. The activity codes utilized in the time study have been designed to ensure that there is no duplication of reimbursement between the programs, and the activity codes have been constructed to capture 100% of participant work activities. Student Medicaid eligibility status is not captured during the time study process. If a district is enrolled as a Medicaid provider and is billing Medicaid for covered services, the same services will not be reimbursed under the MAC program.

It is necessary to determine the amount of time district staff spend performing Medicaid-related administrative activities. Time spent by district staff on Medicaid administrative activities is captured through the use of RMTS. Time study results are then used in a series of calculations to determine the percentage of district costs claimable under MAC.

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<sup>1</sup> Revisions effective July 1, 2023 – 2 (two) day notification for sampled participants of the specific date and time of their moment; implementation of 2 (two) school day response time for completion of random moments; implementation of expanded sample period 1 to include all regular school days for staff in the sample period (mid-August – December 31); implementation of the statistical sampling with a level of precision of +/- 5% (five percent) with a 95% (ninety-five percent) confidence level; addition of ISBE Licensed Counselors in the Direct Service Cost Pool.

All participating districts will be included in the statewide RMTS sample for the SBHS Program. Personnel, contractor, and cost data will be compiled from each individual district. Medicaid eligibility data will also be applied to each individual district. Activity percentages derived from the statewide RMTS sample will be applied to each district's cost pools to determine the reimbursement amount.

From a state oversight perspective, monitoring of MAC claims is required by IDHFS and CMS. MAC payments are the federal share of funds paid for administrative services provided on behalf of Medicaid eligible children and their families.

### **SBHS Enrollment Criteria**

Effective upon approval of time study methodology, districts will begin operating under the federal guidelines as outlined in the state's approved Time Study Implementation Guide for Direct Services and MAC.

The IDHFS must be assured that each participating district is capable of administering the project and requires that each district assign an SBHS Program coordinator to act as a liaison between IDHFS and the district's time study participants.

### **Required Personnel**

Each district must designate an employee as the SBHS Program coordinator. This single individual is designated within a district to provide oversight for the implementation of the time study and to ensure that policy decisions are implemented appropriately. The district must also designate an SBHS assistant coordinator to provide back-up support and oversee time study responsibilities when the coordinator is absent or on leave.

The coordinator responsibilities include but are not limited to:

- Identifying qualified staff for participation in the time study,
- Identifying calendar work days and holidays,
- Identifying work schedules (shifts) for participants in the time study
- Maintaining the district RMTS staff pool list,
- Providing participant information for the RMTS staff pool list to the State's vendor,
- Monitoring staff participation in the time study,
- Report and/or coordinate reporting financial information,
- Understanding the SBHS Program rules and distribution of information, and
- Acting as a liaison between IDHFS and participant.

Central coding is not a function of the district but of the State's vendor. Thus, district staff are not trained on Medicaid allowable activities vs. non-Medicaid activities. The training for the coordinator has been designed to assist them in appropriately identifying staff that are eligible to participate in the program. In order for the coordinators to be able to support the identification of staff, the training materials outline examples of activities that are reimbursable under the program. These examples allow the coordinator to make a match between eligible staff and reimbursable activities.

The IDHFS invites coordinators to attend an initial RMTS training and any additional RMTS trainings as requested. The assistant coordinator may also attend the initial RMTS training or be trained by the coordinator. Other district staff may also attend trainings, at the request of the district and at IDHFS's discretion. Training is further described on page 10.

### **Random Moment Time Study**

In most districts, it is uncommon to find staff whose activities are limited to just one or two specific functions. Staff normally perform a number of activities, some of which are related to direct covered services and some of which are not. Determining the percentage of time spent by participants on activities related to the provision of direct covered services, as well as to all other functions, requires an allocation methodology that is objective and empirical (i.e., based on documented data). Staff time has been accepted as the basis for allocating staff cost. The federal government has developed an established tradition of using time studies as an acceptable basis for cost allocation.

A time study reflects how participant's time is distributed across a range of activities. A time study is not designed to show how much of a certain activity a participant performs; rather, it reflects how time is allocated among different activities. The State will utilize a CMS-approved RMTS methodology and all districts who participate in any component of SBHS Program will be required to participate in the RMTS process.

The RMTS methodology polls participants on an individual basis at random time intervals over a given time period and totals the results to determine work effort for the entire population of eligible staff over that same time period. The RMTS method provides a statistically valid means of determining what portion of the selected group of participants' workload is spent performing activities that are reimbursable by Medicaid.

### **Time Study Methodology**

Illinois conducts a statewide time study on a sampling period basis for those districts participating in any of the SBHS Programs (Direct Service and MAC). The purpose of the time studies is to: identify the proportion of administrative and outreach time allowable and reimbursable under MAC; and identify the proportion of direct medical service time. This time study will enable the State of Illinois to file a MAC claim on a sampling period basis.

The time study is designed to capture 100% of time worked. Once the sampled participant responds to the moment, the moment can only be coded to a single activity code. The coding of that moment follows definitions of the activity codes and examples that are outlined in this Time Study Implementation Guide. The reimbursement status of each code is also outlined in the Time Study Activities/Codes section below.

In order for the sample universe to be determined to support appropriate cost allocation procedures, staff performing similar school based functions are identified and grouped into three mutually exclusive cost pools. The cost pools are:

Cost Pool 1: Direct Service Cost Pool

Cost Pool 2: Other Direct Service Personnel Cost Pool

Cost Pool 3: Administrative Cost Pool

Start and end times of work days are also collected from districts. In addition, calendars identifying the start and end dates for which participants are working are also collected from districts.

### **Time Study Participants**

All districts that participate in the statewide time study will identify allowable Medicaid direct service and administrative costs within a given district by having staff who spend their time performing those activities participate in the time study. Staff included in the time study may include part-time, full-time and/or contracted staff. These districts must certify that any staff providing services or participating in the time study meet the educational, experiential and regulatory requirements. Participating districts must update their staff pool lists each sample prior to the generation of the time study sample for that period. Only staff that meet the requirements as outlined in the IDHFS Chapter U-200 can bill Medicaid direct services and be included in either Cost Pool 1: Direct Service Cost Pool or Cost Pool 2: Other Direct Service Personnel Cost Pool. Districts cannot create additional positions to their staff pool list once the time study sample has been generated for that period. Sampled participant updates to name and email address are allowed after a sample is generated however no new

positions can be added as previously stated. Positions that are not identified on the sampling period staff pool list are not eligible to have their costs included on that sampling period's MAC claim.

Staff pool lists are certified during three sampling periods per year. Prior to July 1, 2024, there was no time study for the July – early August sample period, therefore there was no staff pool list certified for that period. The staff pool list from the April – June sample period is used as the basis for the summer sample period with the exception of the July – September 2021 quarter which will utilize the staff pool list from the October – December 2021 period. Participants are made active in the RMTS when they are added to the staff pool list and remain active unless the coordinator for the district changes their status to inactive. The district inactivates staff pool list participants when they have either left the district or changed positions to a position that is not eligible to participate in the program.

Individuals such as parents or other volunteers who receive no compensation for their work are not included in the time study process; this would include in-kind “compensation.” For purposes of this Time Study Implementation Guide, individuals receiving compensation from districts for their services are termed “district staff.” Illinois will be using the statewide time study and its three cost pool methodology. All staff will be reported into one of three cost pools: Direct Service Cost Pool, Other Direct Service Personnel Cost Pool or Administrative Cost Pool. **The three cost pools are mutually exclusive, i.e., no staff can be included in more than one cost pool.** The Direct Services Staff and Other Direct Service Personnel cost pools are comprised of direct service staff, including those who participate in direct service and administrative claiming activities, as well as direct service only staff, and the respective costs for these staff. These costs include staff time spent on billing activities related to direct services. The Administrative Cost Pool is comprised of administrative staff and the respective costs for these staff. The following provides an overview of the eligible categories of staff in each cost pool.

Examples of the staff included in each cost pool are included below.

#### *Direct Service Cost Pool*

The following positions that are eligible to bill direct medical services in the IDHFS Policies and Rules include:

- Registered Nurse;
- Licensed Practical Nurse;
- Licensed Clinical Psychologist (LCP);
- School Psychologist;
- Licensed Clinical Professional Counselor (LCPC) / ISBE Licensed Counselor;
- School Social Worker;
- Licensed Clinical Social Worker (LCSW);
- Licensed Marriage and Family Therapist (LMFT);
- Speech Language Pathologist;
- Speech Language Pathology Assistant (SLPA);
- Audiologist;
- Occupational Therapist;
- Certified Occupational Therapy Assistant (COTA);
- Orientation and Mobility Specialists;
- Physical Therapist;
- Physical Therapy Assistant (PTA);
- Hearing and Vision Screeners
- Orientation and Mobility Specialist; and
- Registered Behavior Technician

#### *Other Direct Service Personnel Cost Pool*

The following positions that are eligible to bill direct medical services in the IDHFS Policies and Rules include:

- School Health Aides

### *Administrative Cost Pool*

The following staff categories are eligible to participate in MAC:

- Administrators;
- Non ISBE Licensed Counselors;
- Interpreters & School Bilingual Assistants;
- Case Managers / Service Coordinators;
- Clerical Support Staff
- Other groups/individuals that may be identified by the district and approved by IDHFS.

Staff with job titles listed above as eligible for any of the cost pools are not automatically included in the time study. A district must determine whether they meet all requirements above and if they are less than 100% federally funded. Individuals that are known to be 100% federally funded at the time of the staff pool list update should be excluded from the time study. Staff who are partially federally funded may be included in the time study, however, any costs that are included in the cost report must be net of all federal sources. All criteria must be met in order to be included in the time study.

Part of the RMTS quality assurance process is to ensure that all of the participants that are certified on the staff pool list are included in the sample universe. The district prepares, reviews, and certifies the staff pool lists of eligible participants. All those participants are loaded into the appropriate cost pool. Staff pool lists from all participating districts in a particular cost pool are included in the sample universe. At the end of the sample period, a cost report or workbook is available to the districts to report allowable costs for participants included in the sample universe. The cost report sent to the districts will only include the staff positions reported at the beginning of the process and included in the sample universe. Districts are instructed that they can only report and claim costs for participants that were included on the RMTS staff pool list and thus included in the sample universe.

### **RMTS Sampling Periods**

***Effective on 7/1/2023:*** The sampling period is defined as follows for the SBHS Program:

- Sample Period 1 = mid-August – December 31\*
- Sample Period 2 = January 1 – March 31
- Sample Period 3 = April 1 – June 30
- Sample Period 4 = July 1 – mid-August\*\* (the summer sample period)

\*the time study period will begin with the first regular school day when any participating district returns from the summer break and will continue until the end of December

\*\*no time study will be generated. The sample period will run from the day after the last regular school day until the day before the first regular school day for any participating district.

***Effective until 6/30/2023*** the sample periods are defined as:

- Sample Period 1 = October 1 – December 31
- Sample Period 2 = January 1 – March 31
- Sample Period 3 = April 1 – June 30
- Sample Period 4 = July 1 – September 30\*\*\*

\*\*\*no time study was conducted during this period

The sampling periods are designed to be in accordance with the May 2003 Medicaid School-Based Administrative Claiming Guide, on page 42, Example 4, specifically:

*“If the school year ends in the middle of a calendar quarter (for example, sometime in June), the last time study for the school year should include all days through the end of the school year. Therefore, if the school year ends June 25<sup>th</sup>, then all days through and including June 25<sup>th</sup> must be included among the potential days to be chosen for the time study.”*

Each sample period, districts will identify dates they will be in session and for which their staff are compensated. District staff are paid to work during those dates that districts are in session; as an example, districts may end the school year sometime in June each year. All days including and through the end of the school year would be included in the potential days to be chosen for the time study. It is important to understand that although districts may end the school year prior to the close of the sample period, staff may receive pay for services provided during the school year through the end of the fiscal year. The districts typically spread staff compensation over the entire calendar year versus compensating staff only during the months when school is in session.

Illinois will use an average of the three (3) previous sampling periods’ time study results to calculate claims for the summer sampling period (July 1 – mid-August).

### **State of Emergency Exception**

In the event there is a “state of emergency” or other disaster declared in the State of Illinois that results in prolonged district closures that impact the statistical validity of the RMTS as defined in the Sampling Requirements section of this methodology under sampling precision and confidence level, IDHFS will apply the summer sample period claiming methodology to statistically invalid sample periods occurring during the “state of emergency” including the sample period in which the state of emergency is declared and the sample period in which the state of emergency period ends. This means no RMTS will be run during the impacted sample period(s) and claiming will be based on the average of the sample periods that were completed. The IDHFS will notify CMS within 15 days of determining that a sample period is statistically invalid, including the reason for the determination, along with details and dates of the declaration of emergency.

### **Time Study Start and End Dates**

District calendars will be updated on a sampling period basis and the sample period start and end dates will be determined and documented for each sample period. The dates that districts will be in session and for which their staff are compensated will be determined by the district. Districts are instructed to include work days when staff are paid to work during those dates that districts are in session. Each sample period, the coordinator has the opportunity to review and update the calendar to determine those dates that the district pays for their staff to work, and those dates will be included in the sample.

### **Sampling Requirements**

In order to achieve statistical validity, maintain program efficiencies and reduce unnecessary district administrative burden a consistent sampling methodology for all activity codes and groups will be used. The RMTS sampling methodology is constructed to achieve a level of precision of +/- 5 (five percent) with a 95% (ninety-five percent) confidence level for activities. This is in accordance with the policy communicated by the CMS.

Statistical calculations show that a minimum sample of 385 completed moments each sample period, per cost pool, is adequate to obtain this precision when the total pool of moments is greater than 3,839,197. Additional moments are selected each sample period to account for any invalid moments or non-responsive moments. Any non-response moments are moments that are not returned.

Invalid moments are moments assigned to staff that are no longer in the position. Invalid moments do not count against the 85% response rate. Non-responses are moments that have not been completed by sampled participants. In the event that an 85% return rate is not met, all non-returned moments will be included and coded as non-allowable codes/non-Medicaid time.

The following formula is used to calculate the number of moments sampled for each time study cost pool:

$$SS = \frac{Z^2 * (p) * (1-p)}{c^2}$$

Where:

- Z = Z value (e.g. 1.96 for 95% confidence level)
- p = percentage picking a choice, expressed as decimal (.5 used for sample size needed)
- c = confidence interval, expressed as decimal (e.g., .05 = ±5)

Correction for finite population:

$$N = \text{population}$$

The following table shows the sample sizes necessary to assure statistical validity at a 95% confidence level and tolerable error level of 5%. Additional moments will be selected to account for unusable moments, as previously defined. An over sample of a minimum of 15% will be used to account for unusable moments.

N=	Sample Size Required	Sample Size plus the Minimum 15% Oversample
100,000	383	441
200,000	384	442
300,000	384	442
400,000	384	442
500,000	384	442
750,000	384	442
1,000,000	385	443
3,000,000	385	443
>3,839,197	385	443

### **RMTS Sample Selection Process**

The RMTS process is described here as four steps:

1. Identify Total Pool of Time Study Participants
2. Identify Total Pool of Time Study Moments
3. Randomly Select Moments and Randomly Match Each Moment to a Participant
4. Notify Participants About Their Selected Moments

#### **Step 1: Identify Total Pool of Time Study Participants**

At the beginning of each sample period participating districts submit a staff pool list providing a comprehensive list of staff eligible to participate in the statewide RMTS time study. This list of names is subsequently grouped into job categories (that describe their job function) and from that list all job categories are assigned into one of

the three mutually exclusive cost pools for the statewide time study. The staff pool list is updated on a sampling period basis, and updates are only allowed prior to the start of the sample period.

### **Step 2: Identify Total Pool of Time Study Moments**

The State of Illinois has designed the RMTS to capture 100% of time worked by district staff. At the beginning of each sample period the participating districts submit a calendar that outlines all days schools are in session. Each participant on the staff pool list is assigned to a shift of time each workday. In accordance with the 2003 Medicaid School-Based Administrative Claiming Guide, the shifts are inclusive of all of the time the participant spends during the workday, including lunch. The participating district also submits a start and end time that covers all the time staff within the district are scheduled to work. Participating districts can submit multiple start and end times (by school site, by job category, etc.) in an effort to address the various staff schedules that occur within the district. All the data submitted by the participating districts is used to develop the sample universe.

The sample universe contains all of the moments for all staff who are working during the sample period. The total pool of “moments” within the time study is represented by calculating the number of working days in the sample period, times the number of work hours of each day, times the number of minutes per hour, and times the number of participants within the time study. The only days and times that are not included in the sample universe are days and times during which no one is working. This time would include times before and after a school is in session as well as days staff are not in session such as weekends and holidays.

### **Step 3: Randomly Select Moments and Randomly Match Each Moment to a Participant**

Using a statistically valid random sampling technique, the desired number of random moments is selected from the total pool of moments. Next, each randomly selected moment is matched up, using a statistically valid random sampling technique, with an individual from the total pool of participants.

Each time the selection of a minute and the selection of a staff name occurs, both the minute and the name are returned to the overall sample pool to be available for selection again. In other words, the random selection process is done with replacement so that each minute and each person are available to be selected each time a selection occurs. This step guarantees the randomness of the selection process.

Each selected moment is defined as a specific one-minute unit of a specific day from the total pool of time study moments and is assigned to a specific time study participant. Each moment selected from the pool is included in the time study and coded according to the detail submitted by the sampled participant.

### **Step 4: Notify Participants about their Selected Moments**

Time study participants are notified via email of their requirement to participate in the time study and of their sampled moment. Sampled participants will not be notified of their sampled moment date and time more than forty-eight (48) hours prior to the sampled moment. At the prescribed moment, each sampled participant is asked to record and submit his/her activity for that particular moment. Additionally, if the moment is not completed the participant receives a late notification email twenty-four (24) hours after their selected moment. Throughout this entire process, the coordinators have real-time access in the online system. Coordinators can view their sampled participants, the dates/times of their sampled participant’s moments, and whether the moment has been completed but not until on/or after the sampled participant has been notified of their moment date/time. The time study questionnaire or survey is not kept open more than three (3) school days after the end of the time study period to ensure the accuracy of the time study detail. If the statewide return rate of valid moments is less than 85%, non-returned moments will be included and coded as non-allowable codes/non-Medicaid time until the 85% threshold is reached.

## **RMTS Responses**

All details from the sampled participant must be sufficient to provide responses to the time study questions needed for accurate coding:

1. Is the service you provided part of the child’s medical plan of care where medical necessity has been

otherwise established? (Radio buttons with the options of “Yes – IEP/IFSP”, “Yes - Medical plan of care other than an IEP/IFSP (i.e. student health plan, nursing plan, physician’s order, crisis intervention services)”, “Yes - Medical necessity established in other method”, “No” or “N/A”.

2. Who were you working with during this sample moment? (The sampled participant must create a narrative to answer this question.)
3. Describe in detail the activity you were performing during your sampled moment. Please answer this question even if you answered “No” to the first question. (The sampled participant must create a narrative to answer this question.)
4. Describe in detail why you were doing this activity during your sampled moment. (The sampled participant must create a narrative to answer this question.)

Sampled participants are assigned a unique hyperlink that is only sent to them. They must use this unique hyperlink to login and document their moment. After answering the sample moment questions, they are shown their responses and asked to certify that the information they are submitting is accurate. Their moment is not complete unless they certify the accuracy of the information. Since the sampled participant only has access to their individual information, this conforms to electronic signature policy and allows them to verify that their information is accurate. Each time study participant must certify the accuracy of his/her response prior to submission.

Additional RMTS documentation maintained by the vendor includes:

- Sampling and selection methods used;
- Identification of the moment being sampled; and
- Timeliness of the submitted time study moment detail.

### **RMTS Coding**

The IDHFS has chosen to utilize a centralized coding methodology to be implemented by the vendor assisting Illinois with the RMTS program. Under that methodology, the sampled participant is not required or expected to code his or her moment. The sampled participant is asked to document their activity by providing specific narrative responses. At the end of the RMTS response, the sampled participant is asked to certify their moment response.

The vendor will code all moments submitted. IDHFS will randomly select a 5% sample of the coded responses each sample period for their independent validation. The IDHFS’s validation will consist of reviewing the sampled participant responses and the corresponding code assigned by the vendor to determine if the code was accurate. If IDHFS has any disagreements with the code(s) selected there will be a discussion with the vendor to decide how the impacted moment(s) should be coded. After that discussion on coding and if applicable the coding instructions will be modified to document those coding decisions so that they can be consistently applied in future sample period.

At the end of each sample period, once all random moment data has been received and time study results have been calculated, statistical compliance reports will be generated to serve as documentation that the sample results have met the necessary statistical requirements.

### **RMTS Return Compliance**

The districts have the ability to run compliance reports on a daily basis. A statistical validity check of the time study results is completed at the conclusion of each sample period prior to the calculation of the MAC claim. The validity check ensures that the minimum number of responses is received each sample period to meet required statistical validity. The number of completed and returned time study moments is analyzed to confirm that the confidence level requirements have been met. Once the validity of the sample has been confirmed, the time study results are calculated and prepared for the calculation of the claim for the sampling period.

The IDHFS will require an 85% response rate. Moments not returned will not be included in the RMTS database unless the return rate for valid moments is less than 85%. Invalid moments do not count against the 85% response rate. Non-responses are moments that have not been completed by sampled participants. In the event that an 85% return rate is not met, all non-returned moments will be included and coded as non-allowable codes/non-Medicaid.

The time study questionnaires will be kept open no longer than two (2) school days after the end of the time study period to ensure the accuracy of the time. To ensure that enough moments are received to have a statistically valid sample, Illinois will over sample at a minimum of fifteen percent (15%) more moments than needed for a valid sample size.

If the statewide compliance rate for a sample period does not reach at least 90%, IDHFS will send out a non-compliance warning letter to each LEA that did not achieve an 85% compliance rate and had greater than ten (10) moments for the sample period. For LEAs that are issued a warning letter, IDHFS will monitor the next consecutive sample period to ensure compliance is achieved. If not achieved, IDHFS may implement the following sanctions:

- LEAs will not be able to claim for MAC for the remainder of the fiscal year beginning with the second sample period of non-compliance.
- LEAs will not be able to participate in the time study for MAC for the remainder of the fiscal year (July to June).
- LEAs will not be able to claim for FFS for the remainder of the State fiscal year. Any interim payments sent to the LEA for FFS services provided during that fiscal year will be recouped by the State and returned to the federal government.

## **RMTS Training**

### **District Coordinator Training (RMTS Process and Compliance)**

The IDHFS will review and approve all RMTS training material used by the vendor. Once the training material has been approved by IDHFS the vendor will provide initial training for the coordinators, which will include an overview of the RMTS/cost reporting system and information on how to access and input information into the RMTS/cost reporting system. It is essential for the coordinators to understand the purpose of the time studies, the appropriate completion of the RMTS, the timeframes and deadlines for participation, and that their role is crucial to the success of the program. Sampled participants are to be provided detailed information and instructions for completing and submitting the time study detail of the sampled moment. All training materials will be accessible to coordinators.

### **Sampled Participant Training**

The primary purpose of staff training is to educate the sampled participants on the activity codes so he or she could accurately determine the appropriate activity code for the activity they were performing at the sampled moment. Since Illinois will implement a centralized coding methodology therefore training around the activity codes is no longer required since the sampled participants will not have to code their moment. The RMTS system includes training information on the program and the sampled participant's role in the program as well as how to complete the moment. The sampled participant must visit these screens prior to being able to document their moment. For these reasons, training of sampled participants is not a requirement for completion of their moment.

### **Time Study Activities/Codes**

Time study codes assist in the determination of time and associated costs related to and reimbursable under the SBHS Program. The time study codes have been designed to reflect all of the activities performed by time study participants.

The time study codes are assigned indicators that determine allowability, Federal Financial Participation (FFP) rate, and Medicaid population. A code may have one or more indicators associated with it. These indicators should not be provided to time study participants. The time study code indicators are:

Code	Description
U	Unallowable – refers to an activity that is unallowable under the SBHS Program. This is regardless of whether or not the population served includes Medicaid enrolled individuals.
TM	Total Medicaid – refers to an activity that is 100 percent allowable under the SBHS Program.
PM	Proportional Medicaid – refers to an activity, which is allowable as Medicaid administration under the SBHS Program, but for which the allocable share of costs must be determined by the application of the proportional Medicaid share (using the Medicaid Enrollment Rate (MER) and the IEP ratio). The proportional Medicaid share will be determined for each district. <ul style="list-style-type: none"> <li>For Free Care (cost settlement process) and MAC, the Medicaid share is determined as the ratio of Medicaid enrolled students to total students, i.e. the MER.</li> <li>For the Direct Service (cost settlement process), the Medicaid share is defined as the ratio of Medicaid enrolled special education students with billable medical service on an IEP/IFSP to the total special education students with billable medical service on an IEP/IFSP, i.e. the IEP ratio.</li> </ul>
R	Reallocated – refers to those general administrative activities which must be reallocated across the other activity codes on a pro rata basis. These reallocated activities are reported under General Administration.

The following time study codes are to be used for the Random Moment Time Study:

Code	Code Text	Activity	Direct Service Indicator	MAC Indicator
	<b>Prov Svcs</b>	<b>PROVISION OF SERVICES</b>		
1A	Outreach	Non-Medicaid Outreach	U	U
1B	Outreach	Medicaid Outreach	U	TM/50%
2A	Enrollment	Facilitating Non-Medicaid Eligibility Determination	U	U
2B	Enrollment	Facilitating Medicaid Eligibility Determination	U	TM/50%
3	Educational Services	School Related and Educational Services	U	U
4A	DirNonIEP	Direct Medical Services- Not Covered as IDEA/IEP Services, Not Covered on a Medical Plan of Care	U	U
4B	DirMedIEP	Direct Medical Services- Covered as IDEA/IEP Services, Not Covered on a Medical Plan of Care	PM (IEP Ratio)	U
4C	DirMedFreeCare	Direct Medical Services – Covered on a Medical Plan of Care, Not Covered as IDEA/IEP service	PM (MER)	U
5A	Transportation	Transportation Non-Medicaid	U	U

5B	Transportation	Medicaid Transportation	U	PM/50%
6A	Translation	Non-Medicaid Translation Services	U	U
6B	Translation	Medicaid Translation	U	PM/75%
7A	Planning	Non-Medical Program Planning, Policy Development, and Interagency Coordination	U	U
7B	Planning	Medical Program Planning, Policy Development, and Interagency Coordination	U	PM/50%
8A	Training	Non-Medical/Medicaid Related Training	U	U
8B	Training	Medical/Medicaid Related Training	U	PM/50%
9A	Referral	Referral, Coordination, and Monitoring of Non-Medicaid Services	U	U
9B	Referral	Referral, Coordination, and Monitoring of Medicaid Services	U	PM/50%
10	GA	General Administration	R	R
11	Unallowable	Not Paid/Not Worked	U	U

These activity codes represent direct service and administrative activity categories that are used to code all categories of claims. Detailed code definitions and examples may be found starting on page 15.

### **SBHS Medicaid Enrollment Calculation**

Costs associated with several Medicaid administrative activities performed by the districts are adjusted by the district's MER. The MER reduces these counts to the amount for services specific to Medicaid enrolled individuals. The MER for the MAC program is calculated on an annual basis. The names, gender and birthdates of students are identified from the ISBE Enrollment Count Report (currently October 1<sup>st</sup>) each year filed annually by each district to ISBE and matched against the Medicaid enrollment file from IDHFS to determine the percentage of students enrolled in Medicaid. The numerator of the MER is the total number of Medicaid enrolled students in the district and the denominator is the total number of students enrolled in the district. (For Special Education Cooperatives the numerator and denominator will be, respectively, the total sum of the numbers from their member districts.) The costs of these activities are claimable as administrative activities but only to the extent that they are directed toward the Medicaid enrolled population. This MER will also be used to discount the Direct Service Cost Pool for the time associated with Activity Code 4C.

Participating districts are required to regularly submit claims to The Department for direct services rendered, according to the guidelines in The Department Specialty Provider Billing Manual in the Chapter U-200 Handbook. At the end of each fiscal year, the district must submit a cost reconciliation report based on annual costs. The Department's vendor prepares the time study results and the IEP ratio and MER in order to complete the cost settlement process as outlined in Attachment 4.19B of the approved Illinois Medicaid State Plan.

The SBHS Program's annual claim uses an IEP ratio and MER as one of the steps in determining total allowable costs, as described in Section 4.19B of the approved Illinois Medicaid State Plan. An IEP ratio is determined for each participating district. When applied, this IEP Ratio discounts the Direct Service cost pools by the percentage of IEP Medicaid students with a billable service on their IEP/IFSP for the time associated with Activity Code 4B. The names, gender and birthdates of students with an IEP/IFSP are identified from the ISBE Enrollment Count Report (currently December 1<sup>st</sup>) each year filed annually by each district to ISBE and matched

against the Medicaid enrollment file from the Department to determine the percentage of IEP/IFSP students enrolled in Medicaid. The numerator of the rate is the students with a billable medical service on an IEP/IFSP that are enrolled in Medicaid and the denominator is the total number of students with billable medical service on an IEP/IFSP. (For Special Education Cooperatives the numerator and denominator will be, respectively, the total sum of the numbers from their member districts.)

## **Financial Data**

The financial data to be included in the calculation of the MAC claim are to be based on actual expenditures incurred during the sample period. These costs must be obtained from actual detailed expenditure reports generated by the district's financial accounting system.

2 CFR 200 specifically defines the types of costs: direct costs, indirect costs and allocable costs that can be included in the program. It provides principles to be applied in establishing the allowability of certain items of cost. These principles apply whether a cost is treated as direct or indirect. The following items are considered allowable costs as defined and cited below by 2 CFR 200.

### *Direct Costs*

Typical direct costs identified in 2 CFR 200 include:

- Compensation of employees or contractors;
- Claimable LEA-wide Expenditures

### *Claimable LEA-wide Expenditures*

Expenditures for certain costs that are incurred by the LEA are in part attributable to Title XIX but not specific to individuals who are claimed. Such costs include rent, insurance, and interest payments incurred on behalf of the LEA. The amount of such costs attributable to Title XIX is calculated by multiplying reported costs by the ratio of gross claimable personnel expenditures divided by total LEA-wide personnel expenditures.

### *Indirect Costs*

Indirect costs included in the claim are computed by multiplying the costs by the district's approved unrestricted indirect cost rate. These indirect rates are district specific and developed by the district's state cognizant agency, ISBE, and are updated annually. The methodology used by the respective state cognizant agency to develop the indirect rates has been approved by the cognizant federal agency, as required by the CMS guide. For districts without a calculated Unrestricted Indirect Cost Rate, these districts will utilize a 10% rate for this factor. Indirect costs are included in the claim as reallocated costs.

The IDHFS shall ensure that costs included in the MAC financial data are not included in the district's unrestricted indirect cost rate, and no costs will be accounted for more than once.

### *Unallowable Costs*

Costs that may not be included in the claim are:

- Direct costs related to staff that are not identified as eligible time study participants
- Costs that are paid with 100 percent federal funds

### *Revenue Offset*

Expenditures included in the MAC claim are often funded with several sources of revenue. Some of these revenue sources require that expenditures be offset, or reduced, prior to determining the federal share reimbursable by Medicaid. These "recognized" revenue sources requiring an offset of expenditures are:

- Federal funds (both directly received by the district and pass through from state or local agencies such as IDEA, Title I, etc.);

- State expenditures that have been matched with federal funds (including fee-for-service). Both the state and federal share must be used in the offset of expenditures; and
- Third party recoveries and other insurance recoveries.

*Claim Certification*

Districts will only be reimbursed the federal share of any MAC billings. The Chief Financial Officer (CFO), Chief Executive Officer (CEO), Executive Director (ED), Superintendent (SI) or other individual designated as the financial contact by the district will be required to certify the accuracy of the submitted claim and the availability of matching funds necessary. The certification statement will be included as part of the claim and will meet the requirements of 42 CFR 433.51.

Districts will be required to maintain documentation that appropriately identifies the certified funds used for MAC claiming. The documentation must also clearly illustrate that the funds used for certification have not been used to match other federal funds. Failure to appropriately document the certified funds may result in non-payment of claims.

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## **TIME STUDY CODING INSTRUCTIONS**

After RMTS sampled participants log their moment, it is the coders responsibility to categorize the response. The coding structure as seen starting on page 15 will determine whether the activities logged are claimable, non-claimable, an allocated expense or a cost that can be claimed.

All time study results are aggregated statewide and applied equally to districts participating in the SBHS Program.

### *Code Descriptions*

The coder uses the following detailed descriptions of each activity to determine how to properly code each participant's answer.

## **PROVISION OF SERVICES**

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### **CODE 1A. OUTREACH – FACILITATING NON-MEDICAID OUTREACH**

Use this code when the participant is performing activities that inform individuals about non-Medicaid social (Food Stamps and Title IV-E), vocational, general health and educational programs (including special education) and how to access them; describing the range of benefits covered under these non-Medicaid social, vocational and educational programs and how to obtain them. Both written and oral methods may be used. This code includes related paperwork, clerical activities or staff travel required to perform these activities.

#### **Examples:**

- Informing families about wellness program and how to access those programs;
- Scheduling and promoting activities which educate individuals about the benefits of healthy life-styles and practices;
- Conducting general health education programs or campaigns addressed to the general population;
- Conducting outreach campaigns directed toward encouraging persons to access social, educational, legal or other services not covered by Medicaid;
- Assisting in early identification of children with special medical/dental/mental health needs through various child find activities; and
- Outreach activities in support of programs that are 100 percent funded by State general revenue.

### **CODE 1B. OUTREACH – FACILITATING MEDICAID OUTREACH**

Use this code when the participant is performing specific activities to inform eligible individuals about Medicaid and EPSDT benefits and how to access the program. Information includes a combination of oral and written methods that describe the range of services available through Medicaid and EPSDT, the cost (if any), location, how to obtain services, and the benefits of preventive healthcare. This code includes related paperwork, clerical activities or staff travel required to perform these activities.

#### **Examples:**

- Informing Medicaid eligible and potential Medicaid eligible children and families about the benefits and availability of services provided by Medicaid (including preventive treatment and screening) including services provided through the EPSDT program;
- Interpreting materials about Medicaid to persons with children within the district boundaries who are illiterate, blind, deaf, or who cannot understand the English language;

- Informing foster care providers of foster children residing within district boundaries about the Medicaid and EPSDT program;
- Informing Medicaid eligible pregnant students about the availability of EPSDT services for children under the age of 21 (including children who are eligible as newborns);
- Utilizing brochures approved by the state Medicaid agency, designed to effectively inform eligible individuals about the benefits Early and Periodic Screening, Diagnosis and Treatment (EPSDT) program and services, and about how and where to obtain services;
- Assisting the Medicaid agency to fulfill the outreach objectives of the Medicaid program by informing individuals, students and their families about health resources available through the Medicaid program;
- Providing information about EPSDT in the schools that will help identify medical conditions that can be corrected or ameliorated by services covered through Medicaid;
- Informing children and their families about the early diagnosis and treatment services for medical/mental health conditions that are available through the Medicaid program;
- Providing information regarding Medicaid managed care programs and health plans to individuals and families and how to access that system;
- Encouraging families to access medical/dental/mental health services provided by the Medicaid program; and
- Facilitating access to Medicaid when a staff knows that a child does not have appropriate health care, this does not include child find activities directed to identifying children with educational handicapping conditions.

**CODE 2A. ENROLLMENT – FACILITATING NON-MEDICAID ENROLLMENT OR ELIGIBILITY DETERMINATION**

Use this code when the participant is assisting an individual or family to make application for programs such as TANF, Food Stamps, WIC, day care, legal aid, and other social or educational programs and referring them to the appropriate agency to make application. Both written and oral methods may be used. Include related paperwork, clerical activities or staff travel required to perform these activities.

**Examples:**

- Explaining the eligibility process for non-Medicaid programs;
- Assisting the individual or family in collecting/gathering information and documents for the non-Medicaid program application;
- Assisting the individual or family in completing the application;
- Developing and verifying initial and continuing eligibility for the Free and Reduced Lunch Program. When a district employee is verifying a student’s eligibility or continuing eligibility for Medicaid for the purpose of developing, ascertaining or continuing eligibility under the Free and Reduced Lunch program, report that activity under this code; and
- Providing necessary forms and packaging all forms in preparation for the Non-Medicaid eligibility determination.

**CODE 2B. ENROLLMENT – FACILITATING MEDICAID ENROLLMENT OR ELIGIBILITY DETERMINATION**

Use this code when the participant is assisting children and families in establishing Medicaid eligibility, by making referrals to IDHFS for eligibility determination, assisting the applicant in the completion of the Medicaid application forms, collecting information, and assisting in reporting any required changes affecting eligibility. Includes related paperwork, clerical activities or staff travel required to perform these activities.

**Examples:**

- Referring an individual or family to the local assistance office to make an application for Medicaid benefits;
- Verifying an individual's current Medicaid eligibility status for purposes of the Medicaid eligibility process;
- Explaining the Medicaid eligibility process to prospective applicants;
- Providing assistance to the individual or family in collecting required information and documents for the Medicaid application;
- Providing necessary forms and packaging all forms in preparation for the Medicaid eligibility determination;
- Referring an individual or family to the local Assistance Office to make application for Medicaid benefits;
- Assisting the individual or family in completing the Medicaid application; and
- Participating as a Medicaid eligibility outreach outstation.

### **CODE 3. EDUCATIONAL SERVICES – SCHOOL RELATED AND EDUCATIONAL ACTIVITIES**

Use this code when the participant is performing any other school-related activities that are not Medicaid related, such as social services, educational services, teaching services; employment and job training. These activities include the development, coordination, and monitoring of a student's education plan. This code also includes all related paperwork, clerical activities, or staff travel required to perform these activities.

#### **Examples:**

- Providing general curriculum instruction to students;
- Developing lesson plans or curriculum;
- Evaluating curriculum and instructional services, policies, and procedures;
- Monitoring student academic achievement;
- Developing, coordinating, and monitoring the academic portion of the IEP for a student, which includes ensuring annual reviews of the IEP are conducted, parental sign-offs are obtained, and the academic portion of the actual IEP meetings with the parents. (If appropriate, this would also refer to the same activities performed in support of an IFSP.);
- Monitoring academic progress related to an IEP/IFSP;
- Providing individualized academic instruction (math or reading concepts) to a special education student;
- Conducting external relations related to school educational issues/matters;
- Testing, correcting papers;
- Compiling grades and report cards;
- Providing general supervision of students (playground, lunchroom);
- Disciplining students or referring students for discipline;
- Performing clerical activities related to instruction services or curriculum;
- Providing general nutrition and health education to students;
- Completing classroom attendance reports;
- Compiling, preparing, and reviewing reports on textbooks or attendance;
- Reviewing the academic record of new students;
- Enrolling new students or obtaining registration information;
- Conferencing with students or parents about discipline, academic matters or other school related issues not related to an individualized plan;
- Administering achievement tests (CSAP, CSAP-A);
- Activities related to the educational aspects of meeting immunization requirements for school attendance;
- Enrolling new students or obtaining registration information;
- Evaluating curriculum and instructional services, policies, and procedures;
- Testing to assess specific learning disabilities or English language proficiency;

- Participating in or presenting training related to curriculum or instruction (e.g., language arts workshop, computer instruction); and
- Providing Individuals With Disabilities Education Act (IDEA) mandated child find activities.

**CODE 4A. DIRECT MEDICAL SERVICES - NOT COVERED AS IDEA/IEP SERVICES, NOT COVERED ON A MEDICAL PLAN OF CARE**

Use this code when the participant is providing direct client care services for which medical necessity has not been determined or for a service that is being provided by someone for which the service is not in their scope of practice. This code includes pre and post activities associated with the actual delivery of the direct client care services, e.g., paperwork or staff travel required to perform these services.

**Examples:**

- Administering first aid;
- Screening services conducted by non-qualified providers;
- Mental health services conducted by non-qualified providers; and
- Nursing services conducted by non-qualified providers.
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**CODE 4B. DIRECT MEDICAL SERVICES – COVERED AS IDEA/IEP SERVICES**

**IDEA/IEP SERVICES**

Use this code when district staff (employees or contracted staff) provide direct client services as covered services delivered by districts under the SBHS Program. These direct client services may be delivered to an individual and/or group in order to ameliorate a specific condition and are performed in the presence of the student(s). This code includes the provision of all IDEA/IEP medical (i.e. health-related) services.

All IDEA and/or IEP direct client care services when the student is present:

- Providing health/mental health services as covered in the student’s IEP.
- Conducting medical/health assessments/evaluations and diagnostic testing and preparing related reports as covered in the student’s IEP.

**Examples:**

- Audiologist services including evaluation and therapy services (only if included in the student’s IEP);
- Applied Behavioral Analysis services (only if included in the student’s IEP);
- Physical Therapy services and evaluations (only if included in the student’s IEP);
- Occupational Therapy services and evaluations (only if included in the student’s IEP);
- Speech Language Therapy and evaluations (only if included in the student’s IEP);
- Psychological services, including evaluations and assessment (only if included in the student’s IEP). [Assessment services are not in the client’s IEP because assessments are performed before the students IEP is developed.];
- Counseling services, including therapy services (only if included in the student’s IEP);
- Providing personal aide services (only if included in the student’s IEP);
- Nursing services and evaluations (only if included in the student’s IEP), including skilled nursing services on the IEP and time spent administering/ monitoring medication only if it is included as part of an IEP and documented in the IEP;
- Physician services and evaluation, including therapy services (only if included in the student’s IEP); and
- Providing personal aide services (only if included in the student’s IEP);

- Social Work services and evaluation, including therapy services (only if included in the student's IEP).

This code also includes pre and post time directly related to providing direct client care services when the student is not present. Examples of pre and post time activities when the student is not present include: time to complete all paperwork related to the specific direct client care service, such as preparation of progress notes, translation of session notes, review of evaluation testing/observation, planning activities for the therapy session, travel to/from the therapy session, or completion of billing activities.

**Examples:**

- Pre and post activities associated with physical therapy services, for example, time to build a customized standing frame for a student or time to modify a student's wheelchair desk for improved freedom of movement for that client;
- Pre and post activities associated with speech language pathology services, for example, preparing lessons for a student to use with an augmentative communicative device or preparing worksheets for use in group therapy sessions;
- Updating the medical/health-related service goals and objectives of the IEP;
- Travel to the direct service/therapy;
- Paperwork associated with the delivery of the direct care service, as long as the student/client is not present. Such paperwork could include the preparation of progress notes, translation of session notes, or completion of billing activities; and
- Interpretation of the evaluation results and/or preparation of written evaluations, when student/client is not present. (Assessment services are billed for testing time when the student is present, for interpretation time when the student is not present, and for report writing when the student is not present.)

**CODE 4C. - DIRECT MEDICAL SERVICES – COVERED ON A MEDICAL PLAN OF CARE, NOT COVERED AS IDEA/IEP SERVICE**

Use this code when district staff (employees or contracted staff) provide covered direct medical services under the SBHS Program when documented on a medical plan other than an IEP/IFSP or where medical necessity has been otherwise established. These direct services may be delivered to an individual and/or group in order to ameliorate a specific condition and are performed in the presence of the student(s).

All medical services with the student present including:

- Providing health/mental health services as covered in the student's medical plan other than an IEP/IFSP;
- Conducting medical/health assessments/evaluations and diagnostic testing and preparing related reports as covered in the student's medical plan other than an IEP/IFSP; and
- Covered services for which medical necessity has been determined.

The list of services corresponds to all of the services outlined in the State Plan. This includes:

- Audiologist services including evaluation and therapy services (only if included in the student's medical plan);
- Applied Behavioral Analysis services (only if included in the student's medical plan);
- Physical Therapy services and evaluations (only if included in the student's medical plan);

- Occupational Therapy services and evaluations (only if included in the student's medical plan);
- Speech Language Therapy services and evaluations (only if included in the student's medical plan);
- Counseling services, including therapy services (only if included in the student's medical plan or when medical necessity has been determined);
- Nursing services, evaluations, and administering / monitoring medication (only if medical necessity has been determined including skilled nursing services on the medical plan and time spent administering/monitoring medication.);
- Physician services and evaluation, including therapy services (only if included in the student's medical plan);
- Social Work services and evaluation, including therapy services (only if included in the student's medical plan); and
- All EPSDT covered services such as screenings, immunizations, etc. or services in which medical necessity has been determined.

This code also includes pre and post time directly related to providing direct medical services when the student is not present. Examples of pre and post time activities when the student/client is not present include: time to complete all paperwork related to the specific direct service, such as preparation of progress notes, translation of session notes, review of evaluation testing/observation, planning activities for the therapy session, travel to/from the therapy session, or completion of billing activities.

General examples that are considered pre and post time:

- Updating the medical/health-related service goals and objectives of the medical plan of care;
- Travel to the direct service/therapy;
- Paperwork associated with the delivery of the direct care service, as long as the student/client is not present. Such paperwork could include the preparation of progress notes, translation of session notes, or completion of billing activities; and
- Interpretation of the evaluation results and/or preparation of written evaluations, when student/client is not present. (Assessment services are billed for testing time when the student is present, for interpretation time when the student is not present, and for report writing when the student is not present.)

#### **CODE 5A. - TRANSPORTATION FOR NON-MEDICAID SERVICES**

Use this code when the participant is assisting an individual to obtain transportation to services not covered by Medicaid or accompanying the individual to services not covered by Medicaid. Include related paperwork, clerical activities or staff travel required to perform these activities.

- Scheduling or arranging transportation to social, vocational, and/or educational programs and activities.

#### **CODE 5B. - TRANSPORTATION RELATED TO MEDICAID SERVICES**

Use this code when the participant is assisting an individual to obtain transportation to services covered by Medicaid. This does not include the provision of the actual transportation service or the direct cost of the transportation, but rather the administrative activities involved in providing transportation. Include related paperwork, clerical activities or staff travel required to perform these activities. An example is:

- Scheduling or arranging transportation to Medicaid covered services.

Note: Staff that may arrange transportation that may be included in the RMTS include, but are not limited to, Program Administrators, Special Education Support or other staff at the district who are responsible for arranging specialized transportation for students to receive medical services. However, job titles of staff that provide these types of services vary by district.

#### **CODE 6A. TRANSLATION – NON-MEDICAID TRANSLATION SERVICES**

Use this code when the participant is providing translation service for non-Medicaid activities. This code includes related paperwork, clerical activities or staff travel required to perform the activities.

##### **Examples:**

- Arranging for or providing translation services (oral or signing services) that assist the individual to access and understand social, educational, and vocational services;
- Arranging for or providing translation services (oral or signing services) that assist the individual to access and understand state education or state-mandated health screenings (e.g., vision, hearing, scoliosis) and general health education outreach campaigns intended for the student population; and
- Developing translation materials that assist individuals to access and understand social, educational, and vocational services.

#### **CODE 6B. TRANSLATION – MEDICAID TRANSLATION SERVICES**

Use this code when translation services are not included and paid for as part of a medical assistance service and must be provided with by separate units or separate employees performing solely translation functions for the school and it must facilitate access to Medicaid covered services. Please note that a district does not need to have a separate administrative claiming unit for translation. This code includes related paperwork, clerical activities or staff travel required to perform these activities.

##### **Examples:**

- Arranging for or providing translation services (oral or signing) that assist the individual to access and understand necessary care or treatment covered by Medicaid; and
- Developing translation materials that assist individuals to access and understand necessary care or treatment covered by Medicaid.

#### **CODE 7A. PLANNING – NON-MEDICAL PROGRAM PLANNING, POLICY DEVELOPMENT, AND INTERAGENCY COORDINATION**

Use this code when the participant is performing activities associated with the development of strategies to improve the coordination and delivery of non-medical services to school age children when performing collaborative activities with other agencies. Non-medical services may include social services, education and vocational services. This code includes related paperwork, clerical activities or staff travel required to perform these activities.

##### **Examples:**

- Identifying gaps or duplication of non-medical services to school age children and developing strategies to improve the delivery and coordination of these services;
- Developing strategies to assess or increase the capacity of non-medical school programs;
- Monitoring the non-medical delivery systems in schools;
- Developing procedures for tracking families' requests for assistance with non-medical services and providers;
- Evaluating the need for non-medical services in relation to specific populations or geographic areas;

- Analyzing non-medical data related to a specific program, population, or geographic area;
- Working with other agencies providing non-medical services to improve the coordination and delivery of services and to improve collaboration around the early identification of non-medical problems;
- Defining the relationship of each agency's non-medical service to one another;
- Developing advisory or work groups of professionals to provide consultation and advice regarding the delivery of non-medical services and state mandated health screening to the school populations;
- Developing medical referral sources; and
- Coordinating with interagency committees to identify, promote and develop non-medical services in the school system.

**CODE 7B. PLANNING – MEDICAL PROGRAM PLANNING, POLICY DEVELOPMENT, AND INTERAGENCY COORDINATION**

Use this code when the participant is performing activities associated with the development of strategies to improve the coordination and delivery of medical/dental/mental health services to school age children, and when performing collaborative activities with other agencies and/or providers. This code refers to activities such as planning and developing procedures to track requests for services; the actual tracking of requests for Medicaid services would be coded under Code 9B., Referral, Coordination and Monitoring of Medical Services. This code includes related paperwork, clerical activities or staff travel required to perform these activities.

**Examples:**

- Developing strategies to assess or increase the capacity of school medical/dental/mental health programs;
- Monitoring the medical/dental/mental health delivery systems in schools;
- Developing procedures for tracking family's requests for assistance with medical/dental/mental health services and providers, including Medicaid. (This does not include the actual tracking of requests for Medicaid services);
- Evaluating the need for medical/dental/mental health services in relation to specific populations or geographic areas;
- Analyzing Medicaid data related to a specific program, population, or geographic area;
- Working with other agencies providing medical/dental/mental health services to improve the coordination and delivery of services, to expand access to specific populations of Medicaid eligible, and to improve collaboration around the early identification of medical problems;
- Working with other agencies and/or providers to improve collaboration around the early identification of medical/dental/mental problems;
- Developing strategies to assess or increase the cost effectiveness of school medical/dental/mental health programs;
- Working with Medicaid resources, such as the Medicaid agency and Medicaid managed care plans, to make good faith efforts to locate and develop EPSDT health services referral relationships;
- Developing advisory or work groups of health professionals to provide consultation and advice regarding the delivery of health care services to the school populations;
- Developing medical referral sources such as directories of Medicaid providers and managed care plans, who will provide services to targeted population groups, e.g., EPSDT children;
- Coordinating with interagency committees to identify, promote and develop EPSDT services in the school system;
- Identifying gaps or duplication of medical/dental/mental health services to school age children and developing strategies to improve the delivery and coordination of these services; and

- Working with the state Medicaid agency to identify, recruit and promote the enrollment of potential Medicaid providers.

**CODE 8A. TRAINING – NON-MEDICAL/MEDICAID RELATED TRAINING AND PROFESSIONAL DEVELOPMENT**

Use this code when the participant is coordinating, conducting, or participating in training events and seminars for outreach staff regarding the benefit of the programs other than the Medicaid program. For example, training may include how to assist families to access the services of education programs, and how to more effectively refer students for those services. This code includes related paperwork, clerical activities, or staff travel required to perform these activities.

**Examples:**

- Participating in or coordination training that improves the delivery of services for programs other than Medicaid; and
- Participating in or coordinating training that enhances IDEA child find programs.

**CODE 8B. TRAINING – MEDICAL/MEDICAID RELATED TRAINING AND PROFESSIONAL DEVELOPMENT**

Use this code when the participant is coordinating, conducting, or participating in training events and seminars for outreach staff regarding the benefit of medical/Medicaid related services, how to assist families to access such services, and how to more effectively refer students for those services. This code includes related paperwork, clerical activities, or staff travel required to perform these activities.

**Examples:**

- Participating in or coordination training that improves the delivery of medical/Medicaid related services;
- Participating in or coordinating training that enhances early identification, intervention, screening and referral of students with special health needs to such services (e.g., Medicaid EPSDT services); and
- Participating in training on administrative requirements related to medical/Medicaid services.

**CODE 9A. REFERRAL - REFERRAL, COORDINATION AND MONITORING OF NON- MEDICAID SERVICES**

Use this code when the participant is making referrals for, coordinating, and/or monitoring the delivery of non-medical, such as educational services. This code includes related paperwork, clerical activities, or staff travel necessary to perform these activities.

**Examples:**

- Making referrals for and/or coordinating access to social and educational services such as child care, employment, job training, and;
- Making referrals for, coordinating, and/or monitoring the delivery of state education agency mandated child health screens;
- Making referrals for, coordinating, and/or monitoring the delivery of scholastic, vocational, and other non-health related examinations;
- Gathering any information that may be required in advance of these non-Medicaid related referrals; and
- Participating in a meeting/discussion to coordinate or review a student’s needs for scholastic, vocational, and non-health related services not covered by Medicaid.

**CODE 9B. REFERRAL - REFERRAL, COORDINATION AND MONITORING OF**

## **MEDICAID SERVICES**

Use this code when the participant is making referrals for, coordinating, and/or monitoring the delivery of medical (Medicaid covered) services. Referral, coordination and monitoring activities related to services in an IEP are reported in this code. Activities that are an integral part of or an extension of a medical service (e.g., patient follow-up, patient assessment, patient counseling, patient education, patient consultation, billing activities) should be reported under Code 4A - Direct Medical Services - Not Covered as IDEA/IEP Services, 4B- Direct Medical Services - Covered as IDEA/IEP Services or 4C- Direct Medical Services – Covered on a Medical Plan of Care, Not Covered as IDEA/IEP service. This code includes related paperwork, clerical activities, or staff travel necessary to perform these activities.

### **Examples:**

- Identifying and referring adolescents who may be in need of Medicaid family planning services;
- Making specific medical referrals for and/or coordinating medical or physical examinations and necessary medical/dental/mental health evaluations;
- Making referrals for and/or scheduling EPSDT screens, interperiodic screens, and appropriate immunization, but not to include the state-mandated health services;
- Referring students for necessary medical health, mental health, or substance abuse services covered by Medicaid;
- Arranging for any Medicaid covered medical/dental/mental health diagnostic or treatment services that may be required as the result of a specifically identified medical/dental/mental health condition;
- Gathering information that may be required in advance of these medical/dental/mental health referrals;
- Participating in a meeting/discussion to coordinate or review a student's needs for health-related services covered by Medicaid;
- Developing, coordinating, and monitoring the medical portion of the IEP/IFSP for a student, which includes the medical portion of the actual IEP/IFSP meetings with the parents, time spent developing the medical services plan on the IEP/IFSP, and writing of the medical service goals of the IEP/IFSP;
- Providing follow-up contact to ensure that a child has received the prescribed medical/dental/mental health services;
- Coordinating the completion of the prescribed services, termination of services, and the referral of the child to other Medicaid service providers as may be required for continuity of care;
- Providing information to other staff on the child's related medical/dental/mental health services and plans;
- Monitoring and evaluating the Medicaid service components of the IEP as appropriate; and
- Coordinating the delivery of community based medical/dental/mental health services for children with special/severe health care needs.

## **CODE 10 - GENERAL ADMINISTRATION**

Use this code when the participant is performing activities not directly assignable to program activities. Include related paperwork, clerical activities, or staff travel time required to perform administrative activities. Note that certain functions, such as payroll, maintaining inventories, developing budgets, executive direction, etc., are considered overhead and, therefore, are only allowable through the application of an approved indirect cost rate.

Below are typical examples of general administrative activities, but they are not all inclusive:

- Taking lunch, breaks, leave, vacation, sick, or other paid time off not at work;

- Establishing goals and objectives of health-related programs as part of the school's annual or multi-year plan;
- Ordering supplies;
- Reviewing school or district procedures and rules;
- Attending or facilitating board meetings or other district meetings;
- Performing administrative or clerical activities related to general building or district functions or operations;
- Providing general supervision of staff, including supervision of student teachers or classroom volunteers, and evaluation of employee performance;
- Reviewing technical literature and research articles; and
- Other general administrative activities of a similar nature as listed above that cannot be specifically identified under other activity codes.

**CODE 11 UNALLOW – UNPAID TIME OFF**

Use if the participant indicates that the moment occurred at a time when he or she was not scheduled to work, including unpaid days off.