

**Illinois Department of Healthcare and Family Services  
 Innovations Project  
 Children with Complex Medical Needs Request for Proposals  
 Reference No. 2013-24-010**

**Addendum #2**

This addendum is issued to further define and amend the original Request For Proposal (RFP) for the above referenced project, as issued by this office on December 19, 2012, and is now considered a part thereof. This addendum is to be acknowledged in accordance with the RFP, Proposal to State of Illinois, Acknowledgment of Amendments/Addendums. Offerors are instructed to read this entire package before attempting to complete the information required therein. All other terms and conditions of the RFP shall remain in full force and effect, unchanged except as amended hereby.

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| #'s                     | Question   | Answers   |
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| <b>POPULATION (POP)</b> |  |   |
| POP 1                   | Regarding the eligible population for the CCE for Children with Complex Medical Needs, are babies that receive care in neonatal intensive care units (NICU) included in the eligible population? | Children in NICUs are not specifically excluded from the CCMN solicitation. However, without claims history or a clinical screening tool, they will likely not be identified for enrollment in a CCE. The Department will consider alternative approaches to include this population. Recommendations shall be included in your proposal. Also see the response to question #CEB 6, in Addendum #1, for more information. |
| POP 2                   | For CCE applicants proposing to serve more than one County, geography, the minimum number of eligible children served is still 500?  | Per Section 3.1.3.6.2 of the solicitation, in Cook and the collar counties of DuPage, Kane, Kankakee, Lake and Will, a CCE must serve a minimum of 500 Children with Complex Medical Needs. In all other regions of the State, a CCE must serve a minimum of 200 Children with Complex Medical Needs.   |

| #'s                                 | Question   | Answers  |
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| <b>PERFORMANCE MEASUREMENT (PM)</b> |  |  |
| PM 1                                | For the 4 <sup>th</sup> P4P Measure, can you clarify if CCEs <u>may</u> propose a measure not included in Attachment B. Or CCE's <u>shall</u> propose a measure not included in Attachment B.  | In response to Section 3.2.2.3.10 of the solicitation, a CCE may propose a new measure not included in Attachment B or a measure included in Attachment B but not identified as a pay-for-performance measurement in its proposal. This measure will be negotiated with the State and shall be specific to the CCEs care coordination model or any proposed Target Population. This proposed measure shall be utilization based so that it can be measured on a quarterly basis.   |
| <b>PROPOSALS (PRO)</b>              |  |  |
| PRO 1                               | Under Section 3.2.1.1, are we required to submit the articles of incorporation and by-laws for all of our collaborators or just for the lead entity?   | <p>The proposal shall include the articles of incorporation and by-laws for the Lead Entity and its known Collaborators (listed on Attachment E) at the time of proposal submission. This submission may include drafts of the articles of incorporation and by-laws. The proposal shall clearly identify if the articles of incorporation and by-laws are in draft format or are final documents. If the entity receives an award, the final articles of incorporation and by-laws must be in place prior to contract execution.</p> <p>The proposal does not need to include articles of incorporation or by-laws for those providers that have agreed to work with the Collaboration, but are not part of the Collaboration (listed on Attachment E).</p> |
| PRO 2                               | Once our CCE submits its proposal, will we be able to add additional collaborators to the CCE? If yes, is there a formal process for such additions? If not, then how soon after submission/acceptance will we be allowed to add additional collaborators? | Yes, a CCE that receives an award will be able to add Collaborators at the time of contract negotiations and during the term of the contract. A formal process for adding Collaborators to a CCE once an award has been announced is not available at this time.   |

| #'s         | Question   | Answers   |
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| PRO 3       | If it is not possible to complete the formation of a separate, lead legal entity by the proposal deadline, may one of the collaborators provisionally serve as the lead entity for purposes of the proposal, and then at a later time (if an award is made) be swapped out with the entity that we intend to form and use as the lead entity?            | No, the proposal shall clearly identify the Lead Entity of the Collaboration and shall not be swapped out at a later time for a different Lead Entity. Pursuant to Section 3.1.2, if awarded a contract, the Lead Entity identified in the proposal is the legal entity responsible for executing the CCE contract with the Department and is also the entity that will receive the care coordination payment in order to provide care coordination services for its Enrollees. |
| PRO 4       | We are planning to form a new entity to serve as the lead entity. How complete must the formation process be at the time the proposal is submitted to HFS? For example, if they elect to form an LLC, must an operating agreement be completed and executed by the parties? Must articles of organization be filed with the state and the entity formed? | The proposal shall clearly identify the Lead Entity and its known Collaborators at the time of the proposal submission. A proposal shall clearly identify the status of such operating agreements. If an entity receives an award, operating agreements and articles of organization must be in place and filed with all required entities upon execution of the contract. Please also see the response to PRO 1 above.   |
| PRO 5       | The current timeframe is going to be extremely close for us –especially with the Easter holiday. Has there been any discussion regarding a short extension of the deadline?  | The Department is not extending the deadline for submission of proposals. All proposals are due no later than April 1, 2013 at 2:00 p.m. (local time) as provided in Section 1.2 of the solicitation.   |
| <b>MISC</b> |  |   |
| MISC 1      | Have any rules or regulations been promulgated yet in connection with the CCE program for Children with Complex Medical Needs?   | No, at this time the Department has not finalized any rules or regulations for this program.  |

| #'s      | Question   | Answers   |
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| MISC 2   | We understand that if a contract is awarded, the CCE would be committed for a term of three years. Are the collaborators involved in the CCE also committed for three years, or may they withdraw before the expiration of the CCE term? May new collaborators join before expiration? | The Lead Entity is the entity responsible for the contract, if awarded. Collaborators may elect to join or leave a CCE during the term of the contract. The Lead Entity will be responsible for notifying the Department of such changes in its Collaboration. Please also see the response to PRO 2 above. |
| MISC 3   | How will anti-kickback laws, the Stark Laws, anti-trust laws, or non-profit tax exemptions be implicated by the CCE's operation?   | The Department recommends seeking guidance from the CCE's legal counsel on the impact of such laws and tax exemptions on the proposed CCE.  |
| MISC 4 W | Will safe-harbors be available comparable to those available to Accountable Care Organizations under the Medicare Shared Savings Program?  | It is not known at this time if safe harbors that are comparable to the Accountable Care Organizations will be available.   |