

Illinois Department of Healthcare and Family Services
Innovations Project – Accountable Care Entity
Contract Year 2014 Pre-Implementation Readiness Review Tool
for <ACE Name>

EVALUATION ELEMENTS	CONTRACT LANGUAGE/REQUIREMENTS	SCORING	FINDINGS
Organization and Governance			
1. Organizational Structure of ACE <i>Innovation Solicitation for Accountable Care Entities ACE Program -2014-24-002 Section 3.1.2</i>	An Accountable care Entity (ACE) must be an integrated delivery system with: <ul style="list-style-type: none"> ◆ A network that meets the requirements in Section 3.1.3; ◆ Information system capacity to securely pass clinical information across its network; ◆ The ability to aggregate and analyze data in order to coordinate care; and ◆ A model of care and financial management structure that promotes provider accountability, quality improvement, and improved health outcomes. 	<input type="checkbox"/> Met <input type="checkbox"/> Partially Met <input type="checkbox"/> Not Met <input type="checkbox"/> NA	
REQUIRED ACTIONS:			
CORRECTIVE ACTION RESPONSE:			
HSAG REVIEW OF CORRECTIVE ACTION:			
POST IMPLEMENTATION REVIEW:			
2. Organization Participation <i>Innovation Solicitation for Accountable Care Entities ACE Program -2014-24-002 Section 3.1.2.1.1</i>	The collaboration must include, at a minimum, participation from: <ul style="list-style-type: none"> ◆ Primary Care, ◆ Specialty Care, ◆ Hospitals and ◆ Behavioral Health Care. 	<input type="checkbox"/> Met <input type="checkbox"/> Partially Met <input type="checkbox"/> Not Met <input type="checkbox"/> NA	
REQUIRED ACTIONS:			
CORRECTIVE ACTION RESPONSE:			
HSAG REVIEW OF CORRECTIVE ACTION:			
POST IMPLEMENTATION REVIEW:			
3. Lead Entity <i>Innovation Solicitation for Accountable Care Entities ACE Program -2014-24-002 Section</i>	An ACE must identify a lead entity that shall assume legal responsibility for the ACE. An ACE may identify a single lead entity or organize a network of providers through contractual relationships to develop a single lead entity. A lead entity agrees to serve as the	<input type="checkbox"/> Met <input type="checkbox"/> Partially Met <input type="checkbox"/> Not Met <input type="checkbox"/> NA	



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3.1.2.1.2	entity responsible for executing the ACE Contract with the Department. A lead entity must be based in Illinois, may be a Medicaid-enrolled Provider, a non-Medicaid enrolled provider, or a local governmental non-Medicaid authority, but it cannot be a MCO. A lead entity is not restricted to not-for-profit entities.		
REQUIRED ACTIONS:			
CORRECTIVE ACTION RESPONSE:			
HSAG REVIEW OF CORRECTIVE ACTION:			
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4. Governance Structure <i>Innovation Solicitation for Accountable Care Entities ACE Program -2014-24-002 Section 3.1.2.1.3and 3.2.1.2.1-3.2.1.2.2</i>	An ACE must demonstrate an established governance structure that includes the major components of the health care delivery system, including, at a minimum, one representative from each of the groups listed in Section 3.1.2.1.1. If the lead entity is a single provider, the governing body must include providers employed and not employed by the lead entity. The governing body responsibilities include: <ul style="list-style-type: none"> ◆ Setting policy, ◆ Developing and implementing a model of care, ◆ Establishing best practices, ◆ Setting and monitoring quality goals, and ◆ Assessing performance and addressing deficiencies. 	<input type="checkbox"/> Met <input type="checkbox"/> Partially Met <input type="checkbox"/> Not Met <input type="checkbox"/> NA	
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5. Governance Structure Policies and Procedures <i>Innovation Solicitation for Accountable Care Entities ACE Program -2014-24-002 Sections 3.1.2.1.3 and 3.2.1.2.3</i>	The governance structure of the ACE has: <ul style="list-style-type: none"> ◆ Procedures to set policy and assure accountability back to the Providers. ◆ Policies and mechanisms in place to share information, and ensure compliance with the model of care. 	<input type="checkbox"/> Met <input type="checkbox"/> Partially Met <input type="checkbox"/> Not Met <input type="checkbox"/> NA	
REQUIRED ACTIONS:			
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6. Medical Director <i>Innovation Solicitation for Accountable Care Entities ACE Program -2014-24-002 Section 3.1.2.1.3 and 3.2.1.2.4</i>	An ACE must have a designated medical director that oversees the development and implementation of the model of care for the integrated delivery system. The ACE must also demonstrate meaningful involvement of the medical director and front-line Providers in the ACE governance structure.	<input type="checkbox"/> Met <input type="checkbox"/> Partially Met <input type="checkbox"/> Not Met <input type="checkbox"/> NA	
REQUIRED ACTIONS:			
CORRECTIVE ACTION RESPONSE:			
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7. Consumer Advisory Board <i>Innovation Solicitation for Accountable Care Entities ACE Program -2014-24-002 Section 3.1.2.1.4 and 3.2.1.5</i>	An ACE will be required to have a consumer advisory board that meets regularly and advises on ACE policies and programs including cultural competency, outreach plans, Enrollee education materials, prevention programs, Enrollee satisfaction surveys, and quality improvement programs.	<input type="checkbox"/> Met <input type="checkbox"/> Partially Met <input type="checkbox"/> Not Met <input type="checkbox"/> NA	
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8. Three Year Staffing Plan <i>Innovation Solicitation for Accountable Care Entities ACE Program -2014-24-002 Section 3.2.1.3</i>	The ACE has a comprehensive statement of three-year staffing plan. The staffing plan outlines: <ul style="list-style-type: none"> ◆ Administrative staff ◆ Care Model staff and ◆ Has a percentage full-time equivalent (FTE) for all staff including the Medical Director. 	<input type="checkbox"/> Met <input type="checkbox"/> Partially Met <input type="checkbox"/> Not Met <input type="checkbox"/> NA	
REQUIRED ACTIONS:			
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9. ACE to MCO Work Plan <i>Innovation Solicitation for Accountable Care Entities ACE Program -2014-24-002 Section 3.1.2.2 and 3.2.1.4</i>	The ACE must demonstrate a work plan that depicts how the ACE will meet the requirements the ACE must fulfill when the ACE moves to pre-paid capitation for delivery of services.	<input type="checkbox"/> Met <input type="checkbox"/> Partially Met <input type="checkbox"/> Not Met <input type="checkbox"/> NA	
REQUIRED ACTIONS:			
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10. Formal Quality Improvement Process <i>Innovation Solicitation for Accountable Care Entities ACE Program -2014-24-002 Section 3.1.4.6 and 3.2.3.8</i>	The ACE has a written quality assurance program with assigned accountabilities which describes the internal quality improvement/quality assurance processes. The description includes: <ul style="list-style-type: none"> ◆ Quality improvement/quality assurance processes ◆ The utilization of reports received from the Department 	<input type="checkbox"/> Met <input type="checkbox"/> Partially Met <input type="checkbox"/> Not Met <input type="checkbox"/> NA	

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	<ul style="list-style-type: none"> ◆ How the ACE will monitor the quality of care given to Enrollees ◆ Self-generated quality metrics and actionable quality improvement initiatives. 		
REQUIRED ACTIONS:			
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11. Cultural Competence <i>Integrated Care Program Contract 2013-24-004, Section 2.7</i>	The ACE shall implement a Cultural Competence Plan, and Covered Services shall be provided in a culturally competent manner by ensuring the cultural competence of all Contractor staff, from clerical to executive management, and the Provider network. The ACE shall implement the NCQA Standards for Culturally and Linguistically Appropriate Services in Health Care (CLAS Standards).	<input type="checkbox"/> Met <input type="checkbox"/> Partially Met <input type="checkbox"/> Not Met <input type="checkbox"/> NA	
REQUIRED ACTIONS:			
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12. Cultural Competence Plan <i>Integrated Care Program Contract 2013-24-004, Section 2.7.1. through 2.7.1.8 and 2.7.1.11 through 2.7.1.12</i>	The ACE’s Cultural Competence Plan shall address the challenges of meeting the health care needs of Enrollees. The ACE’s Cultural Competence Plan shall contain, at a minimum, the following provisions: <ul style="list-style-type: none"> ◆ Involvement of executive management, support, Enrollee Care Plans, and Providers in the development and on-going operation of the Cultural Competence Plan; ◆ The individual executive position responsible for executing and monitoring the Cultural Competence Plan; 	<input type="checkbox"/> Met <input type="checkbox"/> Partially Met <input type="checkbox"/> Not Met <input type="checkbox"/> NA	

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	<ul style="list-style-type: none"> ◆ The creation and on-going operation of a committee or group within the ACE to assist the ACE to meet the cultural needs of its Enrollees; ◆ The assurance of cultural competence at each level of care; ◆ Indicators within the Cultural Competence Plan to be used as benchmarks toward achieving cultural competence; ◆ The written policies and procedures for cultural competence; ◆ The strategy and method for recruiting staff with backgrounds representative of Enrollees served; ◆ The availability of interpretive services; ◆ On-going strategy and its operation describing how the ACE will engage local organizations to develop or provide cultural competency training and collaborate on initiatives to increase and measure the effectiveness of culturally competent service delivery; and, ◆ Description of how cultural competence will be and is linked to health outcomes. 		
REQUIRED ACTIONS:			
CORRECTIVE ACTION RESPONSE:			
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POST IMPLEMENTATION REVIEW:			
13. Staff <i>Integrated Care Program Contract 2013-24-004, Section 2.7.2</i>	The ACE shall proactively hire staff who reflect the diversity of Enrollee demographics. The ACE shall require all staff to complete linguistic and cultural competency training upon hire, and no less frequently than annually thereafter. The ACE shall provide training targeted to individual staff members as necessary.	<input type="checkbox"/> Met <input type="checkbox"/> Partially Met <input type="checkbox"/> Not Met <input type="checkbox"/> NA	
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14. Cultural Competency <i>Integrated Care Program Contract 2013-24-004, Section 5.8.3</i>	The ACE will provide the cultural competency requirements at orientation, training sessions, and updates as needed.	<input type="checkbox"/> Met <input type="checkbox"/> Partially Met <input type="checkbox"/> Not Met <input type="checkbox"/> NA	
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