

		FOR BHF USE					

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2020
STATE OF ILLINOIS
DEPARTMENT OF HEALTHCARE AND FAMILY SERVICES
FINANCIAL AND STATISTICAL REPORT (COST REPORT)
FOR LONG-TERM CARE FACILITIES
(FISCAL YEAR 2020)

IMPORTANT NOTICE
THIS AGENCY IS REQUESTING DISCLOSURE OF INFORMATION THAT IS NECESSARY TO ACCOMPLISH THE STATUTORY PURPOSE AS OUTLINED IN 210 ILCS 45/3-208. DISCLOSURE OF THIS INFORMATION IS MANDATORY. FAILURE TO PROVIDE ANY INFORMATION ON OR BEFORE THE DUE DATE WILL RESULT IN CESSATION OF PROGRAM PAYMENTS. THIS FORM HAS BEEN APPROVED BY THE FORMS MANAGEMENT CENTER.

I. IDPH License ID Number: 0048041

Facility Name: Heritage Health Mt Sterling

Address: 435 Camden Road Mt Sterling 62353
Number City Zip Code

County: Brown

Telephone Number: (217) 773-3377 Fax # ()

HFS ID Number:

Date of Initial License for Current Owners: July 2006

Type of Ownership:

VOLUNTARY, NON-PROFIT
Charitable Corp.
Trust
IRS Exemption Code

xx PROPRIETARY
Individual
Partnership
Corporation
"Sub-S" Corp.
xx Limited Liability Co.
Trust
Other

GOVERNMENTAL
State
County
Other

In the event there are further questions about this report, please contact:
Name: David M Underwood Telephone Number: (309)8237135
Email Address:

II. CERTIFICATION BY AUTHORIZED FACILITY OFFICER

I have examined the contents of the accompanying report to the State of Illinois, for the period from 1/1/2020 to 12/31/2020 and certify to the best of my knowledge and belief that the said contents are true, accurate and complete statements in accordance with applicable instructions. Declaration of preparer (other than provider) is based on all information of which preparer has any knowledge.

Intentional misrepresentation or falsification of any information in this cost report may be punishable by fine and/or imprisonment.

Officer or Administrator of Provider

(Signed)
(Type or Print Name) David M Underwood
(Title) EVP & CFO

Paid Preparer

(Signed)
(Print Name and Title)
(Firm Name & Address)
(Telephone) () Fax # ()

MAIL TO: BUREAU OF HEALTH FINANCE
ILLINOIS DEPT OF HEALTHCARE AND FAMILY SERVICES
201 S. Grand Avenue East
Springfield, IL 62763-0001 Phone # (217) 782-1630

Facility Name & ID Number Heritage Health Mt Sterling

0048041 Report Period Beginning: 1/1/2020 Ending: 12/31/2020

III. STATISTICAL DATA

A. Licensure/certification level(s) of care; enter number of beds/bed days, (must agree with license). Date of change in licensed beds _____

	1	2	3	4	
	Beds at Beginning of Report Period	Licensure Level of Care	Beds at End of Report Period	Licensed Bed Days During Report Period	
1	80	Skilled (SNF)	80	29,280	1
2		Skilled Pediatric (SNF/PED)			2
3		Intermediate (ICF)			3
4		Intermediate/DD			4
5		Sheltered Care (SC)			5
6		ICF/DD 16 or Less			6
7	80	TOTALS	80	29,280	7

B. Census-For the entire report period.

	1	2	3	4	5	
	Level of Care	Patient Days by Level of Care and Primary Source of Payment				
		Medicaid Recipient	Private Pay	Other	Total	
8	SNF	9,793	3,413	1,601	14,807	8
9	SNF/PED					9
10	ICF					10
11	ICF/DD					11
12	SC					12
13	DD 16 OR LESS					13
14	TOTALS	9,793	3,413	1,601	14,807	14

C. Percent Occupancy. (Column 5, line 14 divided by total licensed bed days on line 7, column 4.) 50.57%

D. How many bed reserve days during this year were paid by the Department? None (Do not include bed reserve days in Section B.)

E. List all services provided by your facility for non-patients. (E.g., day care, "meals on wheels", outpatient therapy) None

F. Does the facility maintain a daily midnight census? Yes

G. Do pages 3 & 4 include expenses for services or investments not directly related to patient care? YES ☐ NO ☒

H. Does the BALANCE SHEET (page 17) reflect any non-care assets? YES ☐ NO ☒

I. On what date did you start providing long term care at this location? Date started July 2006

J. Was the facility purchased or leased after January 1, 1978? YES ☐ Date _____ NO ☒

K. Was the facility certified for Medicare during the reporting year? YES ☒ NO ☐ If YES, enter number of beds certified 80 and days of care provided 1,601

Medicare Intermediary WPS

IV. ACCOUNTING BASIS

ACCRUAL ☒ MODIFIED CASH* ☐ CASH* ☐

Is your fiscal year identical to your tax year? YES ☒ NO ☐

Tax Year: _____ Fiscal Year: _____
* All facilities other than governmental must report on the accrual basis.

Facility Name & ID Number Heritage Health Mt Sterling # 0048041 Report Period Beginning: 1/1/2020 Ending: 12/31/2020
V. COST CENTER EXPENSES (throughout the report, please round to the nearest dollar)

	Operating Expenses	Costs Per General Ledger				Reclass- ification 5	Reclassified Total 6	Adjust- ments 7	Adjusted Total 8	FOR BHF USE ONLY		
		Salary/Wage 1	Supplies 2	Other 3	Total 4					9	10	
	A. General Services											
1	Dietary	158,085	17,038	4,592	179,715		179,715	3,903	183,618			1
2	Food Purchase		138,892		138,892		138,892	(14)	138,878			2
3	Housekeeping	53,624	21,787		75,411		75,411	5,204	80,615			3
4	Laundry	29,236	4,361		33,597		33,597	372	33,969			4
5	Heat and Other Utilities			66,734	66,734		66,734	1,239	67,973			5
6	Maintenance	71,811	36,833	69,360	178,004		178,004	15,186	193,190			6
7	Other (specify):*											7
8	TOTAL General Services	312,756	218,911	140,686	672,353		672,353	25,890	698,243			8
	B. Health Care and Programs											
9	Medical Director			1,908	1,908		1,908		1,908			9
10	Nursing and Medical Records	1,066,802	85,875	158,211	1,310,888	(3,484)	1,307,404	10,902	1,318,306			10
10a	Therapy		60,969	5,698	66,667	(63,040)	3,627		3,627			10a
11	Activities	48,135	1,190		49,325		49,325	4	49,329			11
12	Social Services	36,378		1,363	37,741		37,741	112	37,853			12
13	CNA Training											13
14	Program Transportation											14
15	Other (specify):*											15
16	TOTAL Health Care and Programs	1,151,315	148,034	167,180	1,466,529	(66,524)	1,400,005	11,018	1,411,023			16
	C. General Administration											
17	Administrative	81,578			81,578		81,578		81,578			17
18	Directors Fees											18
19	Professional Services			170,165	170,165		170,165	(155,189)	14,976			19
20	Dues, Fees, Subscriptions & Promotions			151,793	151,793	(134,812)	16,981	(5,954)	11,027			20
21	Clerical & General Office Expenses	176,508	22,535	7,042	206,085		206,085	333,010	539,095			21
22	Employee Benefits & Payroll Taxes			277,851	277,851		277,851	33,536	311,387			22
23	Inservice Training & Education							621	621			23
24	Travel and Seminar			2,340	2,340		2,340	2,659	4,999			24
25	Other Admin. Staff Transportation											25
26	Insurance-Prop.Liab.Malpractice			44,592	44,592		44,592	55,556	100,148			26
27	Other (specify):* Lost resident items			66,451	66,451		66,451	(66,144)	307			27
28	TOTAL General Administration	258,086	22,535	720,234	1,000,855	(134,812)	866,043	198,095	1,064,138			28
29	TOTAL Operating Expense (sum of lines 8, 16 & 28)	1,722,157	389,480	1,028,100	3,139,737	(201,336)	2,938,401	235,003	3,173,404			29

*Attach a schedule if more than one type of cost is included on this line, or if the total exceeds \$1000.

NOTE: Include a separate schedule detailing the reclassifications made in column 5. Be sure to include a detailed explanation of each reclassification.

V. COST CENTER EXPENSES (continued)

	Capital Expense	Cost Per General Ledger				Reclass-ification	Reclassified Total	Adjust-ments	Adjusted Total	FOR BHF USE ONLY		
		Salary/Wage	Supplies	Other	Total					9	10	
	D. Ownership	1	2	3	4	5	6	7	8			
30	Depreciation							143,531	143,531			30
31	Amortization of Pre-Op. & Org.											31
32	Interest			38,868	38,868		38,868	26,865	65,733			32
33	Real Estate Taxes							43,826	43,826			33
34	Rent-Facility & Grounds			381,060	381,060		381,060	(375,280)	5,780			34
35	Rent-Equipment & Vehicles			11,560	11,560		11,560	10,232	21,792			35
36	Other (specify):*											36
37	TOTAL Ownership			431,488	431,488		431,488	(150,826)	280,662			37
	Ancillary Expense											
	E. Special Cost Centers											
38	Medically Necessary Transportation											38
39	Ancillary Service Centers			267,251	267,251	66,524	333,775	146,562	480,337			39
40	Barber and Beauty Shops			153	153		153		153			40
41	Coffee and Gift Shops											41
42	Provider Participation Fee					134,812	134,812		134,812			42
43	Other (specify):*											43
44	TOTAL Special Cost Centers			267,404	267,404	201,336	468,740	146,562	615,302			44
	GRAND TOTAL COST											
45	(sum of lines 29, 37 & 44)	1,722,157	389,480	1,726,992	3,838,629		3,838,629	230,739	4,069,368			45

*Attach a schedule if more than one type of cost is included on this line, or if the total exceeds \$1000.

VI. ADJUSTMENT DETAIL

A. The expenses indicated below are non-allowable and should be adjusted out of Schedule V, pages 3 or 4 via column 7.
In column 2 below, reference the line on which the particular cost was included. (See instructions.)

	NON-ALLOWABLE EXPENSES	1 Amount	2 Refer- ence	3 BHF USE ONLY	
1	Day Care	\$		\$	1
2	Other Care for Outpatients				2
3	Governmental Sponsored Special Programs				3
4	Non-Patient Meals				4
5	Telephone, TV & Radio in Resident Rooms				5
6	Rented Facility Space				6
7	Sale of Supplies to Non-Patients				7
8	Laundry for Non-Patients				8
9	Non-Straightline Depreciation				9
10	Interest and Other Investment Income	(41)			10
11	Discounts, Allowances, Rebates & Refunds				11
12	Non-Working Officer's or Owner's Salary				12
13	Sales Tax				13
14	Non-Care Related Interest				14
15	Non-Care Related Owner's Transactions				15
16	Personal Expenses (Including Transportation)				16
17	Non-Care Related Fees	(3,489)			17
18	Fines and Penalties				18
19	Entertainment	(1,505)			19
20	Contributions				20
21	Owner or Key-Man Insurance				21
22	Special Legal Fees & Legal Retainers	(1,363)			22
23	Malpractice Insurance for Individuals				23
24	Bad Debt	(66,144)			24
25	Fund Raising, Advertising and Promotional	(3,233)			25
26	Income Taxes and Illinois Personal Property Replacement Tax				26
27	CNA Training for Non-Employees				27
28	Yellow Page Advertising				28
29	Other-Attach Schedule				29
30	SUBTOTAL (A): (Sum of lines 1-29)	\$ (75,775)		\$	30

BHF USE ONLY									
48		49		50		51		52	

B. If there are expenses experienced by the facility which do not appear in the
general ledger, they should be entered below.(See instructions.)

		1 Amount	2 Reference	
31	Non-Paid Workers-Attach Schedule*	\$		31
32	Donated Goods-Attach Schedule*			32
33	Amortization of Organization & Pre-Operating Expense			33
34	Adjustments for Related Organization Costs (Schedule VII)	306,514		34
35	Other- Attach Schedule			35
36	SUBTOTAL (B): (sum of lines 31-35)	\$ 306,514		36
37	(sum of SUBTOTALS TOTAL ADJUSTMENTS (A) and (B))	\$ 230,739		37

*These costs are only allowable if they are necessary to meet minimum
licensing standards. Attach a schedule detailing the items included
on these lines.

C. Are the following expenses included in Sections A to D of pages 3
and 4? If so, they should be reclassified into Section E. Please
reference the line on which they appear before reclassification.
(See instructions.)

		1 Yes	2 No	3 Amount	4 Reference	
38	Medically Necessary Transport.			\$		38
39						39
40	Gift and Coffee Shops					40
41	Barber and Beauty Shops					41
42	Laboratory and Radiology					42
43	Prescription Drugs					43
44						44
45	Other-Attach Schedule					45
46	Other-Attach Schedule					46
47	TOTAL (C): (sum of lines 38-46)			\$		47

NON-ALLOWABLE EXPENSES		Amount	Sch. V Line Reference	
1		\$		1
2				2
3				3
4				4
5				5
6				6
7				7
8				8
9				9
10				10
11		(1,363)	19	11
12		(41)	32	12
13		(66,144)	27	13
14		(3,233)	20	14
15		(3,489)	20	15
16		0	27	16
17		(1,505)	24	17
18				18
19				19
20				20
21				21
22				22
23				23
24				24
25				25
26				26
27				27
28				28
29				29
30				30
31				31
32				32
33				33
34				34
35				35
36				36
37				37
38				38
39				39
40				40
41				41
42				42
43				43
44				44
45				45
46				46
47				47
48				48
49	Total	(75,775)		49

STATE OF ILLINOIS

Summary A

Facility Name & ID Number Heritage Health Mt Sterling# 0048041

Report Period Beginning:

1/1/2020

Ending:

12/31/2020**SUMMARY OF PAGES 5, 5A, 6, 6A, 6B, 6C, 6D, 6E, 6F, 6G, 6H AND 6I**

	Operating Expenses	PAGES	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	SUMMARY	
	A. General Services	5 & 5A	6	6A	6B	6C	6D	6E	6F	6G	6H	6I	TOTALS	
													(to Sch V, col.7)	
1	Dietary	0	0	3,903	0	0	0	0	0	0	0	0	3,903	1
2	Food Purchase	0	0	(14)	0	0	0	0	0	0	0	0	(14)	2
3	Housekeeping	0	0	5,204	0	0	0	0	0	0	0	0	5,204	3
4	Laundry	0	0	372	0	0	0	0	0	0	0	0	372	4
5	Heat and Other Utilities	0	0	1,239	0	0	0	0	0	0	0	0	1,239	5
6	Maintenance	0	0	15,186	0	0	0	0	0	0	0	0	15,186	6
7	Other (specify):*	0	0	0	0	0	0	0	0	0	0	0	0	7
8	TOTAL General Services	0	0	25,890	0	0	0	0	0	0	0	0	25,890	8
	B. Health Care and Programs													
9	Medical Director	0	0	0	0	0	0	0	0	0	0	0	0	9
10	Nursing and Medical Records	0	(9,310)	20,212	0	0	0	0	0	0	0	0	10,902	10
10a	Therapy	0	0	0	0	0	0	0	0	0	0	0	0	10a
11	Activities	0	0	4	0	0	0	0	0	0	0	0	4	11
12	Social Services	0	0	112	0	0	0	0	0	0	0	0	112	12
13	CNA Training	0	0	0	0	0	0	0	0	0	0	0	0	13
14	Program Transportation	0	0	0	0	0	0	0	0	0	0	0	0	14
15	Other (specify):*	0	0	0	0	0	0	0	0	0	0	0	0	15
16	TOTAL Health Care and Programs	0	(9,310)	20,328	0	0	0	0	0	0	0	0	11,018	16
	C. General Administration													
17	Administrative	0	0	0	0	0	0	0	0	0	0	0	0	17
18	Directors Fees	0	0	0	0	0	0	0	0	0	0	0	0	18
19	Professional Services	(1,363)	(167,075)	13,249	0	0	0	0	0	0	0	0	(155,189)	19
20	Fees, Subscriptions & Promotions	(6,722)	0	768	0	0	0	0	0	0	0	0	(5,954)	20
21	Clerical & General Office Expenses	0	0	333,010	0	0	0	0	0	0	0	0	333,010	21
22	Employee Benefits & Payroll Taxes	0	0	33,536	0	0	0	0	0	0	0	0	33,536	22
23	Inservice Training & Education	0	(434)	1,055	0	0	0	0	0	0	0	0	621	23
24	Travel and Seminar	(1,505)	0	4,164	0	0	0	0	0	0	0	0	2,659	24
25	Other Admin. Staff Transportation	0	0	0	0	0	0	0	0	0	0	0	0	25
26	Insurance-Prop.Liab.Malpractice	0	0	55,556	0	0	0	0	0	0	0	0	55,556	26
27	Other (specify):*	(66,144)	0	0	0	0	0	0	0	0	0	0	(66,144)	27
28	TOTAL General Administration	(75,734)	(167,509)	441,338	0	0	0	0	0	0	0	0	198,095	28
29	TOTAL Operating Expense (sum of lines 8,16 & 28)	(75,734)	(176,819)	487,556	0	0	0	0	0	0	0	0	235,003	29

STATE OF ILLINOIS

Summary B

Facility Name & ID Number Heritage Health Mt Sterling # 0048041 Report Period Beginning: 1/1/2020 Ending: 12/31/2020

SUMMARY OF PAGES 5, 5A, 6, 6A, 6B, 6C, 6D, 6E, 6F, 6G, 6H AND 6I

	Capital Expense	PAGES	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	SUMMARY	
	D. Ownership	5 & 5A	6	6A	6B	6C	6D	6E	6F	6G	6H	6I	TOTALS	
													(to Sch V, col.7)	
30	Depreciation	0	124,759	0	18,772	0	0	0	0	0	0	0	143,531	30
31	Amortization of Pre-Op. & Org.	0	0	0	0	0	0	0	0	0	0	0	0	31
32	Interest	(41)	24,799	0	2,107	0	0	0	0	0	0	0	26,865	32
33	Real Estate Taxes	0	43,826	0	0	0	0	0	0	0	0	0	43,826	33
34	Rent-Facility & Grounds	0	(381,060)	0	5,780	0	0	0	0	0	0	0	(375,280)	34
35	Rent-Equipment & Vehicles	0	0	0	10,232	0	0	0	0	0	0	0	10,232	35
36	Other (specify):*	0	0	0	0	0	0	0	0	0	0	0	0	36
37	TOTAL Ownership	(41)	(187,676)	0	36,891	0	0	0	0	0	0	0	(150,826)	37
	Ancillary Expense													
	E. Special Cost Centers													
38	Medically Necessary Transportation	0	0	0	0	0	0	0	0	0	0	0	0	38
39	Ancillary Service Centers	0	146,412	0	150	0	0	0	0	0	0	0	146,562	39
40	Barber and Beauty Shops	0	0	0	0	0	0	0	0	0	0	0	0	40
41	Coffee and Gift Shops	0	0	0	0	0	0	0	0	0	0	0	0	41
42	Provider Participation Fee	0	0	0	0	0	0	0	0	0	0	0	0	42
43	Other (specify):*	0	0	0	0	0	0	0	0	0	0	0	0	43
44	TOTAL Special Cost Centers	0	146,412	0	150	0	0	0	0	0	0	0	146,562	44
45	GRAND TOTAL COST (sum of lines 29, 37 & 44)	(75,775)	(218,083)	487,556	37,041	0	0	0	0	0	0	0	230,739	45

Facility Name & ID Number Heritage Health Mt Sterling # 0048041 Report Period Beginning: 1/1/2020 Ending: 12/31/2020

VII. RELATED PARTIES

A. Enter below the names of ALL owners and related organizations (parties) as defined in the instructions. Use Page 6-Supplemental as necessary.

1 OWNERS		2 RELATED NURSING HOMES		3 OTHER RELATED BUSINESS ENTITIES		
Name	Ownership %	Name	City	Name	City	Type of Business
Main SNF Services LLC	100	Attached Following This Page		Heritage Operations G	Bloomington	Mgmt. Services
				Green Tree Pharmacy	Minonk	Pharmacy
				Heritage Manor Real E	Bloomington	Propert rental

B. Are any costs included in this report which are a result of transactions with related organizations? This includes rent, management fees, purchase of supplies, and so forth. ☒ YES ☐ NO

If yes, costs incurred as a result of transactions with related organizations must be fully itemized in accordance with the instructions for determining costs as specified for this form.

1 Schedule V		2 Line	3 Cost Per General Ledger Item	4 Amount	5 Cost to Related Organization Name of Related Organization	6 Percent of Ownership	7 Operating Cost of Related Organization	8 Difference: Adjustments for Related Organization Costs (7 minus 4)	
1	V	10	Adjustment for Related Organiza	\$	GreenTree Pharmacy		\$ (9,310)	\$ (9,310)	1
2	V	23	Adjustment for Related Organization		GreenTree Pharmacy		(434)	(434)	2
3	V	39	Adjustment for Related Organization		GreenTree Pharmacy		146,412	146,412	3
4	V	19	Adjustment for Related Organization	167,075	Heritage Operations Group, LLC			(167,075)	4
5	V								5
6	V	34	Adjustment for Related Organization	381,060	Heritage Manor Real Estate, LLC			(381,060)	6
7	V	33	Adjustment for Related Organization		Heritage Manor Real Estate, LLC		43,826	43,826	7
8	V	32	Adjustment for Related Organization		Heritage Manor Real Estate, LLC		23,391	23,391	8
9	V	30	Adjustment for Related Organization		Heritage Manor Real Estate, LLC		124,759	124,759	9
10	V	32	Adjustment for Related Organization		Heritage Manor Real Estate, LLC		1,408	1,408	10
11	V								11
12	V								12
13	V								13
14	Total			\$ 548,135			\$ 330,052	\$ * (218,083)	14

* Total must agree with the amount recorded on line 34 of Schedule VI.

VII. RELATED PARTIES (continued)

B. Are any costs included in this report which are a result of transactions with related organizations? This includes rent, management fees, purchase of supplies, and so forth. ☒ YES ☐ NO

If yes, costs incurred as a result of transactions with related organizations must be fully itemized in accordance with the instructions for determining costs as specified for this form.

1		2	3 Cost Per General Ledger	4	5 Cost to Related Organization	6	7	8 Difference:	
Schedule V		Line	Item	Amount	Name of Related Organization	Percent of Ownership	Operating Cost of Related Organization	Adjustments for Related Organization Costs (7 minus 4)	
15	V	1	Dietary	\$	Heritage Operations Group		\$ 3,903	\$ 3,903	15
16	V	2	Food Purchase		Heritage Operations Group		(14)	(14)	16
17	V	3	Housekeeping		Heritage Operations Group		5,204	5,204	17
18	V	4	Laundry		Heritage Operations Group		372	372	18
19	V	5	Heat & Other Utilities		Heritage Operations Group		1,239	1,239	19
20	V	6	Maintenance		Heritage Operations Group		15,186	15,186	20
21	V	7	Other		Heritage Operations Group		0		21
22	V	9	Medical Director		Heritage Operations Group		0		22
23	V	10	Nursing & Medical Records		Heritage Operations Group		20,212	20,212	23
24	V	11	Activities		Heritage Operations Group		4	4	24
25	V	12	Social Service		Heritage Operations Group		112	112	25
26	V	13	Nurse Aide Training		Heritage Operations Group		0		26
27	V	14	Program Transportation		Heritage Operations Group		0		27
28	V	15	Other		Heritage Operations Group		0		28
29	V	17	Administrative		Heritage Operations Group		0		29
30	V	18	Directors Fees		Heritage Operations Group		0		30
31	V	19	Professional Services		Heritage Operations Group		13,249	13,249	31
32	V	20	Fees, Subscription, Promotions		Heritage Operations Group		768	768	32
33	V	21	Clerical & General Office Expenses		Heritage Operations Group		333,010	333,010	33
34	V	22	Employee Benefits & Payroll Taxes		Heritage Operations Group		33,536	33,536	34
35	V	23	Inservice Training & Education		Heritage Operations Group		1,055	1,055	35
36	V	24	Travel and Seminar		Heritage Operations Group		4,164	4,164	36
37	V	25	Other Admin. Staff Transportation		Heritage Operations Group		0		37
38	V	26	Insurance-Prop.Liab.Malpract		Heritage Operations Group		55,556	55,556	38
39	Total			\$			\$ 487,556	\$ * 487,556	39

* Total must agree with the amount recorded on line 34 of Schedule VI.

VII. RELATED PARTIES (continued)

B. Are any costs included in this report which are a result of transactions with related organizations? This includes rent, management fees, purchase of supplies, and so forth. ☒ YES ☐ NO

If yes, costs incurred as a result of transactions with related organizations must be fully itemized in accordance with the instructions for determining costs as specified for this form.

1		2	3 Cost Per General Ledger	4	5 Cost to Related Organization	6	7	8 Difference:	
Schedule V		Line	Item	Amount	Name of Related Organization	Percent of Ownership	Operating Cost of Related Organization	Adjustments for Related Organization Costs (7 minus 4)	
15	V	27		\$	Heritage Operations Group		\$ 0	\$	15
16	V	30			Heritage Operations Group		18,772	18,772	16
17	V	31			Heritage Operations Group		0		17
18	V	32			Heritage Operations Group		2,107	2,107	18
19	V	33			Heritage Operations Group		0		19
20	V	34			Heritage Operations Group		5,780	5,780	20
21	V	35			Heritage Operations Group		10,232	10,232	21
22	V	36			Heritage Operations Group		0		22
23	V	38			Heritage Operations Group		0		23
24	V	39			Heritage Operations Group		150	150	24
25	V	40			Heritage Operations Group		0		25
26	V	41			Heritage Operations Group		0		26
27	V	42			Heritage Operations Group		0		27
28	V								28
29	V								29
30	V								30
31	V								31
32	V								32
33	V								33
34	V								34
35	V								35
36	V								36
37	V								37
38	V								38
39	Total			\$			\$ 37,041	\$ * 37,041	39

* Total must agree with the amount recorded on line 34 of Schedule VI.

Facility Name & ID Number Heritage Health Mt Sterling # 0048041 Report Period Beginning: 1/1/2020 Ending: 12/31/2020

VII. RELATED PARTIES (continued)

C. Statement of Compensation and Other Payments to Owners, Relatives and Members of Board of Directors.

NOTE: ALL owners (even those with less than 5% ownership) and their relatives who receive any type of compensation from this home must be listed on this schedule.

	1 Name	2 Title	3 Function	4 Ownership Interest	5 Compensation Received From Other Nursing Homes*	6 Average Hours Per Work Week Devoted to this Facility and % of Total Work Week		7 Compensation Included in Costs for this Reporting Period**		8 Schedule V. Line & Column Reference	
						Hours	Percent	Description	Amount		
1	Main SNF Services LLC			100.00	0	0			\$ 0		1
2											2
3											3
4											4
5											5
6											6
7											7
8											8
9											9
10											10
11											11
12											12
13								TOTAL	\$		13

* If the owner(s) of this facility or any other related parties listed above have received compensation from other nursing homes, attach a schedule detailing the name(s) of the home(s) as well as the amount paid. THIS AMOUNT MUST AGREE TO THE AMOUNTS CLAIMED ON THE THE OTHER NURSING HOMES' COST REPORTS.

** This must include all forms of compensation paid by related entities and allocated to Schedule V of this report (i.e., management fees). FAILURE TO PROPERLY COMPLETE THIS SCHEDULE INDICATING ALL FORMS OF COMPENSATION RECEIVED FROM THIS HOME, ALL OTHER NURSING HOMES AND MANAGEMENT COMPANIES MAY RESULT IN THE DISALLOWANCE OF SUCH COMPENSATION.

Facility Name & ID Number Heritage Health Mt Sterling# 0048041

Report Period Beginning:

1/1/2020Ending: 2/31/2020

VIII. ALLOCATION OF INDIRECT COSTS

A. Are there any costs included in this report which were derived from allocations of central office or parent organization costs? (See instructions.) YES ☒ NO ☐

B. Show the allocation of costs below. If necessary, please attach worksheets.

Name of Related Organization

Heritage Operations Group

Street Address

115 W Jefferson Street

City / State / Zip Code

Bloomington, IL 61701

Phone Number

(309 828-4361

Fax Number

(309 829-5477

	1 Schedule V Line Reference	2 Item	3 Unit of Allocation (i.e.,Days, Direct Cost, Square Feet)	4 Total Units	5 Number of Subunits Being Allocated Among	6 Total Indirect Cost Being Allocated	7 Amount of Salary Cost Contained in Column 6	8 Facility Units	9 Allocation (col.8/col.4)x col.6	
1	1	Dietary	Beds	2,493	25	\$ 121,634	\$ 121,338	80	\$ 3,903	1
2	2	Food Purchase	Beds	2,493	25	(423)	0	80	(14)	2
3	3	Housekeeping	Beds	2,493	25	162,156	0	80	5,204	3
4	4	Laundry	Beds	2,493	25	11,591	0	80	372	4
5	5	Heat & Other Utilities	Beds	2,493	25	38,605	0	80	1,239	5
6	6	Maintenance	Beds	2,493	25	473,233	88,567	80	15,186	6
7	7	Other	Beds	2,493	25	0	0	80	0	7
8	9	Medical Director	Beds	2,493	25	0	0	80	0	8
9	10	Nursing & Medical Records	Beds	2,493	25	629,872	35,401	80	20,212	9
10	11	Activities	Beds	2,493	25	129	0	80	4	10
11	12	Social Service	Beds	2,493	25	3,478	3,478	80	112	11
12	13	Nurse Aide Training	Beds	2,493	25	0	0	80	0	12
13	14	Program Transportation	Beds	2,493	25	0	0	80	0	13
14	15	Other	Beds	2,493	25	0	0	80	0	14
15	17	Administrative	Beds	2,493	25	0	0	80	0	15
16	18	Directors Fees	Beds	2,493	25	0	0	80	0	16
17	19	Professional Services	Beds	2,493	25	412,869	0	80	13,249	17
18	20	Fees, Subscription, Promotions	Beds	2,493	25	23,945	0	80	768	18
19	21	Clerical & General Office Expense	Beds	2,493	25	10,377,428	9,978,005	80	333,010	19
20	22	Employee Benefits & Payroll Taxes	Beds	2,493	25	1,045,059	0	80	33,536	20
21	23	Inservice Training & Education	Beds	2,493	25	32,865	0	80	1,055	21
22	24	Travel and Seminar	Beds	2,493	25	129,776	0	80	4,164	22
23	25	Other Admin. Staff Transportation	Beds	2,493	25	0	0	80	0	23
24	26	Insurance-Prop.Liab.Malpract	Beds	2,493	25	1,731,253	0	80	55,556	24
25	TOTALS					\$ 15,193,470	\$ 10,226,789		\$ 487,556	25

Facility Name & ID Number Heritage Health Mt Sterling # 0048041 Report Period Beginning: 1/1/2020 Ending: 2/31/2020

VIII. ALLOCATION OF INDIRECT COSTS

A. Are there any costs included in this report which were derived from allocations of central office or parent organization costs? (See instructions.) YES ☒ NO ☐

B. Show the allocation of costs below. If necessary, please attach worksheets.

Name of Related Organization Heritage Operations Group
Street Address 115 W Jefferson Street
City / State / Zip Code Bloomington, IL 61701
Phone Number (309 828-4361
Fax Number (309 829-5477

	1	2	3	4	5	6	7	8	9	
	Schedule V Line Reference	Item	Unit of Allocation (i.e.,Days, Direct Cost, Square Feet)	Total Units	Number of Subunits Being Allocated Among	Total Indirect Cost Being Allocated	Amount of Salary Cost Contained in Column 6	Facility Units	Allocation (col.8/col.4)x col.6	
1	27	Other	Beds	2,493	25	\$	\$	80	\$	1
2	30	Depreciation	Beds	2,493	25	584,981		80	18,772	2
3	31	Amortization of Pre-Op & Org	Beds	2,493	25			80		3
4	32	Interest	Beds	2,493	25	65,658		80	2,107	4
5	33	Real Estate Taxes	Beds	2,493	25			80		5
6	34	Rent-Facility & Grounds	Beds	2,493	25	180,106		80	5,780	6
7	35	Rent-Equipment & Vehicles	Beds	2,493	25	318,843		80	10,232	7
8	36	Other	Beds	2,493	25			80		8
9	38	Medically Nec Transportation	Beds	2,493	25			80		9
10	39	Ancillary Service Centers	Beds	2,493	25	4,685		80	150	10
11	40	Barber and Beauty Shops	Beds	2,493	25			80		11
12	41	Coffee and Gift Shops	Beds	2,493	25			80		12
13	42	Other	Beds	2,493	25			80		13
14										14
15										15
16										16
17										17
18										18
19										19
20										20
21										21
22										22
23										23
24										24
25	TOTALS					\$ 1,154,273	\$		\$ 37,041	25

IX. INTEREST EXPENSE AND REAL ESTATE TAX EXPENSE

A. Interest: (Complete details must be provided for each loan - attach a separate schedule if necessary.)

1		2		3		4		5		6		7		8		9		10	
	Name of Lender	Related**		Purpose of Loan	Monthly Payment Required	Date of Note	Amount of Note		Maturity Date	Interest Rate (4 Digits)	Reporting Period Interest Expense								
		YES	NO				Original	Balance											
	A. Directly Facility Related																		
	Long-Term																		
1	Busey Bank		xx	Mortgage			\$		\$			\$	23,391	1					
2	Busey Bank		xx	Loan Fee Amortization									1,408	2					
3														3					
4														4					
5														5					
	Working Capital																		
6	Busey Bank		xx	Working Capital									38,868	6					
7														7					
8														8					
9	TOTAL Facility Related						\$		\$				\$	63,667	9				
	B. Non-Facility Related*																		
10	Interest Income												(41)	10					
11														11					
12	Allocated Corporate												2,107	12					
13														13					
14	TOTAL Non-Facility Related						\$		\$				\$	2,066	14				
15	TOTALS (line 9+line14)						\$		\$				\$	65,733	15				

16) Please indicate the total amount of mortgage insurance expense and the location of this expense on Sch. V. \$ None Line #

* Any interest expense reported in this section should be adjusted out on page 5, line 14 and, consequently, page 4, col. 7.
(See instructions.)

** If there is ANY overlap in ownership between the facility and the lender, this must be indicated in column 2.
(See instructions.)

IX. INTEREST EXPENSE AND REAL ESTATE TAX EXPENSE (continued)

B. Real Estate Taxes

[illegible]

NOTES:

1. Please indicate a negative number by use of brackets(). Deduct any overaccrual of taxes from prior year.
2. If facility is a non-profit which pays real estate taxes, you must attach a denial of an application for real estate tax exemption unless the building is rented from a for-profit entity. **This denial must be no more than four years old at the time the cost report is filed.**

FACILITY NAME Heritage Health Mt Sterling COUNTY Brown

FACILITY IDPH LICENSE NUMBER 0048041

CONTACT PERSON REGARDING THIS REPORT _____

TELEPHONE () _____ FAX #: () _____

Enter the tax index number and real estate tax assessed for 2019 on the lines provided below. Enter only the portion of the cost that applies to the operation of the nursing home in Column D. Real estate tax applicable to any portion of the nursing home property which is vacant, rented to other organizations, or used for purposes other than long term care must not be entered in Column D. Do not include cost for any period other than calendar year 2019.

Does any portion of the tax bill apply to more than one nursing home, vacant property, or property which is not directly used for nursing home services? YES xx NO

PLEASE NOTE: *Payment information from the Internet* or otherwise is **not considered acceptable tax bill documentation** . Facilities located in Cook County are required to provide copies of their original **second installment** tax bill.

A. Square Feet: 23,650

B. General Construction Type: Exterior Brick Frame Wood Number of Stories 1

C. Does the Operating Entity?

☐ (a) Own the Facility

☒ (b) Rent from a Related Organization.

☐ (c) Rent from Completely Unrelated Organization.

(Facilities checking (a) or (b) must complete Schedule XI. Those checking (c) may complete Schedule XI or Schedule XII-A. See instructions.)

D. Does the Operating Entity?

☐ (a) Own the Equipment

☒ (b) Rent equipment from a Related Organization.

☐ (c) Rent equipment from Completely Unrelated Organization.

(Facilities checking (a) or (b) must complete Schedule XI-C. Those checking (c) may complete Schedule XI-C or Schedule XII-B. See instructions.)

E. List all other business entities owned by this operating entity or related to the operating entity that are located on or adjacent to this nursing home's grounds (such as, but not limited to, apartments, assisted living facilities, day training facilities, day care, independent living facilities, CNA training facilities, etc.) List entity name, type of business, square footage, and number of beds/units available (where applicable).

None

F. Does this cost report reflect any organization or pre-operating costs which are being amortized?

☐ YES☒ NO

If so, please complete the following:

1. Total Amount Incurred:

2. Number of Years Over Which it is Being Amortized:

3. Current Period Amortization:

4. Dates Incurred:

Nature of Costs:

(Attach a complete schedule detailing the total amount of organization and pre-operating costs.)

XI. OWNERSHIP COSTS:

A. Land.	1	2	3	4	
	Use	Square Feet	Year Acquired	Cost	
1				\$ 125,400	1
2					2
3	TOTALS			\$ 125,400	3

Facility Name & ID Number Heritage Health Mt Sterling

0048041

Report Period Beginning:

1/1/2020

Ending:

12/31/2020

XI. OWNERSHIP COSTS (continued)

B. Building and Improvement Costs-Including Fixed Equipment. (See instructions.) Round all numbers to nearest dollar.

	1	2	3	4	5	6	7	8	9	
	Beds*	FOR BHF USE ONLY	Year Acquired	Year Constructed	Cost	Current Book Depreciation	Life in Years	Straight Line Depreciation	Adjustments	Accumulated Depreciation
4	80				\$ 914,680	\$		\$	\$	4
5										5
6										6
7										7
8										8
	Improvement Type**									
9	1987 Improvements		1987		90,747					9
10	1988 Improvements		1988		25,324					10
11	1989 Improvements		1989		64,856					11
12	1990 Improvements		1990		14,699					12
13	1991 Improvements		1991		18,519					13
14	1992 Improvements		1992		18,102					14
15	1993 Improvements		1993		54,992					15
16	1994 Improvements		1994		114,380					16
17	1995 Improvements		1995		22,646					17
18	1996 Improvements		1996		75,751					18
19	1997 Improvements		1997		206,802					19
20	1998 Improvements		1998		797					20
21	1999 Improvements		1999		7,162					21
22	2000 Improvements		2000		10,927					22
23	2001 Improvements		2001		5,324					23
24	2002 Improvements		2002		2,634					24
25	2003 Improvements		2003		23,488					25
26	2004 Improvements		2004		23,675					26
27	2005 Improvements		2005		28,615					27
28	2006 Improvements		2006		43,539					28
29	2007 Improvements		2007		70,345					29
30	2008 Improvements		2008		41,115					30
31	2009 Improvements		2009		262,455					31
32	2010 Improvements		2010		29,538					32
33										33
34	C/O Allocation					18,772		18,772		34
35	Book Depreciation					97,300		97,300		35
36										36

*Total beds on this schedule must agree with page 2.

**Improvement type must be detailed in order for the cost report to be considered complete.

See Page 12A, Line 70 for total

Facility Name & ID Number Heritage Health Mt Sterling

0048041

Report Period Beginning:

1/1/2020

Ending:

12/31/2020

XI. OWNERSHIP COSTS (continued)**B. Building and Improvement Costs-Including Fixed Equipment. (See instructions.) Round all numbers to nearest dollar.**

1	2	3	4	5	6	7	8	9	
	Improvement Type**	Year Constructed	Cost	Current Book Depreciation	Life in Years	Straight Line Depreciation	Adjustments	Accumulated Depreciation	
37	2011 Improvements	2011	\$ 60,686	\$		\$	\$		37
38	2012 Improvements	2012	24,087						38
39	2013 Improvements	2013	90,110						39
40	2014 Improvements	2014	85,577						40
41									41
42	Nurse Call System cabling and electronics	2015	183,566						42
43	Radiator replacement on generator set	2015	3,479						43
44	Install (10) new PTAC units	2015	7,515						44
45	Install new meter controlled water softener	2015	5,279						45
46	Install new life safety panel	2015	3,200						46
47	Replace back door - fire paneled with new concrete	2015	4,840						47
48									48
49	Install Luxaire gas furnace	2016	2,995						49
50	Shower room floor demolition and installation of new tile	2016	5,687						50
51									51
52	No 2017 Improvements	2017							52
53									53
54	Install water heater	2018	4,945						54
55	Replace entire kitchen floor	2018	9,591						55
56									56
57	Replace hot water storage tank	2019	3,043						57
58	Install new PTAC circuits	2019	4,887						58
59									59
60	Replaced sprinkler heads throughout facility	2020	11,377						60
61	Replaced air conditioning system - kitchen	2020	4,963						61
62									62
63									63
64									64
65									65
66									66
67									67
68									68
69									69
70	TOTAL (lines 4 thru 69)		\$ 2,686,939	\$ 116,072		\$ 116,072	\$	\$	70

**Improvement type must be detailed in order for the cost report to be considered complete.

XI. OWNERSHIP COSTS (continued)

C. Equipment Costs-Excluding Transportation. (See instructions.)

	Category of Equipment	1 Cost	Current Book Depreciation 2	Straight Line Depreciation 3	4 Adjustments	Component Life 5	Accumulated Depreciation 6	
71	Purchased in Prior Years	\$ 885,836	\$ 27,459	\$ 27,459	\$		\$	71
72	Current Year Purchases	6,552						72
73	Fully Depreciated Assets							73
74								74
75	TOTALS	\$ 892,388	\$ 27,459	\$ 27,459	\$		\$	75

D. Vehicle Costs. (See instructions.)*

	1 Use	Model, Make and Year 2	Year Acquired 3	4 Cost	Current Book Depreciation 5	Straight Line Depreciation 6	7 Adjustments	Life in Years 8	Accumulated Depreciation 9	
76		2010 Town & Country Van	2012	\$ 30,953	\$	\$	\$		\$	76
77										77
78										78
79										79
80	TOTALS			\$ 30,953	\$	\$	\$		\$	80

E. Summary of Care-Related Assets

		1 Reference	2 Amount	
81	Total Historical Cost	(line 3, col.4 + line 70, col.4 + line 75, col.1 + line 80, col.4) + (Pages 12B thru 12I, if applicable)	\$ 3,735,680	81
82	Current Book Depreciation	(line 70, col.5 + line 75, col.2 + line 80, col.5) + (Pages 12B thru 12I, if applicable)	\$ 143,531	82
83	Straight Line Depreciation	(line 70, col.7 + line 75, col.3 + line 80, col.6) + (Pages 12B thru 12I, if applicable)	\$ 143,531	83
84	Adjustments	(line 70, col.8 + line 75, col.4 + line 80, col.7) + (Pages 12B thru 12I, if applicable)	\$	84
85	Accumulated Depreciation	(line 70, col.9 + line 75, col.6 + line 80, col.9) + (Pages 12B thru 12I, if applicable)	\$	85

F. Depreciable Non-Care Assets Included in General Ledger. (See instructions.)

	1 Description & Year Acquired	2 Cost	Current Book Depreciation 3	Accumulated Depreciation 4	
86		\$	\$	\$	86
87					87
88					88
89					89
90					90
91	TOTALS	\$	\$	\$	91

G. Construction-in-Progress

	Description	Cost	
92		\$	92
93			93
94			94
95		\$	95

* Vehicles used to transport residents to & from day training must be recorded in XI-F, not XI-D.

** This must agree with Schedule V line 30, column 8.

XII. RENTAL COSTS

A. Building and Fixed Equipment (See instructions.)

1. Name of Party Holding Lease:None
2. Does the facility also pay real estate taxes in addition to rental amount shown below on line 7, column 4?
If NO, see instructions.

		1 Year Constructed	2 Number of Beds	3 Original Lease Date	4 Rental Amount	5 Total Years of Lease	6 Total Years Renewal Option*	
3	Original Building:				\$			3
4	Additions							4
5								5
6								6
7	TOTAL				\$			7

8. List separately any amortization of lease expense included on page 4, line 34.
This amount was calculated by dividing the total amount to be amortized
by the length of the lease.
9. Option to Buy:

B. Equipment-Excluding Transportation and Fixed Equipment. (See instructions.)

15. Is Movable equipment rental included in building rental?
16. Rental Amount for movable equipment: \$11,560Description:Office equipment
(Attach a schedule detailing the breakdown of movable equipment)

C. Vehicle Rental (See instructions.)

	1 Use	2 Model Year and Make	3 Monthly Lease Payment	4 Rental Expense for this Period	
17			\$	\$	17
18					18
19					19
20					20
21	TOTAL		\$	\$	21

* If there is an option to buy the building, please provide complete details on attached schedule.

** This amount plus any amortization of lease expense must agree with page 4, line 34.

A. TYPE OF TRAINING PROGRAM (If CNAs are trained in another facility program, attach a schedule listing the facility name, address and cost per CNA trained in that facility.)

1. HAVE YOU TRAINED CNAs DURING THIS REPORT PERIOD?

☐ YES

☐ NO

If "yes", please complete the remainder of this schedule. If "no", provide an explanation as to why this training was not necessary.

2. CLASSROOM PORTION:

IN-HOUSE PROGRAM

IN OTHER FACILITY

COMMUNITY COLLEGE

HOURS PER CNA

3. CLINICAL PORTION:

IN-HOUSE PROGRAM

IN OTHER FACILITY

HOURS PER CNA

B. EXPENSES

ALLOCATION OF COSTS (d)

		1	2	3	4
		Facility			
		Drop-outs	Completed	Contract	Total
1	Community College Tuition	\$	\$	\$	\$
2	Books and Supplies				
3	Classroom Wages (a)				
4	Clinical Wages (b)				
5	In-House Trainer Wages (c)				
6	Transportation				
7	Contractual Payments				
8	CNA Competency Tests				
9	TOTALS	\$	\$	\$	\$
10	SUM OF line 9, col. 1 and 2 (e)	\$			

C. CONTRACTUAL INCOME

In the box below record the amount of income your facility received training CNAs from other facilities.

\$

D. NUMBER OF CNAs TRAINED

COMPLETED	
1. From this facility	
2. From other facilities (f)	
DROP-OUTS	
1. From this facility	
2. From other facilities (f)	
TOTAL TRAINED	

(a) Include wages paid during the classroom portion of training. Do not include fringe benefits.

(b) Include wages paid during the clinical portion of training. Do not include fringe benefits.

(c) For in-house training programs only. Do not include fringe benefits.

(d) Allocate based on if the CNA is from your facility or is being contracted to be trained in your facility. Drop-out costs can only be for costs incurred by your own CNAs.

(e) The total amount of Drop-out and Completed Costs for your own CNAs must agree with Sch. V, line 13, col. 8.

(f) Attach a schedule of the facility names and addresses of those facilities for which you trained CNAs.

XIV. SPECIAL SERVICES (Direct Cost) (See instructions.)										
		1	2	3	4	5	6	7	8	
	Service	Schedule V Line & Column Reference	Staff		Outside Practitioner (other than consultant)		Supplies (Actual or Allocated)	Total Units (Column 2 + 4)	Total Cost (Col. 3 + 5 + 6)	
			Units of Service	Cost	Units	Cost				
1	Licensed Occupational Therapist		hrs	\$		\$ 98,286	\$		\$ 98,286	1
2	Licensed Speech and Language Development Therapist		hrs			24,762			24,762	2
3	Licensed Recreational Therapist		hrs							3
4	Licensed Physical Therapist		hrs			144,203	143		144,346	4
5	Physician Care		visits							5
6	Dental Care		visits							6
7	Work Related Program		hrs							7
8	Habilitation		hrs							8
9	Pharmacy		# of prescrpts				60,826		60,826	9
10	Psychological Services (Evaluation and Diagnosis/ Behavior Modification)		hrs							10
11	Academic Education		hrs							11
12	Other (specify):									12
13	Other (specify):					5,698			5,698	13
14	TOTAL			\$		\$ 272,949	\$ 60,969		\$ 333,918	14

NOTE: This schedule should include fees (other than consultant fees) paid to licensed practitioners. Consultant fees should be detailed on Schedule XVIII-B. Salaries of unlicensed practitioners, such as CNAs, who help with the above activities should not be listed on this schedule.

This report must be completed even if financial statements are attached.

		1 Operating	2 After Consolidation*	
	A. Current Assets			
1	Cash on Hand and in Banks	\$ 960	\$	1
2	Cash-Patient Deposits	29,377		2
3	Accounts & Short-Term Notes Receivable-Patients (less allowance)	425,595		3
4	Supply Inventory (priced at FIFO)	15,611		4
5	Short-Term Investments			5
6	Prepaid Insurance	122		6
7	Other Prepaid Expenses			7
8	Accounts Receivable (owners or related parties)	(2,186,924)		8
9	Other(specify):			9
10	TOTAL Current Assets (sum of lines 1 thru 9)	\$ (1,715,259)	\$	10
	B. Long-Term Assets			
11	Long-Term Notes Receivable			11
12	Long-Term Investments			12
13	Land			13
14	Buildings, at Historical Cost			14
15	Leasehold Improvements, at Historical Cost			15
16	Equipment, at Historical Cost			16
17	Accumulated Depreciation (book methods)			17
18	Deferred Charges			18
19	Organization & Pre-Operating Costs			19
20	Accumulated Amortization - Organization & Pre-Operating Costs			20
21	Restricted Funds			21
22	Other Long-Term Assets (specify):			22
23	Other(specify):			23
24	TOTAL Long-Term Assets (sum of lines 11 thru 23)	\$	\$	24
25	TOTAL ASSETS (sum of lines 10 and 24)	\$ (1,715,259)	\$	25

		1 Operating	2 After Consolidation*	
	C. Current Liabilities			
26	Accounts Payable	\$	\$	26
27	Officer's Accounts Payable			27
28	Accounts Payable-Patient Deposits	29,377		28
29	Short-Term Notes Payable			29
30	Accrued Salaries Payable	161,969		30
31	Accrued Taxes Payable (excluding real estate taxes)	6,194		31
32	Accrued Real Estate Taxes(Sch.IX-B)			32
33	Accrued Interest Payable			33
34	Deferred Compensation			34
35	Federal and State Income Taxes			35
	Other Current Liabilities(specify):			
36	Bed Tax	6,695		36
37	Deferred Stimulus	189,879		37
38	TOTAL Current Liabilities (sum of lines 26 thru 37)	\$ 394,114	\$	38
	D. Long-Term Liabilities			
39	Long-Term Notes Payable			39
40	Mortgage Payable			40
41	Bonds Payable			41
42	Deferred Compensation			42
	Other Long-Term Liabilities(specify):			
43				43
44				44
45	TOTAL Long-Term Liabilities (sum of lines 39 thru 44)	\$	\$	45
46	TOTAL LIABILITIES (sum of lines 38 and 45)	\$ 394,114	\$	46
47	TOTAL EQUITY(page 18, line 24)	\$ (2,109,373)	\$	47
48	TOTAL LIABILITIES AND EQUITY (sum of lines 46 and 47)	\$ (1,715,259)	\$	48

*(See instructions.)

XVI. STATEMENT OF CHANGES IN EQUITY

		1 Total	
1	Balance at Beginning of Year, as Previously Reported	\$ (1,769,323)	1
2	Restatements (describe):		2
3			3
4			4
5			5
6	Balance at Beginning of Year, as Restated (sum of lines 1-5)	\$ (1,769,323)	6
	A. Additions (deductions):		
7	NET Income (Loss) (from page 19, line 43)	(340,050)	7
8	Aquisitions of Pooled Companies		8
9	Proceeds from Sale of Stock		9
10	Stock Options Exercised		10
11	Contributions and Grants		11
12	Expenditures for Specific Purposes		12
13	Dividends Paid or Other Distributions to Owners	()	13
14	Donated Property, Plant, and Equipment		14
15	Other (describe)		15
16	Other (describe)		16
17	TOTAL Additions (deductions) (sum of lines 7-16)	\$ (340,050)	17
	B. Transfers (Itemize):		
18			18
19			19
20			20
21			21
22			22
23	TOTAL Transfers (sum of lines 18-22)	\$	23
24	BALANCE AT END OF YEAR (sum of lines 6 + 17 + 23)	\$ (2,109,373)	24 *

* This must agree with page 17, line 47.

Facility Name & ID Number Heritage Health Mt Sterling

0048041

Report Period Beginning: 1/1/2020

Ending: 12/31/2020

XVII. INCOME STATEMENT (attach any explanatory footnotes necessary to reconcile this schedule to Schedules V and VI.) All required classifications of revenue and expense must be provided on this form, even if financial statements are attached.**Note: This schedule should show gross revenue and expenses. Do not net revenue against expense.**

1

	I. Revenue	Amount	
	A. Inpatient Care		
1	Gross Revenue -- All Levels of Care	\$ 3,074,259	1
2	Discounts and Allowances for all Levels	(659,163)	2
3	SUBTOTAL Inpatient Care (line 1 minus line 2)	\$ 2,415,096	3
	B. Ancillary Revenue		
4	Day Care		4
5	Other Care for Outpatients		5
6	Therapy	670,529	6
7	Oxygen		7
8	SUBTOTAL Ancillary Revenue (lines 4 thru 7)	\$ 670,529	8
	C. Other Operating Revenue		
9	Payments for Education		9
10	Other Government Grants	283,464	10
11	CNA Training Reimbursements		11
12	Gift and Coffee Shop		12
13	Barber and Beauty Care		13
14	Non-Patient Meals		14
15	Telephone, Television and Radio		15
16	Rental of Facility Space		16
17	Sale of Drugs	111,215	17
18	Sale of Supplies to Non-Patients		18
19	Laboratory		19
20	Radiology and X-Ray		20
21	Other Medical Services	16,569	21
22	Laundry		22
23	SUBTOTAL Other Operating Revenue (lines 9 thru 22)	\$ 411,248	23
	D. Non-Operating Revenue		
24	Contributions	480	24
25	Interest and Other Investment Income***	41	25
26	SUBTOTAL Non-Operating Revenue (lines 24 and 25)	\$ 521	26
	E. Other Revenue (specify):****		
27	Settlement Income (Insurance, Legal, Etc.)		27
28	Activity Fund	1,185	28
28a			28a
29	SUBTOTAL Other Revenue (lines 27, 28 and 28a)	\$ 1,185	29
30	TOTAL REVENUE (sum of lines 3, 8, 23, 26 and 29)	\$ 3,498,579	30

2

	II. Expenses	Amount	
	A. Operating Expenses		
31	General Services	672,353	31
32	Health Care	1,466,529	32
33	General Administration	1,000,855	33
	B. Capital Expense		
34	Ownership	431,488	34
	C. Ancillary Expense		
35	Special Cost Centers	267,404	35
36	Provider Participation Fee		36
	D. Other Expenses (specify):		
37			37
38			38
39			39
40	TOTAL EXPENSES (sum of lines 31 thru 39)*	\$ 3,838,629	40
41	Income before Income Taxes (line 30 minus line 40)**	(340,050)	41
42	Income Taxes		42
43	NET INCOME OR LOSS FOR THE YEAR (line 41 minus line 42)	\$ (340,050)	43

	III. Net Inpatient Revenue detailed by Payer Source		
44	Medicaid - Net Inpatient Revenue	\$	44
45	Private Pay - Net Inpatient Revenue		45
46	Medicare - Net Inpatient Revenue		46
47	Other-(specify)		47
48	Other-(specify)		48
49	TOTAL Inpatient Care Revenue (This total must agree to Line 3)	\$	49

* This must agree with page 4, line 45, column 4.

** Does this agree with taxable income (loss) per Federal Income Tax Return? If not, please attach a reconciliation.

*** See the instructions. If this total amount has not been offset against interest expense on Schedule V, line 32, please include a detailed explanation.

****Provide a detailed breakdown of "Other Revenue" on an attached sheet.

XVIII. A. STAFFING AND SALARY COSTS (Please report each line separately.)

(This schedule must cover the entire reporting period.)

		1	2**	3	4	
		# of Hrs. Actually Worked	# of Hrs. Paid and Accrued	Reporting Period Total Salaries, Wages	Average Hourly Wage	
1	Director of Nursing	1,985	2,068	\$ 68,518	\$ 33.13	1
2	Assistant Director of Nursing					2
3	Registered Nurses	1,664	1,733	56,955	32.86	3
4	Licensed Practical Nurses	12,003	12,503	300,620	24.04	4
5	CNAs & Orderlies	33,729	35,135	633,352	18.03	5
6	CNA Trainees					6
7	Licensed Therapist					7
8	Rehab/Therapy Aides	290	302	7,357	24.36	8
9	Activity Director					9
10	Activity Assistants	3,687	3,841	48,135	12.53	10
11	Social Service Workers	1,906	1,985	36,378	18.33	11
12	Dietician					12
13	Food Service Supervisor					13
14	Head Cook					14
15	Cook Helpers/Assistants	11,472	11,950	158,085	13.23	15
16	Dishwashers					16
17	Maintenance Workers	3,880	4,041	71,811	17.77	17
18	Housekeepers	5,033	5,243	53,624	10.23	18
19	Laundry	2,689	2,801	29,236	10.44	19
20	Administrator	1,760	1,834	81,578	44.48	20
21	Assistant Administrator					21
22	Other Administrative					22
23	Office Manager					23
24	Clerical	4,957	5,164	176,508	34.18	24
25	Vocational Instruction					25
26	Academic Instruction					26
27	Medical Director					27
28	Qualified MR Prof. (QMRP)					28
29	Resident Services Coordinator					29
30	Habilitation Aides (DD Homes)					30
31	Medical Records					31
32	Other Health Care(specify)					32
33	Other(specify)					33
34	TOTAL (lines 1 - 33)	85,055	88,600	\$ 1,722,157 *	\$ 19.44	34

* This total must agree with page 4, column 1, line 45.

** See instructions.

B. CONSULTANT SERVICES

		1	2	3	
		Number of Hrs. Paid & Accrued	Total Consultant Cost for Reporting Period	Schedule V Line & Column Reference	
35	Dietary Consultant		\$ 4,592	L1 C3	35
36	Medical Director		1,908	L9 C3	36
37	Medical Records Consultant		487	L10 C3	37
38	Nurse Consultant				38
39	Pharmacist Consultant		3,484	L10A C3	39
40	Physical Therapy Consultant				40
41	Occupational Therapy Consultant				41
42	Respiratory Therapy Consultant				42
43	Speech Therapy Consultant				43
44	Activity Consultant				44
45	Social Service Consultant		1,363	L12 C3	45
46	Other(specify)				46
47					47
48					48
49	TOTAL (lines 35 - 48)		\$ 11,834		49

C. CONTRACT NURSES

		1	2	3	
		Number of Hrs. Paid & Accrued	Total Contract Wages	Schedule V Line & Column Reference	
50	Registered Nurses		\$ 4,967	L10 C3	50
51	Licensed Practical Nurses		123,752	L10 C3	51
52	Certified Nurse Assistants/Aides		25,297	L10 C3	52
53	TOTAL (lines 50 - 52)		\$ 154,016		53

Facility Name & ID Number

Heritage Health Mt Sterling

STATE OF ILLINOIS

0048041

Report Period Beginning:

1/1/2020

Page 21

Ending: 12/31/2020

XIX. SUPPORT SCHEDULES

A. Administrative Salaries				D. Employee Benefits and Payroll Taxes				F. Dues, Fees, Subscriptions and Promotions				
Name		Function	Ownership %	Amount	Description		Amount	Description		Amount		
Cathleen Koch		Administrator		\$ 81,578	Workers' Compensation Insurance		\$ 13,472	IDPH License Fee		\$		
					Unemployment Compensation Insurance		3,561	Advertising: Employee Recruitment		1,908		
					FICA Taxes		131,745	Health Care Worker Background Check				
					Employee Health Insurance		117,190	(Indicate # of checks performed)		1,036		
					Employee Meals			Patient Background Checks				
					Illinois Municipal Retirement Fund (IMRF)*							
								PR		1,391		
TOTAL (agree to Schedule V, line 17, col. 1)				\$ 81,578	Other Benefits		11,883	Dues & Subscriptions		6,289		
(List each licensed administrator separately.)					Central Office Allocation		33,536	License & Fees		4,515		
B. Administrative - Other								Central Office Allocation				768
Description			Amount					Less: Public Relations Expense			(1,391)	
			\$					Non-allowable advertising			(3,489)	
								Yellow page advertising			()	
				TOTAL (agree to Schedule V, line 22, col.8)			\$ 311,387	TOTAL (agree to Sch. V, line 20, col. 8)			\$ 11,027	
TOTAL (agree to Schedule V, line 17, col. 3)								G. Schedule of Travel and Seminar**				
(Attach a copy of any management service agreement)												
C. Professional Services				E. Schedule of Non-Cash Compensation Paid to Owners or Employees								
Vendor/Payee		Type	Amount	Description		Line #	Amount	Description		Amount		
Heritage Operations Group		Management	\$ 168,802				\$	Out-of-State Travel		\$		
								In-State Travel				
										2,168		
										47		
								Seminar Expense		125		
										2,659		
Legal adj to Zero			1,363					Entertainment Expense		()		
								(agree to Sch. V, line 24, col. 8)				
TOTAL (agree to Schedule V, line 19, column 3)			\$ 170,165	TOTAL			\$	TOTAL		\$ 4,999		
(For legal fee disclosure, see page 39 of instructions)												

* Attach copy of IMRF notifications

**See instructions.

HFS 3745 (N-4-99)

IL478-2471

XX. GENERAL INFORMATION:

- | | |
|---|--|
| <p>(1) Are nursing employees (RN,LPN,NA) represented by a union? <u>No</u></p> <p>(2) Are there any dues to nursing home associations included on the cost report? <u>Yes</u>
If YES, give association name and amount. <u>HCCI - \$5,600</u></p> <p>(3) Did the nursing home make political contributions or payments to a political action organization? <u>Yes</u> If YES, have these costs been properly adjusted out of the cost report? <u>Yes</u></p> <p>(4) Does the bed capacity of the building differ from the number of beds licensed at the end of the fiscal year? <u>No</u> If YES, what is the capacity? _____</p> <p>(5) Have you properly capitalized all major repairs and equipment purchases? <u>Yes</u>
What was the average life used for new equipment added during this period? <u>7 Yrs</u></p> <p>(6) Indicate the total amount of both disposable and non-disposable diaper expense and the location of this expense on Sch. V. \$ <u>5,000</u> Line <u>10</u></p> <p>(7) Have all costs reported on this form been determined using accounting procedures consistent with prior reports? <u>Yes</u> If NO, attach a complete explanation.</p> <p>(8) Are you presently operating under a sale and leaseback arrangement? <u>No</u>
If YES, give effective date of lease. _____</p> <p>(9) Are you presently operating under a sublease agreement? YES <u>xx</u> NO</p> <p>(10) Was this home previously operated by a related party (as is defined in the instructions for Schedule VII)? YES _____ NO <u>xx</u> If YES, please indicate name of the facility, IDPH license number of this related party and the date the present owners took over.
_____</p> <p>(11) Indicate the amount of the Provider Participation Fees paid and accrued to the Department during this cost report period. \$ <u>134,812</u>
This amount is to be recorded on line 42 of Schedule V.</p> <p>(12) Are there any salary costs which have been allocated to more than one line on Schedule V for an individual employee? <u>No</u> If YES, attach an explanation of the allocation.</p> | <p>(13) Have costs for all supplies and services which are of the type that can be billed to the Department, in addition to the daily rate, been properly classified in the Ancillary Section of Schedule V? <u>Yes</u></p> <p>(14) Is a portion of the building used for any function other than long term care services for the patient census listed on page 2, Section B? <u>No</u> For example, is a portion of the building used for rental, a pharmacy, day care, etc.) If YES, attach a schedule which explains how all related costs were allocated to these functions.</p> <p>(15) Indicate the cost of employee meals that has been reclassified to employee benefits on Schedule V. \$ <u>0</u> Has any meal income been offset against related costs? <u>Yes</u> Indicate the amount. \$ <u>60</u></p> <p>(16) Travel and Transportation
a. Are there costs included for out-of-state travel? <u>No</u>
If YES, attach a complete explanation.
b. Do you have a separate contract with the Department to provide medical transportation for residents? <u>No</u> If YES, please indicate the amount of income earned from such a program during this reporting period. \$ _____
c. What percent of all travel expense relates to transportation of nurses and patients? <u>100%</u>
d. Have vehicle usage logs been maintained? <u>Yes</u>
e. Are all vehicles stored at the nursing home during the night and all other times when not in use? <u>Yes</u>
f. Has the cost for commuting or other personal use of autos been adjusted out of the cost report? <u>NA</u>
g. Does the facility transport residents to and from day training? <u>No</u>
Indicate the amount of income earned from providing such transportation during this reporting period. \$ <u>0</u></p> <p>(17) Has an audit been performed by an independent certified public accounting firm? <u>Yes</u>
Firm Name: <u>MCK CPA's & Advisors</u></p> <p>(18) Have all costs which do not relate to the provision of long term care been adjusted out of Schedule V? <u>Yes</u></p> <p>(19) Has a schedule for the legal fees reported on the cost report been provided by the facility? See page 39 of the instructions for details. <u>None Claimed</u>
Attach invoices and a summary of services for all architect and appraisal fees.</p> |
|---|--|

Heritage Manor - Mt. Sterling
IDPH ID# 48041
HFS Cost Report - December 31, 2020
Schedule V - Column 5 Reclassifications

1. Schedule V - Line 10a to Line 39 - Reclassifications

<u>Line Item</u>		
Purchased Drugs and Medications	\$	60,826
Purchased Hospital Services		1,559
Purchased Laboratory Services		2,251
Purchased Radiology Services		1,888
Amount Reclassified to Line 39	\$	<u><u>66,524</u></u>

2. Schedule V - Line 20 to Line 42 - Reclassification

<u>Line Item</u>		
Provider Participation Fee - \$1.50	\$	(43,920)
Provider Assesment Fee - \$6.07		<u>(90,892)</u>
	\$	<u><u>(134,812)</u></u>
Provider Participation Fee	\$	<u><u>134,812</u></u>

3. Schedule V - Line 10 to Line 10a - Reclass Pharmacy Consultant Fees

<u>Line Item</u>		
Pharmacy Consultant Fees	\$	<u><u>3,484</u></u>