

		FOR BHF USE					

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2020
STATE OF ILLINOIS
DEPARTMENT OF HEALTHCARE AND FAMILY SERVICES
FINANCIAL AND STATISTICAL REPORT (COST REPORT)
FOR LONG-TERM CARE FACILITIES
(FISCAL YEAR 2020)

IMPORTANT NOTICE
THIS AGENCY IS REQUESTING DISCLOSURE OF INFORMATION THAT IS NECESSARY TO ACCOMPLISH THE STATUTORY PURPOSE AS OUTLINED IN 210 ILCS 45/3-208. DISCLOSURE OF THIS INFORMATION IS MANDATORY. FAILURE TO PROVIDE ANY INFORMATION ON OR BEFORE THE DUE DATE WILL RESULT IN CESSATION OF PROGRAM PAYMENTS. THIS FORM HAS BEEN APPROVED BY THE FORMS MANAGEMENT CENTER.

I. IDPH License ID Number: 0048850

Facility Name: Heritage Health Carlinville

Address: 1200 University Ave Carlinville 62626
Number City Zip Code

County: Macoupin

Telephone Number: (217) 854-4433 Fax # ()

HFS ID Number:

Date of Initial License for Current Owners: July 2007

Type of Ownership:

☐ VOLUNTARY, NON-PROFIT
☐ Charitable Corp.
☐ Trust
IRS Exemption Code

☒ PROPRIETARY
☐ Individual
☐ Partnership
☐ Corporation
☐ "Sub-S" Corp.
☒ Limited Liability Co.
☐ Trust
☐ Other

☐ GOVERNMENTAL
☐ State
☐ County
☐ Other

In the event there are further questions about this report, please contact:
Name: David M Underwood Telephone Number: (309)8237135
Email Address:

II. CERTIFICATION BY AUTHORIZED FACILITY OFFICER

I have examined the contents of the accompanying report to the State of Illinois, for the period from 1/1/2020 to 12/31/2020 and certify to the best of my knowledge and belief that the said contents are true, accurate and complete statements in accordance with applicable instructions. Declaration of preparer (other than provider) is based on all information of which preparer has any knowledge.

Intentional misrepresentation or falsification of any information in this cost report may be punishable by fine and/or imprisonment.

Officer or
Administrator
of Provider

(Signed) _____ (Date) _____
(Type or Print Name) David M Underwood
(Title) EVP & CFO

Paid
Preparer

(Signed) _____ (Date) _____
(Print Name and Title) _____
(Firm Name & Address) _____
(Telephone) () Fax # ()

MAIL TO: BUREAU OF HEALTH FINANCE
ILLINOIS DEPT OF HEALTHCARE AND FAMILY SERVICES
201 S. Grand Avenue East
Springfield, IL 62763-0001 Phone # (217) 782-1630

Facility Name & ID Number Heritage Health Carlinville

0048850 Report Period Beginning: 1/1/2020 Ending: 12/31/2020

III. STATISTICAL DATA

A. Licensure/certification level(s) of care; enter number of beds/bed days, (must agree with license). Date of change in licensed beds _____

	1	2	3	4	
	Beds at Beginning of Report Period	Licensure Level of Care	Beds at End of Report Period	Licensed Bed Days During Report Period	
1	95	Skilled (SNF)	95	34,770	1
2		Skilled Pediatric (SNF/PED)			2
3		Intermediate (ICF)			3
4		Intermediate/DD			4
5		Sheltered Care (SC)			5
6		ICF/DD 16 or Less			6
7	95	TOTALS	95	34,770	7

B. Census-For the entire report period.

	1	2	3	4	5	
	Level of Care	Patient Days by Level of Care and Primary Source of Payment				
		Medicaid Recipient	Private Pay	Other	Total	
8	SNF	15,982	8,827	2,244	27,053	8
9	SNF/PED					9
10	ICF					10
11	ICF/DD					11
12	SC					12
13	DD 16 OR LESS					13
14	TOTALS	15,982	8,827	2,244	27,053	14

C. Percent Occupancy. (Column 5, line 14 divided by total licensed bed days on line 7, column 4.) 77.81%

D. How many bed reserve days during this year were paid by the Department? None (Do not include bed reserve days in Section B.)

E. List all services provided by your facility for non-patients. (E.g., day care, "meals on wheels", outpatient therapy) None

F. Does the facility maintain a daily midnight census? Yes

G. Do pages 3 & 4 include expenses for services or investments not directly related to patient care? YES ☐ NO ☒

H. Does the BALANCE SHEET (page 17) reflect any non-care assets? YES ☐ NO ☒

I. On what date did you start providing long term care at this location? Date started July 2007

J. Was the facility purchased or leased after January 1, 1978? YES ☐ Date _____ NO ☒

K. Was the facility certified for Medicare during the reporting year? YES ☒ NO ☐ If YES, enter number of beds certified 95 and days of care provided 2,244

Medicare Intermediary WPS

IV. ACCOUNTING BASIS

ACCUAL ☒ MODIFIED CASH* ☐ CASH* ☐

Is your fiscal year identical to your tax year? YES ☒ NO ☐

Tax Year: _____ Fiscal Year: _____
* All facilities other than governmental must report on the accrual basis.

Facility Name & ID Number Heritage Health Carlinville # 0048850 Report Period Beginning: 1/1/2020 Ending: 12/31/2020
V. COST CENTER EXPENSES (throughout the report, please round to the nearest dollar)

	Operating Expenses	Costs Per General Ledger				Reclass- ification 5	Reclassified Total 6	Adjust- ments 7	Adjusted Total 8	FOR BHF USE ONLY		
		Salary/Wage 1	Supplies 2	Other 3	Total 4					9	10	
	A. General Services											
1	Dietary	182,230	15,463	7,270	204,963		204,963	4,635	209,598			1
2	Food Purchase		187,785		187,785		187,785	(16)	187,769			2
3	Housekeeping	72,868	27,182		100,050		100,050	6,179	106,229			3
4	Laundry	72,336	11,806		84,142		84,142	442	84,584			4
5	Heat and Other Utilities			117,487	117,487		117,487	1,471	118,958			5
6	Maintenance	65,959	37,255	87,818	191,032		191,032	18,033	209,065			6
7	Other (specify):*											7
8	TOTAL General Services	393,393	279,491	212,575	885,459		885,459	30,744	916,203			8
	B. Health Care and Programs											
9	Medical Director			9,000	9,000		9,000		9,000			9
10	Nursing and Medical Records	1,838,623	136,327	7,246	1,982,196	(6,762)	1,975,434	6,912	1,982,346			10
10a	Therapy		129,865	30,344	160,209	(153,050)	7,159		7,159			10a
11	Activities	64,520	3,062		67,582		67,582	5	67,587			11
12	Social Services	43,940		3,380	47,320		47,320	133	47,453			12
13	CNA Training	8,019	53		8,072		8,072		8,072			13
14	Program Transportation											14
15	Other (specify):*											15
16	TOTAL Health Care and Programs	1,955,102	269,307	49,970	2,274,379	(159,812)	2,114,567	7,050	2,121,617			16
	C. General Administration											
17	Administrative	82,098			82,098		82,098		82,098			17
18	Directors Fees											18
19	Professional Services			336,831	336,831		336,831	(318,352)	18,479			19
20	Dues, Fees, Subscriptions & Promotions			234,615	234,615	(210,151)	24,464	(8,675)	15,789			20
21	Clerical & General Office Expenses	149,367	26,036	7,944	183,347		183,347	395,450	578,797			21
22	Employee Benefits & Payroll Taxes			476,448	476,448		476,448	39,824	516,272			22
23	Inservice Training & Education			125	125		125	1,252	1,377			23
24	Travel and Seminar			2,040	2,040		2,040	2,959	4,999			24
25	Other Admin. Staff Transportation											25
26	Insurance-Prop.Liab.Malpractice			53,247	53,247		53,247	65,972	119,219			26
27	Other (specify):* Lost resident items			149,079	149,079		149,079	(148,785)	294			27
28	TOTAL General Administration	231,465	26,036	1,260,329	1,517,830	(210,151)	1,307,679	29,645	1,337,324			28
29	TOTAL Operating Expense (sum of lines 8, 16 & 28)	2,579,960	574,834	1,522,874	4,677,668	(369,963)	4,307,705	67,439	4,375,144			29

*Attach a schedule if more than one type of cost is included on this line, or if the total exceeds \$1000.

NOTE: Include a separate schedule detailing the reclassifications made in column 5. Be sure to include a detailed explanation of each reclassification.

V. COST CENTER EXPENSES (continued)

	Capital Expense	Cost Per General Ledger				Reclass-ification	Reclassified Total	Adjust-ments	Adjusted Total	FOR BHF USE ONLY		
		Salary/Wage	Supplies	Other	Total					9	10	
	D. Ownership	1	2	3	4	5	6	7	8			
30	Depreciation							257,430	257,430			30
31	Amortization of Pre-Op. & Org.											31
32	Interest			46,156	46,156		46,156	29,384	75,540			32
33	Real Estate Taxes							37,738	37,738			33
34	Rent-Facility & Grounds			473,040	473,040		473,040	(466,177)	6,863			34
35	Rent-Equipment & Vehicles			65,505	65,505		65,505	12,150	77,655			35
36	Other (specify):*											36
37	TOTAL Ownership			584,701	584,701		584,701	(129,475)	455,226			37
	Ancillary Expense											
	E. Special Cost Centers											
38	Medically Necessary Transportation											38
39	Ancillary Service Centers			511,968	511,968	159,812	671,780	165,090	836,870			39
40	Barber and Beauty Shops			38	38		38		38			40
41	Coffee and Gift Shops											41
42	Provider Participation Fee					210,151	210,151		210,151			42
43	Other (specify):*											43
44	TOTAL Special Cost Centers			512,006	512,006	369,963	881,969	165,090	1,047,059			44
45	GRAND TOTAL COST (sum of lines 29, 37 & 44)	2,579,960	574,834	2,619,581	5,774,375		5,774,375	103,054	5,877,429			45

*Attach a schedule if more than one type of cost is included on this line, or if the total exceeds \$1000.

VI. ADJUSTMENT DETAIL

A. The expenses indicated below are non-allowable and should be adjusted out of Schedule V, pages 3 or 4 via column 7.
In column 2 below, reference the line on which the particular cost was included. (See instructions.)

	NON-ALLOWABLE EXPENSES	1 Amount	2 Refer- ence	3 BHF USE ONLY	
1	Day Care	\$		\$	1
2	Other Care for Outpatients				2
3	Governmental Sponsored Special Programs				3
4	Non-Patient Meals				4
5	Telephone, TV & Radio in Resident Rooms				5
6	Rented Facility Space				6
7	Sale of Supplies to Non-Patients				7
8	Laundry for Non-Patients				8
9	Non-Straightline Depreciation				9
10	Interest and Other Investment Income	(3,297)			10
11	Discounts, Allowances, Rebates & Refunds				11
12	Non-Working Officer's or Owner's Salary				12
13	Sales Tax				13
14	Non-Care Related Interest				14
15	Non-Care Related Owner's Transactions				15
16	Personal Expenses (Including Transportation)				16
17	Non-Care Related Fees	(4,481)			17
18	Fines and Penalties				18
19	Entertainment	(1,986)			19
20	Contributions	(55)			20
21	Owner or Key-Man Insurance				21
22	Special Legal Fees & Legal Retainers	(26,733)			22
23	Malpractice Insurance for Individuals				23
24	Bad Debt	(148,730)			24
25	Fund Raising, Advertising and Promotional	(5,106)			25
26	Income Taxes and Illinois Personal Property Replacement Tax				26
27	CNA Training for Non-Employees				27
28	Yellow Page Advertising				28
29	Other-Attach Schedule				29
30	SUBTOTAL (A): (Sum of lines 1-29)	\$ (190,388)		\$	30

BHF USE ONLY									
48		49		50		51		52	

B. If there are expenses experienced by the facility which do not appear in the general ledger, they should be entered below.(See instructions.)

		1 Amount	2 Reference	
31	Non-Paid Workers-Attach Schedule*	\$		31
32	Donated Goods-Attach Schedule*			32
33	Amortization of Organization & Pre-Operating Expense			33
34	Adjustments for Related Organization Costs (Schedule VII)	293,442		34
35	Other- Attach Schedule			35
36	SUBTOTAL (B): (sum of lines 31-35)	\$ 293,442		36
37	(sum of SUBTOTALS TOTAL ADJUSTMENTS (A) and (B))	\$ 103,054		37

*These costs are only allowable if they are necessary to meet minimum licensing standards. Attach a schedule detailing the items included on these lines.

C. Are the following expenses included in Sections A to D of pages 3 and 4? If so, they should be reclassified into Section E. Please reference the line on which they appear before reclassification.
(See instructions.)

		1 Yes	2 No	3 Amount	4 Reference	
38	Medically Necessary Transport.			\$		38
39						39
40	Gift and Coffee Shops					40
41	Barber and Beauty Shops					41
42	Laboratory and Radiology					42
43	Prescription Drugs					43
44						44
45	Other-Attach Schedule					45
46	Other-Attach Schedule					46
47	TOTAL (C): (sum of lines 38-46)			\$		47

NON-ALLOWABLE EXPENSES		Amount	Sch. V Line Reference	
1		\$		1
2				2
3				3
4				4
5				5
6				6
7				7
8				8
9				9
10				10
11		(26,733)	19	11
12		(3,297)	32	12
13		(148,730)	27	13
14		(5,106)	20	14
15		(4,481)	20	15
16		(55)	27	16
17		(1,986)	24	17
18				18
19				19
20				20
21				21
22				22
23				23
24				24
25				25
26				26
27				27
28				28
29				29
30				30
31				31
32				32
33				33
34				34
35				35
36				36
37				37
38				38
39				39
40				40
41				41
42				42
43				43
44				44
45				45
46				46
47				47
48				48
49	Total	(190,388)		49

STATE OF ILLINOIS

Summary A

Facility Name & ID Number Heritage Health Carlinville# 0048850

Report Period Beginning:

1/1/2020

Ending:

12/31/2020**SUMMARY OF PAGES 5, 5A, 6, 6A, 6B, 6C, 6D, 6E, 6F, 6G, 6H AND 6I**

	Operating Expenses	PAGES	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	SUMMARY	
	A. General Services	5 & 5A	6	6A	6B	6C	6D	6E	6F	6G	6H	6I	TOTALS	
													(to Sch V, col.7)	
1	Dietary	0	0	4,635	0	0	0	0	0	0	0	0	4,635	1
2	Food Purchase	0	0	(16)	0	0	0	0	0	0	0	0	(16)	2
3	Housekeeping	0	0	6,179	0	0	0	0	0	0	0	0	6,179	3
4	Laundry	0	0	442	0	0	0	0	0	0	0	0	442	4
5	Heat and Other Utilities	0	0	1,471	0	0	0	0	0	0	0	0	1,471	5
6	Maintenance	0	0	18,033	0	0	0	0	0	0	0	0	18,033	6
7	Other (specify):*	0	0	0	0	0	0	0	0	0	0	0	0	7
8	TOTAL General Services	0	0	30,744	0	0	0	0	0	0	0	0	30,744	8
	B. Health Care and Programs													
9	Medical Director	0	0	0	0	0	0	0	0	0	0	0	0	9
10	Nursing and Medical Records	0	(17,090)	24,002	0	0	0	0	0	0	0	0	6,912	10
10a	Therapy	0	0	0	0	0	0	0	0	0	0	0	0	10a
11	Activities	0	0	5	0	0	0	0	0	0	0	0	5	11
12	Social Services	0	0	133	0	0	0	0	0	0	0	0	133	12
13	CNA Training	0	0	0	0	0	0	0	0	0	0	0	0	13
14	Program Transportation	0	0	0	0	0	0	0	0	0	0	0	0	14
15	Other (specify):*	0	0	0	0	0	0	0	0	0	0	0	0	15
16	TOTAL Health Care and Programs	0	(17,090)	24,140	0	0	0	0	0	0	0	0	7,050	16
	C. General Administration													
17	Administrative	0	0	0	0	0	0	0	0	0	0	0	0	17
18	Directors Fees	0	0	0	0	0	0	0	0	0	0	0	0	18
19	Professional Services	(26,733)	(307,352)	15,733	0	0	0	0	0	0	0	0	(318,352)	19
20	Fees, Subscriptions & Promotions	(9,587)	0	912	0	0	0	0	0	0	0	0	(8,675)	20
21	Clerical & General Office Expenses	0	0	395,450	0	0	0	0	0	0	0	0	395,450	21
22	Employee Benefits & Payroll Taxes	0	0	39,824	0	0	0	0	0	0	0	0	39,824	22
23	Inservice Training & Education	0	0	1,252	0	0	0	0	0	0	0	0	1,252	23
24	Travel and Seminar	(1,986)	0	4,945	0	0	0	0	0	0	0	0	2,959	24
25	Other Admin. Staff Transportation	0	0	0	0	0	0	0	0	0	0	0	0	25
26	Insurance-Prop.Liab.Malpractice	0	0	65,972	0	0	0	0	0	0	0	0	65,972	26
27	Other (specify):*	(148,785)	0	0	0	0	0	0	0	0	0	0	(148,785)	27
28	TOTAL General Administration	(187,091)	(307,352)	524,088	0	0	0	0	0	0	0	0	29,645	28
29	TOTAL Operating Expense (sum of lines 8,16 & 28)	(187,091)	(324,442)	578,972	0	0	0	0	0	0	0	0	67,439	29

STATE OF ILLINOIS

Summary B

Facility Name & ID Number Heritage Health Carlinville # 0048850 Report Period Beginning: 1/1/2020 Ending: 12/31/2020

SUMMARY OF PAGES 5, 5A, 6, 6A, 6B, 6C, 6D, 6E, 6F, 6G, 6H AND 6I

	Capital Expense	PAGES	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	SUMMARY	
	D. Ownership	5 & 5A	6	6A	6B	6C	6D	6E	6F	6G	6H	6I	TOTALS	
													(to Sch V, col.7)	
30	Depreciation	0	235,138	0	22,292	0	0	0	0	0	0	0	257,430	30
31	Amortization of Pre-Op. & Org.	0	0	0	0	0	0	0	0	0	0	0	0	31
32	Interest	(3,297)	30,179	0	2,502	0	0	0	0	0	0	0	29,384	32
33	Real Estate Taxes	0	37,738	0	0	0	0	0	0	0	0	0	37,738	33
34	Rent-Facility & Grounds	0	(473,040)	0	6,863	0	0	0	0	0	0	0	(466,177)	34
35	Rent-Equipment & Vehicles	0	0	0	12,150	0	0	0	0	0	0	0	12,150	35
36	Other (specify):*	0	0	0	0	0	0	0	0	0	0	0	0	36
37	TOTAL Ownership	(3,297)	(169,985)	0	43,807	0	0	0	0	0	0	0	(129,475)	37
	Ancillary Expense													
	E. Special Cost Centers													
38	Medically Necessary Transportation	0	0	0	0	0	0	0	0	0	0	0	0	38
39	Ancillary Service Centers	0	164,911	0	179	0	0	0	0	0	0	0	165,090	39
40	Barber and Beauty Shops	0	0	0	0	0	0	0	0	0	0	0	0	40
41	Coffee and Gift Shops	0	0	0	0	0	0	0	0	0	0	0	0	41
42	Provider Participation Fee	0	0	0	0	0	0	0	0	0	0	0	0	42
43	Other (specify):*	0	0	0	0	0	0	0	0	0	0	0	0	43
44	TOTAL Special Cost Centers	0	164,911	0	179	0	0	0	0	0	0	0	165,090	44
45	GRAND TOTAL COST (sum of lines 29, 37 & 44)	(190,388)	(329,516)	578,972	43,986	0	0	0	0	0	0	0	103,054	45

Facility Name & ID Number Heritage Health Carlinville # 0048850 Report Period Beginning: 1/1/2020 Ending: 12/31/2020

VII. RELATED PARTIES

A. Enter below the names of ALL owners and related organizations (parties) as defined in the instructions. Use Page 6-Supplemental as necessary.

1 OWNERS		2 RELATED NURSING HOMES		3 OTHER RELATED BUSINESS ENTITIES		
Name	Ownership %	Name	City	Name	City	Type of Business
Main SNF Services LLC	100	Attached Following This Page		Heritage Operations G	Bloomington	Mgmt. Services
				Green Tree Pharmacy	Minonk	Pharmacy
				Heritage Manor Real E	Bloomington	Propert rental

B. Are any costs included in this report which are a result of transactions with related organizations? This includes rent, management fees, purchase of supplies, and so forth. ☒ YES ☐ NO

If yes, costs incurred as a result of transactions with related organizations must be fully itemized in accordance with the instructions for determining costs as specified for this form.

1 Schedule V		2 Line	3 Cost Per General Ledger Item	4 Amount	5 Cost to Related Organization Name of Related Organization	6 Percent of Ownership	7 Operating Cost of Related Organization	8 Difference: Adjustments for Related Organization Costs (7 minus 4)	
1	V	10	Adjustment for Related Organiza	\$	GreenTree Pharmacy		\$ (17,090)	\$ (17,090)	1
2	V	23	Adjustment for Related Organization		GreenTree Pharmacy				2
3	V	39	Adjustment for Related Organization		GreenTree Pharmacy		164,911	164,911	3
4	V	19	Adjustment for Related Organization	307,352	Heritage Operations Group, LLC			(307,352)	4
5	V								5
6	V	34	Adjustment for Related Organization	473,040	Heritage Manor Real Estate, LLC			(473,040)	6
7	V	33	Adjustment for Related Organization		Heritage Manor Real Estate, LLC		37,738	37,738	7
8	V	32	Adjustment for Related Organization		Heritage Manor Real Estate, LLC		28,466	28,466	8
9	V	30	Adjustment for Related Organization		Heritage Manor Real Estate, LLC		235,138	235,138	9
10	V	32	Adjustment for Related Organization		Heritage Manor Real Estate, LLC		1,713	1,713	10
11	V								11
12	V								12
13	V								13
14	Total			\$ 780,392			\$ 450,876	\$ * (329,516)	14

* Total must agree with the amount recorded on line 34 of Schedule VI.

VII. RELATED PARTIES (continued)

B. Are any costs included in this report which are a result of transactions with related organizations? This includes rent, management fees, purchase of supplies, and so forth. ☒ YES ☐ NO

If yes, costs incurred as a result of transactions with related organizations must be fully itemized in accordance with the instructions for determining costs as specified for this form.

1		2	3 Cost Per General Ledger	4	5 Cost to Related Organization	6	7	8 Difference:	
Schedule V		Line	Item	Amount	Name of Related Organization	Percent of Ownership	Operating Cost of Related Organization	Adjustments for Related Organization Costs (7 minus 4)	
15	V	1	Dietary	\$	Heritage Operations Group		\$ 4,635	\$ 4,635	15
16	V	2	Food Purchase		Heritage Operations Group		(16)	(16)	16
17	V	3	Housekeeping		Heritage Operations Group		6,179	6,179	17
18	V	4	Laundry		Heritage Operations Group		442	442	18
19	V	5	Heat & Other Utilities		Heritage Operations Group		1,471	1,471	19
20	V	6	Maintenance		Heritage Operations Group		18,033	18,033	20
21	V	7	Other		Heritage Operations Group		0		21
22	V	9	Medical Director		Heritage Operations Group		0		22
23	V	10	Nursing & Medical Records		Heritage Operations Group		24,002	24,002	23
24	V	11	Activities		Heritage Operations Group		5	5	24
25	V	12	Social Service		Heritage Operations Group		133	133	25
26	V	13	Nurse Aide Training		Heritage Operations Group		0		26
27	V	14	Program Transportation		Heritage Operations Group		0		27
28	V	15	Other		Heritage Operations Group		0		28
29	V	17	Administrative		Heritage Operations Group		0		29
30	V	18	Directors Fees		Heritage Operations Group		0		30
31	V	19	Professional Services		Heritage Operations Group		15,733	15,733	31
32	V	20	Fees, Subscription, Promotions		Heritage Operations Group		912	912	32
33	V	21	Clerical & General Office Expenses		Heritage Operations Group		395,450	395,450	33
34	V	22	Employee Benefits & Payroll Taxes		Heritage Operations Group		39,824	39,824	34
35	V	23	Inservice Training & Education		Heritage Operations Group		1,252	1,252	35
36	V	24	Travel and Seminar		Heritage Operations Group		4,945	4,945	36
37	V	25	Other Admin. Staff Transportation		Heritage Operations Group		0		37
38	V	26	Insurance-Prop.Liab.Malpract		Heritage Operations Group		65,972	65,972	38
39	Total			\$			\$ 578,972	\$ * 578,972	39

* Total must agree with the amount recorded on line 34 of Schedule VI.

VII. RELATED PARTIES (continued)

B. Are any costs included in this report which are a result of transactions with related organizations? This includes rent, management fees, purchase of supplies, and so forth. ☒ YES ☐ NO

If yes, costs incurred as a result of transactions with related organizations must be fully itemized in accordance with the instructions for determining costs as specified for this form.

1		2	3 Cost Per General Ledger	4	5 Cost to Related Organization	6	7	8 Difference:	
Schedule V		Line	Item	Amount	Name of Related Organization	Percent of Ownership	Operating Cost of Related Organization	Adjustments for Related Organization Costs (7 minus 4)	
15	V	27		\$	Heritage Operations Group		\$ 0	\$	15
16	V	30			Heritage Operations Group		22,292	22,292	16
17	V	31			Heritage Operations Group		0		17
18	V	32			Heritage Operations Group		2,502	2,502	18
19	V	33			Heritage Operations Group		0		19
20	V	34			Heritage Operations Group		6,863	6,863	20
21	V	35			Heritage Operations Group		12,150	12,150	21
22	V	36			Heritage Operations Group		0		22
23	V	38			Heritage Operations Group		0		23
24	V	39			Heritage Operations Group		179	179	24
25	V	40			Heritage Operations Group		0		25
26	V	41			Heritage Operations Group		0		26
27	V	42			Heritage Operations Group		0		27
28	V								28
29	V								29
30	V								30
31	V								31
32	V								32
33	V								33
34	V								34
35	V								35
36	V								36
37	V								37
38	V								38
39	Total			\$			\$ 43,986	\$ * 43,986	39

* Total must agree with the amount recorded on line 34 of Schedule VI.

Facility Name & ID Number Heritage Health Carlinville # 0048850 Report Period Beginning: 1/1/2020 Ending: 12/31/2020

VII. RELATED PARTIES (continued)

C. Statement of Compensation and Other Payments to Owners, Relatives and Members of Board of Directors.

NOTE: ALL owners (even those with less than 5% ownership) and their relatives who receive any type of compensation from this home must be listed on this schedule.

	1 Name	2 Title	3 Function	4 Ownership Interest	5 Compensation Received From Other Nursing Homes*	6 Average Hours Per Work Week Devoted to this Facility and % of Total Work Week		7 Compensation Included in Costs for this Reporting Period**		8 Schedule V. Line & Column Reference	
						Hours	Percent	Description	Amount		
1	Main SNF Services LLC			100.00	0	0			\$ 0		1
2											2
3											3
4											4
5											5
6											6
7											7
8											8
9											9
10											10
11											11
12											12
13								TOTAL	\$		13

* If the owner(s) of this facility or any other related parties listed above have received compensation from other nursing homes, attach a schedule detailing the name(s) of the home(s) as well as the amount paid. THIS AMOUNT MUST AGREE TO THE AMOUNTS CLAIMED ON THE THE OTHER NURSING HOMES' COST REPORTS.

** This must include all forms of compensation paid by related entities and allocated to Schedule V of this report (i.e., management fees). FAILURE TO PROPERLY COMPLETE THIS SCHEDULE INDICATING ALL FORMS OF COMPENSATION RECEIVED FROM THIS HOME, ALL OTHER NURSING HOMES AND MANAGEMENT COMPANIES MAY RESULT IN THE DISALLOWANCE OF SUCH COMPENSATION.

Facility Name & ID Number Heritage Health Carlinville# 0048850

Report Period Beginning:

1/1/2020Ending: 2/31/2020

VIII. ALLOCATION OF INDIRECT COSTS

A. Are there any costs included in this report which were derived from allocations of central office or parent organization costs? (See instructions.) YES ☒ NO ☐

B. Show the allocation of costs below. If necessary, please attach worksheets.

Name of Related Organization

Heritage Operations Group

Street Address

115 W Jefferson Street

City / State / Zip Code

Bloomington, IL 61701

Phone Number

(309 828-4361

Fax Number

(309 829-5477

	1	2	3	4	5	6	7	8	9	
	Schedule V Line Reference	Item	Unit of Allocation (i.e.,Days, Direct Cost, Square Feet)	Total Units	Number of Subunits Being Allocated Among	Total Indirect Cost Being Allocated	Amount of Salary Cost Contained in Column 6	Facility Units	Allocation (col.8/col.4)x col.6	
1	1	Dietary	Beds	2,493	25	\$ 121,634	\$ 121,338	95	\$ 4,635	1
2	2	Food Purchase	Beds	2,493	25	(423)	0	95	(16)	2
3	3	Housekeeping	Beds	2,493	25	162,156	0	95	6,179	3
4	4	Laundry	Beds	2,493	25	11,591	0	95	442	4
5	5	Heat & Other Utilities	Beds	2,493	25	38,605	0	95	1,471	5
6	6	Maintenance	Beds	2,493	25	473,233	88,567	95	18,033	6
7	7	Other	Beds	2,493	25	0	0	95	0	7
8	9	Medical Director	Beds	2,493	25	0	0	95	0	8
9	10	Nursing & Medical Records	Beds	2,493	25	629,872	35,401	95	24,002	9
10	11	Activities	Beds	2,493	25	129	0	95	5	10
11	12	Social Service	Beds	2,493	25	3,478	3,478	95	133	11
12	13	Nurse Aide Training	Beds	2,493	25	0	0	95	0	12
13	14	Program Transportation	Beds	2,493	25	0	0	95	0	13
14	15	Other	Beds	2,493	25	0	0	95	0	14
15	17	Administrative	Beds	2,493	25	0	0	95	0	15
16	18	Directors Fees	Beds	2,493	25	0	0	95	0	16
17	19	Professional Services	Beds	2,493	25	412,869	0	95	15,733	17
18	20	Fees, Subscription, Promotions	Beds	2,493	25	23,945	0	95	912	18
19	21	Clerical & General Office Expense	Beds	2,493	25	10,377,428	9,978,005	95	395,450	19
20	22	Employee Benefits & Payroll Taxes	Beds	2,493	25	1,045,059	0	95	39,824	20
21	23	Inservice Training & Education	Beds	2,493	25	32,865	0	95	1,252	21
22	24	Travel and Seminar	Beds	2,493	25	129,776	0	95	4,945	22
23	25	Other Admin. Staff Transportation	Beds	2,493	25	0	0	95	0	23
24	26	Insurance-Prop.Liab.Malpract	Beds	2,493	25	1,731,253	0	95	65,972	24
25	TOTALS					\$ 15,193,470	\$ 10,226,789		\$ 578,972	25

Facility Name & ID Number Heritage Health Carlinville# 0048850

Report Period Beginning:

1/1/2020Ending: 2/31/2020

VIII. ALLOCATION OF INDIRECT COSTS

A. Are there any costs included in this report which were derived from allocations of central office or parent organization costs? (See instructions.) YES ☒ NO ☐

B. Show the allocation of costs below. If necessary, please attach worksheets.

Name of Related Organization

Heritage Operations Group

Street Address

115 W Jefferson Street

City / State / Zip Code

Bloomington, IL 61701

Phone Number

(309 828-4361

Fax Number

(309 829-5477

1	2	3	4	5	6	7	8	9	
Schedule V Line Reference	Item	Unit of Allocation (i.e.,Days, Direct Cost, Square Feet)	Total Units	Number of Subunits Being Allocated Among	Total Indirect Cost Being Allocated	Amount of Salary Cost Contained in Column 6	Facility Units	Allocation (col.8/col.4)x col.6	
1	27	Other	Beds	2,493	25	\$	95	\$	1
2	30	Depreciation	Beds	2,493	25	584,981	95	22,292	2
3	31	Amortization of Pre-Op & Org	Beds	2,493	25		95		3
4	32	Interest	Beds	2,493	25	65,658	95	2,502	4
5	33	Real Estate Taxes	Beds	2,493	25		95		5
6	34	Rent-Facility & Grounds	Beds	2,493	25	180,106	95	6,863	6
7	35	Rent-Equipment & Vehicles	Beds	2,493	25	318,843	95	12,150	7
8	36	Other	Beds	2,493	25		95		8
9	38	Medically Nec Transportation	Beds	2,493	25		95		9
10	39	Ancillary Service Centers	Beds	2,493	25	4,685	95	179	10
11	40	Barber and Beauty Shops	Beds	2,493	25		95		11
12	41	Coffee and Gift Shops	Beds	2,493	25		95		12
13	42	Other	Beds	2,493	25		95		13
14									14
15									15
16									16
17									17
18									18
19									19
20									20
21									21
22									22
23									23
24									24
25	TOTALS					\$ 1,154,273	\$	43,986	25

IX. INTEREST EXPENSE AND REAL ESTATE TAX EXPENSE

A. Interest: (Complete details must be provided for each loan - attach a separate schedule if necessary.)

	1	2		3	4	5	6		7	8	9	10	
	Name of Lender	Related**		Purpose of Loan	Monthly Payment Required	Date of Note	Amount of Note		Maturity Date	Interest Rate (4 Digits)	Reporting Period Interest Expense		
		YES	NO				Original	Balance					
	A. Directly Facility Related												
	Long-Term												
1	Busey Bank		xx	Mortgage			\$				\$	28,466	1
2	Busey Bank		xx	Loan Fee Amortization								1,713	2
3													3
4													4
5													5
	Working Capital												
6	Busey Bank		xx	Working Capital								46,156	6
7													7
8													8
9	TOTAL Facility Related						\$				\$	76,335	9
	B. Non-Facility Related*												
10	Interest Income											(3,297)	10
11													11
12	Allocated Corporate											2,502	12
13													13
14	TOTAL Non-Facility Related						\$				\$	(795)	14
15	TOTALS (line 9+line14)						\$				\$	75,540	15

16) Please indicate the total amount of mortgage insurance expense and the location of this expense on Sch. V. \$ None Line #

* Any interest expense reported in this section should be adjusted out on page 5, line 14 and, consequently, page 4, col. 7.
(See instructions.)

** If there is ANY overlap in ownership between the facility and the lender, this must be indicated in column 2.
(See instructions.)

IX. INTEREST EXPENSE AND REAL ESTATE TAX EXPENSE (continued)

B. Real Estate Taxes

		Important, please see the next worksheet, "RE_Tax". The real estate tax statement and bill must accompany the cost report.			
1. Real Estate Tax accrual used on 2019 report.				\$	1
2. Real Estate Taxes paid during the year: (Indicate the tax year to which this payment applies. If payment covers more than one year, detail below.)				\$	37,7382
3. Under or (over) accrual (line 2 minus line 1).				\$	37,7383
4. Real Estate Tax accrual used for 2020 report. (Detail and explain your calculation of this accrual on the lines below.)				\$	4
5. Direct costs of an appeal of tax assessments which has NOT been included in professional fees or other general operating costs on Schedule V, sections A, B or C. (Describe appeal cost below. Attach copies of invoices to support the cost and a copy of the appeal filed with the county.)				\$	5
6. Subtract a refund of real estate taxes. You must offset the full amount of any direct appeal costs classified as a real estate tax cost plus one-half of any remaining refund. TOTAL REFUND \$ For Tax Year. (Attach a copy of the real estate tax appeal board's decision.)				\$	6
7. Real Estate Tax expense reported on Schedule V, line 33. This should be a combination of lines 3 thru 6.				\$	37,7387
Real Estate Tax History:					
Real Estate Tax Bill for Calendar Year:		2015	34,678	8	
		2016	37,032	9	
		2017	36,769	10	
		2018	37,185	11	
		2019	37,738	12	

NOTES:

1. Please indicate a negative number by use of brackets(). Deduct any overaccrual of taxes from prior year.
2. If facility is a non-profit which pays real estate taxes, you must attach a denial of an application for real estate tax exemption unless the building is rented from a for-profit entity.
This denial must be no more than four years old at the time the cost report is filed.

FACILITY NAME Heritage Health Carlville COUNTY Macoupin
FACILITY IDPH LICENSE NUMBER 0048850
CONTACT PERSON REGARDING THIS REPORT _____
TELEPHONE () _____ FAX #: () _____

Enter the tax index number and real estate tax assessed for 2019 on the lines provided below. Enter only the portion of the cost that applies to the operation of the nursing home in Column D. Real estate tax applicable to any portion of the nursing home property which is vacant, rented to other organizations, or used for purposes other than long term care must not be entered in Column D. Do not include cost for any period other than calendar year 2019.

PLEASE NOTE: *Payment information from the Internet* or otherwise is **not considered acceptable tax bill documentation** . Facilities located in Cook County are required to provide copies of their original **second installment** tax bill.

A. Square Feet: 34,320

B. General Construction Type: Exterior Brick Frame Wood Number of Stories 1

C. Does the Operating Entity?

☐ (a) Own the Facility

☒ (b) Rent from a Related Organization.

☐ (c) Rent from Completely Unrelated Organization.

(Facilities checking (a) or (b) must complete Schedule XI. Those checking (c) may complete Schedule XI or Schedule XII-A. See instructions.)

D. Does the Operating Entity?

☐ (a) Own the Equipment

☒ (b) Rent equipment from a Related Organization.

☐ (c) Rent equipment from Completely Unrelated Organization.

(Facilities checking (a) or (b) must complete Schedule XI-C. Those checking (c) may complete Schedule XI-C or Schedule XII-B. See instructions.)

E. List all other business entities owned by this operating entity or related to the operating entity that are located on or adjacent to this nursing home's grounds (such as, but not limited to, apartments, assisted living facilities, day training facilities, day care, independent living facilities, CNA training facilities, etc.) List entity name, type of business, square footage, and number of beds/units available (where applicable).

None

F. Does this cost report reflect any organization or pre-operating costs which are being amortized?

☐ YES

☒ NO

If so, please complete the following:

1. Total Amount Incurred:

2. Number of Years Over Which it is Being Amortized:

3. Current Period Amortization:

4. Dates Incurred:

Nature of Costs:

(Attach a complete schedule detailing the total amount of organization and pre-operating costs.)

XI. OWNERSHIP COSTS:

A. Land.					
	1	2	3	4	
	Use	Square Feet	Year Acquired	Cost	
1			1996	\$ 32,017	1
2					2
3	TOTALS			\$ 32,017	3

XI. OWNERSHIP COSTS (continued)

B. Building and Improvement Costs-Including Fixed Equipment. (See instructions.) Round all numbers to nearest dollar.

	1	2	3	4	5	6	7	8	9	
	Beds*	FOR BHF USE ONLY	Year Acquired	Year Constructed	Cost	Current Book Depreciation	Life in Years	Straight Line Depreciation	Adjustments	Accumulated Depreciation
4	95				\$ 3,265,145	\$		\$	\$	4
5										5
6										6
7										7
8										8
	Improvement Type**									
9	1996 Improvements		1996		7,582					9
10	1997 Improvements		1997		30,884					10
11	1998 Improvements		1998		182,171					11
12	1999 Improvements		1999		24,528					12
13	2000 Improvements		2000		21,820					13
14	2001 Improvements		2001		4,700					14
15	2002 Improvements		2002		11,030					15
16	2003 Improvements		2003		59,476					16
17	2004 Improvements		2004		30,871					17
18	2005 Improvements		2005		27,414					18
19	2006 Improvements		2006		9,687					19
20	2007 Improvements		2007		78,774					20
21	2008 Improvements		2008		277,130					21
22	2009 Improvements		2009		184,298					22
23	2010 Improvements		2010		27,828					23
24	2011 Improvements		2011		23,781					24
25	2012 Improvements		2012							25
26	2013 Improvements		2013		57,857					26
27	2014 Improvements		2014		42,700					27
28										28
29										29
30										30
31										31
32										32
33										33
34	C/O Allocation					22,292		22,292		34
35	Book Depreciation					188,236		188,236		35
36										36

*Total beds on this schedule must agree with page 2.

**Improvement type must be detailed in order for the cost report to be considered complete.

See Page 12A, Line 70 for total

Facility Name & ID Number Heritage Health Carlinville

0048850

Report Period Beginning:

1/1/2020

Ending:

12/31/2020

XI. OWNERSHIP COSTS (continued)**B. Building and Improvement Costs-Including Fixed Equipment. (See instructions.) Round all numbers to nearest dollar.**

1	3	4	5	6	7	8	9	
Improvement Type**	Year Constructed	Cost	Current Book Depreciation	Life in Years	Straight Line Depreciation	Adjustments	Accumulated Depreciation	
37		\$	\$		\$	\$	\$	37
38	Replace (6) PTAC units	2015	6,004					38
39	Repalce roof top refrigeration unit over dining room	2015	9,808					39
40	Repalcement of exhaust fans 1-5	2015	6,777					40
41								41
42	No 2016 Improvements	2016						42
43								43
44	Install 12K Cooling/Heating unit	2017	2,639					44
45								45
46	Completed (2) phase renovation project -	2018	732,091					46
47	Phase 1 -							47
48	(16) resident rooms							48
49	Flooring, painting, new doors & hardware, retrofitted lighting							49
50	New sink, toilet & mirrors in restrooms							50
51	Corridors							51
52	New flooring, ceiling tile, painting, signage, lighting and handrails							52
53	Windows - (14) new bay windows, (7) new casement windows							53
54	Dining & Living Room							54
55	New flooring, cabinets, light fixtures, painting and window treatments							55
56	Phase 2 -							56
57	Painting, new flooring, ceiling tile and miscellaneous fixtures							57
58	for offices, public restrooms, waiting area, front lobby,							58
59	conference room, utility rooms and vestibule							59
60								60
61								61
62								62
63								63
64								64
65								65
66								66
67								67
68								68
69								69
70	TOTAL (lines 4 thru 69)		\$ 5,124,995	\$ 210,528		\$ 210,528	\$	70

**Improvement type must be detailed in order for the cost report to be considered complete.

XI. OWNERSHIP COSTS (continued)
 B. Building and Improvement Costs-Including Fixed Equipment. (See instructions.) Round all numbers to nearest dollar.

1	3	4	5	6	7	8	9	
Improvement Type**	Year Constructed	Cost	Current Book Depreciation	Life in Years	Straight Line Depreciation	Adjustments	Accumulated Depreciation	
1		\$ 5,124,995	\$ 210,528		\$ 210,528			1
2								2
3	2019	4,329						3
4	2019	5,682						4
5	2019	8,550						5
6								6
7	2020							7
8								8
9								9
10								10
11								11
12								12
13								13
14								14
15								15
16								16
17								17
18								18
19								19
20								20
21								21
22								22
23								23
24								24
25								25
26								26
27								27
28								28
29								29
30								30
31								31
32								32
33								33
34		\$ 5,143,556	\$ 210,528		\$ 210,528			34

**Improvement type must be detailed in order for the cost report to be considered complete.

XI. OWNERSHIP COSTS (continued)

C. Equipment Costs-Excluding Transportation. (See instructions.)

	Category of Equipment	1 Cost	Current Book Depreciation 2	Straight Line Depreciation 3	4 Adjustments	Component Life 5	Accumulated Depreciation 6	
71	Purchased in Prior Years	\$980,006	\$46,902	\$46,902	\$		\$	71
72	Current Year Purchases	13,258						72
73	Fully Depreciated Assets							73
74								74
75	TOTALS	\$993,264	\$46,902	\$46,902	\$		\$	75

D. Vehicle Costs. (See instructions.)*

	1 Use	Model, Make and Year 2	Year Acquired 3	4 Cost	Current Book Depreciation 5	Straight Line Depreciation 6	7 Adjustments	Life in Years 8	Accumulated Depreciation 9	
76		2006 Turtle Top Van	2006	\$57,087	\$	\$	\$		\$	76
77										77
78										78
79										79
80	TOTALS			\$57,087	\$	\$	\$		\$	80

E. Summary of Care-Related Assets

		1 Reference	2 Amount	
81	Total Historical Cost	(line 3, col.4 + line 70, col.4 + line 75, col.1 + line 80, col.4) + (Pages 12B thru 12I, if applicable)	\$6,225,924	81
82	Current Book Depreciation	(line 70, col.5 + line 75, col.2 + line 80, col.5) + (Pages 12B thru 12I, if applicable)	\$257,430	82
83	Straight Line Depreciation	(line 70, col.7 + line 75, col.3 + line 80, col.6) + (Pages 12B thru 12I, if applicable)	\$257,430	83
84	Adjustments	(line 70, col.8 + line 75, col.4 + line 80, col.7) + (Pages 12B thru 12I, if applicable)	\$	84
85	Accumulated Depreciation	(line 70, col.9 + line 75, col.6 + line 80, col.9) + (Pages 12B thru 12I, if applicable)	\$	85

F. Depreciable Non-Care Assets Included in General Ledger. (See instructions.)

	1 Description & Year Acquired	2 Cost	Current Book Depreciation 3	Accumulated Depreciation 4	
86		\$	\$	\$	86
87					87
88					88
89					89
90					90
91	TOTALS	\$	\$	\$	91

G. Construction-in-Progress

	Description	Cost	
92		\$	92
93			93
94			94
95		\$	95

* Vehicles used to transport residents to & from day training must be recorded in XI-F, not XI-D.

** This must agree with Schedule V line 30, column 8.

XII. RENTAL COSTS

A. Building and Fixed Equipment (See instructions.)

1. Name of Party Holding Lease: None
2. Does the facility also pay real estate taxes in addition to rental amount shown below on line 7, column 4?
If NO, see instructions. YES NO

		1 Year Constructed	2 Number of Beds	3 Original Lease Date	4 Rental Amount	5 Total Years of Lease	6 Total Years Renewal Option*	
3	Original Building:				\$			3
4	Additions							4
5								5
6								6
7	TOTAL				\$			7

8. List separately any amortization of lease expense included on page 4, line 34.
This amount was calculated by dividing the total amount to be amortized
by the length of the lease .
9. Option to Buy: YES NO Terms: *

B. Equipment-Excluding Transportation and Fixed Equipment. (See instructions.)

15. Is Movable equipment rental included in building rental? YES NO
16. Rental Amount for movable equipment: \$ 65,505 Description:
(Attach a schedule detailing the breakdown of movable equipment)

C. Vehicle Rental (See instructions.)

	1 Use	2 Model Year and Make	3 Monthly Lease Payment	4 Rental Expense for this Period	
17			\$	\$	17
18					18
19					19
20					20
21	TOTAL		\$	\$	21

* If there is an option to buy the building, please provide complete details on attached schedule.

** This amount plus any amortization of lease expense must agree with page 4, line 34.

A. TYPE OF TRAINING PROGRAM (If CNAs are trained in another facility program, attach a schedule listing the facility name, address and cost per CNA trained in that facility.)

1. HAVE YOU TRAINED CNAs DURING THIS REPORT PERIOD?

☐ YES

☐ NO

If "yes", please complete the remainder of this schedule. If "no", provide an explanation as to why this training was not necessary.

2. CLASSROOM PORTION:

IN-HOUSE PROGRAM

IN OTHER FACILITY

COMMUNITY COLLEGE

HOURS PER CNA

☐

☐

☐

3. CLINICAL PORTION:

IN-HOUSE PROGRAM

IN OTHER FACILITY

HOURS PER CNA

☐

☐

B. EXPENSES

ALLOCATION OF COSTS (d)

		1	2	3	4
		Facility			
		Drop-outs	Completed	Contract	Total
1	Community College Tuition	\$	\$	\$	\$
2	Books and Supplies		53		53
3	Classroom Wages (a)				
4	Clinical Wages (b)		8,019		8,019
5	In-House Trainer Wages (c)				
6	Transportation				
7	Contractual Payments				
8	CNA Competency Tests				
9	TOTALS	\$	\$ 8,072	\$	\$ 8,072
10	SUM OF line 9, col. 1 and 2 (e)	\$ 8,072			

C. CONTRACTUAL INCOME

In the box below record the amount of income your facility received training CNAs from other facilities.

\$

D. NUMBER OF CNAs TRAINED

COMPLETED	
1. From this facility	
2. From other facilities (f)	
DROP-OUTS	
1. From this facility	
2. From other facilities (f)	
TOTAL TRAINED	

(a) Include wages paid during the classroom portion of training. Do not include fringe benefits.

(b) Include wages paid during the clinical portion of training. Do not include fringe benefits.

(c) For in-house training programs only. Do not include fringe benefits.

(d) Allocate based on if the CNA is from your facility or is being contracted to be trained in your facility. Drop-out costs can only be for costs incurred by your own CNAs.

(e) The total amount of Drop-out and Completed Costs for your own CNAs must agree with Sch. V, line 13, col. 8.

(f) Attach a schedule of the facility names and addresses of those facilities for which you trained CNAs.

XIV. SPECIAL SERVICES (Direct Cost) (See instructions.)										
		1	2	3	4	5	6	7	8	
	Service	Schedule V Line & Column Reference	Staff		Outside Practitioner (other than consultant)		Supplies (Actual or Allocated)	Total Units (Column 2 + 4)	Total Cost (Col. 3 + 5 + 6)	
			Units of Service	Cost	Units	Cost				
1	Licensed Occupational Therapist		hrs	\$		\$ 255,020	\$		\$ 255,020	1
2	Licensed Speech and Language Development Therapist		hrs			38,400			38,400	2
3	Licensed Recreational Therapist		hrs							3
4	Licensed Physical Therapist		hrs			218,548	397		218,945	4
5	Physician Care		visits							5
6	Dental Care		visits							6
7	Work Related Program		hrs							7
8	Habilitation		hrs							8
9	Pharmacy		# of prescrpts				129,468		129,468	9
10	Psychological Services (Evaluation and Diagnosis/ Behavior Modification)		hrs							10
	Academic Education		hrs							11
12	Other (specify):									12
13	Other (specify):					30,344			30,344	13
14	TOTAL			\$		\$ 542,312	\$ 129,865		\$ 672,177	14

NOTE: This schedule should include fees (other than consultant fees) paid to licensed practitioners. Consultant fees should be detailed on Schedule XVIII-B. Salaries of unlicensed practitioners, such as CNAs, who help with the above activities should not be listed on this schedule.

This report must be completed even if financial statements are attached.

		1 Operating	2 After Consolidation*	
	A. Current Assets			
1	Cash on Hand and in Banks	\$ 665	\$	1
2	Cash-Patient Deposits	25,475		2
3	Accounts & Short-Term Notes Receivable-Patients (less allowance)	100,472		3
4	Supply Inventory (priced at FIFO)	13,118		4
5	Short-Term Investments			5
6	Prepaid Insurance	964		6
7	Other Prepaid Expenses			7
8	Accounts Receivable (owners or related parties)	(393,402)		8
9	Other(specify):			9
10	TOTAL Current Assets (sum of lines 1 thru 9)	\$ (252,708)	\$	10
	B. Long-Term Assets			
11	Long-Term Notes Receivable			11
12	Long-Term Investments			12
13	Land			13
14	Buildings, at Historical Cost			14
15	Leasehold Improvements, at Historical Cost			15
16	Equipment, at Historical Cost			16
17	Accumulated Depreciation (book methods)			17
18	Deferred Charges			18
19	Organization & Pre-Operating Costs			19
20	Accumulated Amortization - Organization & Pre-Operating Costs			20
21	Restricted Funds			21
22	Other Long-Term Assets (specify):			22
23	Other(specify):			23
24	TOTAL Long-Term Assets (sum of lines 11 thru 23)	\$	\$	24
25	TOTAL ASSETS (sum of lines 10 and 24)	\$ (252,708)	\$	25

		1 Operating	2 After Consolidation*	
	C. Current Liabilities			
26	Accounts Payable	\$	\$	26
27	Officer's Accounts Payable			27
28	Accounts Payable-Patient Deposits	25,475		28
29	Short-Term Notes Payable			29
30	Accrued Salaries Payable	208,509		30
31	Accrued Taxes Payable (excluding real estate taxes)	11,554		31
32	Accrued Real Estate Taxes(Sch.IX-B)			32
33	Accrued Interest Payable			33
34	Deferred Compensation			34
35	Federal and State Income Taxes			35
	Other Current Liabilities(specify):			
36	Bed Tax	11,946		36
37	Deferred Stimulus	132,259		37
38	TOTAL Current Liabilities (sum of lines 26 thru 37)	\$ 389,743	\$	38
	D. Long-Term Liabilities			
39	Long-Term Notes Payable			39
40	Mortgage Payable			40
41	Bonds Payable			41
42	Deferred Compensation			42
	Other Long-Term Liabilities(specify):			
43				43
44				44
45	TOTAL Long-Term Liabilities (sum of lines 39 thru 44)	\$	\$	45
46	TOTAL LIABILITIES (sum of lines 38 and 45)	\$ 389,743	\$	46
47	TOTAL EQUITY(page 18, line 24)	\$ (642,451)	\$	47
48	TOTAL LIABILITIES AND EQUITY (sum of lines 46 and 47)	\$ (252,708)	\$	48

*(See instructions.)

XVI. STATEMENT OF CHANGES IN EQUITY

		1 Total	
1	Balance at Beginning of Year, as Previously Reported	\$ (1,325,138)	1
2	Restatements (describe):		2
3			3
4			4
5			5
6	Balance at Beginning of Year, as Restated (sum of lines 1-5)	\$ (1,325,138)	6
	A. Additions (deductions):		
7	NET Income (Loss) (from page 19, line 43)	682,687	7
8	Aquisitions of Pooled Companies		8
9	Proceeds from Sale of Stock		9
10	Stock Options Exercised		10
11	Contributions and Grants		11
12	Expenditures for Specific Purposes		12
13	Dividends Paid or Other Distributions to Owners	()	13
14	Donated Property, Plant, and Equipment		14
15	Other (describe)		15
16	Other (describe)		16
17	TOTAL Additions (deductions) (sum of lines 7-16)	\$ 682,687	17
	B. Transfers (Itemize):		
18			18
19			19
20			20
21			21
22			22
23	TOTAL Transfers (sum of lines 18-22)	\$	23
24	BALANCE AT END OF YEAR (sum of lines 6 + 17 + 23)	\$ (642,451)	24 *

* This must agree with page 17, line 47.

Facility Name & ID Number Heritage Health Carlinville

0048850

Report Period Beginning: 1/1/2020

Ending: 12/31/2020

XVII. INCOME STATEMENT (attach any explanatory footnotes necessary to reconcile this schedule to Schedules V and VI.) All required classifications of revenue and expense must be provided on this form, even if financial statements are attached.**Note: This schedule should show gross revenue and expenses. Do not net revenue against expense.**

1

	I. Revenue	Amount	
	A. Inpatient Care		
1	Gross Revenue -- All Levels of Care	\$ 5,627,664	1
2	Discounts and Allowances for all Levels	(1,528,695)	2
3	SUBTOTAL Inpatient Care (line 1 minus line 2)	\$ 4,098,969	3
	B. Ancillary Revenue		
4	Day Care		4
5	Other Care for Outpatients		5
6	Therapy	1,638,679	6
7	Oxygen		7
8	SUBTOTAL Ancillary Revenue (lines 4 thru 7)	\$ 1,638,679	8
	C. Other Operating Revenue		
9	Payments for Education		9
10	Other Government Grants	457,780	10
11	CNA Training Reimbursements		11
12	Gift and Coffee Shop		12
13	Barber and Beauty Care		13
14	Non-Patient Meals		14
15	Telephone, Television and Radio		15
16	Rental of Facility Space		16
17	Sale of Drugs	227,786	17
18	Sale of Supplies to Non-Patients		18
19	Laboratory		19
20	Radiology and X-Ray		20
21	Other Medical Services	30,551	21
22	Laundry		22
23	SUBTOTAL Other Operating Revenue (lines 9 thru 22)	\$ 716,117	23
	D. Non-Operating Revenue		
24	Contributions		24
25	Interest and Other Investment Income***	3,297	25
26	SUBTOTAL Non-Operating Revenue (lines 24 and 25)	\$ 3,297	26
	E. Other Revenue (specify):****		
27	Settlement Income (Insurance, Legal, Etc.)		27
28			28
28a			28a
29	SUBTOTAL Other Revenue (lines 27, 28 and 28a)	\$	29
30	TOTAL REVENUE (sum of lines 3, 8, 23, 26 and 29)	\$ 6,457,062	30

2

	II. Expenses	Amount	
	A. Operating Expenses		
31	General Services	885,459	31
32	Health Care	2,274,379	32
33	General Administration	1,517,830	33
	B. Capital Expense		
34	Ownership	584,701	34
	C. Ancillary Expense		
35	Special Cost Centers	512,006	35
36	Provider Participation Fee		36
	D. Other Expenses (specify):		
37			37
38			38
39			39
40	TOTAL EXPENSES (sum of lines 31 thru 39)*	\$ 5,774,375	40
41	Income before Income Taxes (line 30 minus line 40)**	682,687	41
42	Income Taxes		42
43	NET INCOME OR LOSS FOR THE YEAR (line 41 minus line 42)	\$ 682,687	43

	III. Net Inpatient Revenue detailed by Payer Source		
44	Medicaid - Net Inpatient Revenue	\$	44
45	Private Pay - Net Inpatient Revenue		45
46	Medicare - Net Inpatient Revenue		46
47	Other-(specify)		47
48	Other-(specify)		48
49	TOTAL Inpatient Care Revenue (This total must agree to Line 3)	\$	49

* This must agree with page 4, line 45, column 4.

** Does this agree with taxable income (loss) per Federal Income Tax Return? If not, please attach a reconciliation.

*** See the instructions. If this total amount has not been offset against interest expense on Schedule V, line 32, please include a detailed explanation.

****Provide a detailed breakdown of "Other Revenue" on an attached sheet.

XVIII. A. STAFFING AND SALARY COSTS (Please report each line separately.)

(This schedule must cover the entire reporting period.)

		1	2**	3	4	
		# of Hrs. Actually Worked	# of Hrs. Paid and Accrued	Reporting Period Total Salaries, Wages	Average Hourly Wage	
1	Director of Nursing	1,814	1,889	\$ 64,793	\$ 34.30	1
2	Assistant Director of Nursing	3,565	3,714	100,740	27.12	2
3	Registered Nurses	1,816	1,891	75,324	39.83	3
4	Licensed Practical Nurses	20,468	21,320	566,068	26.55	4
5	CNAs & Orderlies	57,277	59,664	938,215	15.72	5
6	CNA Trainees	275	286	8,019	28.04	6
7	Licensed Therapist					7
8	Rehab/Therapy Aides	3,507	3,653	93,483	25.59	8
9	Activity Director					9
10	Activity Assistants	4,642	4,836	64,520	13.34	10
11	Social Service Workers	2,159	2,249	43,940	19.54	11
12	Dietician					12
13	Food Service Supervisor					13
14	Head Cook					14
15	Cook Helpers/Assistants	15,798	16,456	182,230	11.07	15
16	Dishwashers					16
17	Maintenance Workers	3,947	4,111	65,959	16.04	17
18	Housekeepers	7,147	7,445	72,868	9.79	18
19	Laundry	5,777	6,017	72,336	12.02	19
20	Administrator	1,675	1,745	82,098	47.05	20
21	Assistant Administrator					21
22	Other Administrative					22
23	Office Manager					23
24	Clerical	6,234	6,494	149,367	23.00	24
25	Vocational Instruction					25
26	Academic Instruction					26
27	Medical Director					27
28	Qualified MR Prof. (QMRP)					28
29	Resident Services Coordinator					29
30	Habilitation Aides (DD Homes)					30
31	Medical Records					31
32	Other Health Care(specify)					32
33	Other(specify)					33
34	TOTAL (lines 1 - 33)	136,101	141,770	\$ 2,579,960 *	\$ 18.20	34

* This total must agree with page 4, column 1, line 45.

** See instructions.

B. CONSULTANT SERVICES

		1	2	3	
		Number of Hrs. Paid & Accrued	Total Consultant Cost for Reporting Period	Schedule V Line & Column Reference	
35	Dietary Consultant		\$ 7,270	L1 C3	35
36	Medical Director		9,000	L9 C3	36
37	Medical Records Consultant		315	L10 C3	37
38	Nurse Consultant				38
39	Pharmacist Consultant		6,762	L10A C3	39
40	Physical Therapy Consultant				40
41	Occupational Therapy Consultant				41
42	Respiratory Therapy Consultant				42
43	Speech Therapy Consultant				43
44	Activity Consultant				44
45	Social Service Consultant		3,380	L12 C3	45
46	Other(specify)				46
47					47
48					48
49	TOTAL (lines 35 - 48)		\$ 26,727		49

C. CONTRACT NURSES

		1	2	3	
		Number of Hrs. Paid & Accrued	Total Contract Wages	Schedule V Line & Column Reference	
50	Registered Nurses		\$ 0		50
51	Licensed Practical Nurses		0		51
52	Certified Nurse Assistants/Aides		0		52
53	TOTAL (lines 50 - 52)		\$		53

XIX. SUPPORT SCHEDULES

A. Administrative Salaries				D. Employee Benefits and Payroll Taxes			F. Dues, Fees, Subscriptions and Promotions	
Name	Function	%	Amount	Description		Amount	Description	Amount
Morgan Vinyard	Administrator		\$ 82,098	Workers' Compensation Insurance		\$ 36,961	IDPH License Fee	\$
				Unemployment Compensation Insurance		12,268	Advertising: Employee Recruitment	9,685
				FICA Taxes		197,367	Health Care Worker Background Check (Indicate # of checks performed)	1,598
				Employee Health Insurance		151,025	Patient Background Checks	
				Employee Meals				
				Illinois Municipal Retirement Fund (IMRF)*				
TOTAL (agree to Schedule V, line 17, col. 1)				Other Benefits		78,827	PR	2,934
(List each licensed administrator separately.)			\$ 82,098	Central Office Allocation		39,824	Dues & Subscriptions	7,806
							License & Fees	269
							Central Office Allocation	912
							Less: Public Relations Expense	(2,934)
							Non-allowable advertising	(4,481)
							Yellow page advertising	()
				TOTAL (agree to Schedule V, line 22, col.8)		\$ 516,272	TOTAL (agree to Sch. V, line 20, col. 8)	\$ 15,789
B. Administrative - Other				E. Schedule of Non-Cash Compensation Paid to Owners or Employees			G. Schedule of Travel and Seminar**	
Description			Amount	Description	Line #	Amount	Description	Amount
			\$			\$	Out-of-State Travel	\$
							In-State Travel	
								1,337
								15
							Seminar Expense	688
								2,959
TOTAL (agree to Schedule V, line 17, col. 3)			\$				Entertainment Expense	()
(Attach a copy of any management service agreement)							(agree to Sch. V, line 24, col. 8)	
C. Professional Services							TOTAL	\$ 4,999
Vendor/Payee	Type		Amount					
Heritage Operations Group	Management		\$ 310,098					
Legal adj to Zero			26,733					
TOTAL (agree to Schedule V, line 19, column 3)								
(For legal fee disclosure, see page 39 of instructions)			\$ 336,831					

*** Attach copy of IMRF notifications**

****See instructions.**

XX. GENERAL INFORMATION:

- | | |
|--|---|
| <p>(1) Are nursing employees (RN,LPN,NA) represented by a union? <u>No</u></p> <p>(2) Are there any dues to nursing home associations included on the cost report? <u>Yes</u>
If YES, give association name and amount. <u>HCCI - \$6,650</u></p> <p>(3) Did the nursing home make political contributions or payments to a political action organization? <u>Yes</u> If YES, have these costs been properly adjusted out of the cost report? <u>Yes</u></p> <p>(4) Does the bed capacity of the building differ from the number of beds licensed at the end of the fiscal year? <u>No</u> If YES, what is the capacity? _____</p> <p>(5) Have you properly capitalized all major repairs and equipment purchases? <u>Yes</u>
What was the average life used for new equipment added during this period? <u>7 Yrs</u></p> <p>(6) Indicate the total amount of both disposable and non-disposable diaper expense and the location of this expense on Sch. V. \$ <u>5,000</u> Line <u>10</u></p> <p>(7) Have all costs reported on this form been determined using accounting procedures consistent with prior reports? <u>Yes</u> If NO, attach a complete explanation.</p> <p>(8) Are you presently operating under a sale and leaseback arrangement? <u>No</u>
If YES, give effective date of lease. _____</p> <p>(9) Are you presently operating under a sublease agreement? YES <u>xx</u> NO</p> <p>(10) Was this home previously operated by a related party (as is defined in the instructions for Schedule VII)? YES _____ NO <u>xx</u> If YES, please indicate name of the facility, IDPH license number of this related party and the date the present owners took over.
_____</p> <p>(11) Indicate the amount of the Provider Participation Fees paid and accrued to the Department during this cost report period. \$ <u>210,151</u>
This amount is to be recorded on line 42 of Schedule V.</p> <p>(12) Are there any salary costs which have been allocated to more than one line on Schedule V for an individual employee? <u>No</u> If YES, attach an explanation of the allocation.</p> | <p>(13) Have costs for all supplies and services which are of the type that can be billed to the Department, in addition to the daily rate, been properly classified in the Ancillary Section of Schedule V? <u>Yes</u></p> <p>(14) Is a portion of the building used for any function other than long term care services for the patient census listed on page 2, Section B? <u>No</u> For example, is a portion of the building used for rental, a pharmacy, day care, etc.) If YES, attach a schedule which explains how all related costs were allocated to these functions.</p> <p>(15) Indicate the cost of employee meals that has been reclassified to employee benefits on Schedule V. \$ <u>0</u> Has any meal income been offset against related costs? <u>Yes</u> Indicate the amount. \$ <u>0</u></p> <p>(16) Travel and Transportation
a. Are there costs included for out-of-state travel? <u>No</u>
If YES, attach a complete explanation.
b. Do you have a separate contract with the Department to provide medical transportation for residents? <u>No</u> If YES, please indicate the amount of income earned from such a program during this reporting period. \$ _____
c. What percent of all travel expense relates to transportation of nurses and patients? <u>100%</u>
d. Have vehicle usage logs been maintained? <u>Yes</u>
e. Are all vehicles stored at the nursing home during the night and all other times when not in use? <u>Yes</u>
f. Has the cost for commuting or other personal use of autos been adjusted out of the cost report? <u>NA</u>
g. Does the facility transport residents to and from day training? <u>No</u>
Indicate the amount of income earned from providing such transportation during this reporting period. \$ <u>0</u></p> <p>(17) Has an audit been performed by an independent certified public accounting firm? <u>Yes</u>
Firm Name: <u>MCK CPA's & Advisors</u></p> <p>(18) Have all costs which do not relate to the provision of long term care been adjusted out of Schedule V? <u>Yes</u></p> <p>(19) Has a schedule for the legal fees reported on the cost report been provided by the facility? See page 39 of the instructions for details. <u>None Claimed</u>
Attach invoices and a summary of services for all architect and appraisal fees.</p> |
|--|---|

Heritage Manor - Carlinville
IDPH ID# 48850
HFS Cost Report - December 31, 2020
Schedule V - Column 5 Reclassifications

1. Schedule V - Line 10a to Line 39 - Reclassifications

<u>Line Item</u>	
Purchased Drugs and Medications	\$ 129,468
Purchased Hospital Services	(9,157)
Purchased Laboratory Services	32,823
Purchased Radiology Services	6,678
Amount Reclassified to Line 39	\$ <u>159,812</u>

2. Schedule V - Line 20 to Line 42 - Reclassification

<u>Line Item</u>	
Provider Participation Fee - \$1.50	\$ (52,155)
Provider Assesment Fee - \$6.07	<u>(157,996)</u>
	\$ <u>(210,151)</u>
Provider Participation Fee	\$ <u>210,151</u>

3. Schedule V - Line 10 to Line 10a - Reclass Pharmacy Consultant Fees

<u>Line Item</u>	
Pharmacy Consulting Fees	\$ <u>6,762</u>